

Informatik II

Übung 4

FS 2020

Program Today

- 1 Survey Productive Failure Case Study 1
- 2 Feedback of last exercise
- 3 Repetition Theory
 - Analysis of programs
 - Analysis of recurrences
- 4 Divide & Conquer Sorting Algorithms

3. Repetition Theory

Analysis

How many calls to $f()$?

```
for(unsigned i = 1; i <= n/3; i += 3)
    for(unsigned j = 1; j <= i; ++j)
        f();
```

Analysis

How many calls to $f()$?

```
for(unsigned i = 1; i <= n/3; i += 3)
    for(unsigned j = 1; j <= i; ++j)
        f();
```

The code fragment implies $\Theta(n^2)$ calls to $f()$: the outer loop is executed $n/3$ times and the inner loop contains i calls to $f()$.

Analysis

How many calls to `f()`?

```
for(unsigned i = 0; i < n; ++i) {  
    for(unsigned j = 100; j*j >= 1; --j)  
        f();  
    for(unsigned k = 1; k <= n; k *= 2)  
        f();  
}
```

Analysis

How many calls to `f()`?

```
for(unsigned i = 0; i < n; ++i) {  
    for(unsigned j = 100; j*j >= 1; --j)  
        f();  
    for(unsigned k = 1; k <= n; k *= 2)  
        f();  
}
```

We can ignore the first inner loop because it contains only a constant number of calls to `f()`

Analysis

How many calls to $f()$?

```
for(unsigned i = 0; i < n; ++i) {  
    for(unsigned j = 100; j*j >= 1; --j)  
        f();  
    for(unsigned k = 1; k <= n; k *= 2)  
        f();  
}
```

We can ignore the first inner loop because it contains only a constant number of calls to $f()$

The second inner loop contains $\lfloor \log_2(n) \rfloor + 1$ calls to $f()$. Summing up yields $\Theta(n \log(n))$ calls.

Analysis

How many calls to $f()$ in $g(n)$, depending on $n > 0$?

```
void g(int n){  
    if (n>1){  
        g(n/2);  
    }  
    else{  
        f();  
    }  
}
```

Analysis

How many calls to $f()$ in $g(n)$, depending on $n > 0$?

```
void g(int n){  
    if (n>1){  
        g(n/2);  
    }  
    else{  
        f();  
    }  
}
```

There is only a single call to $f()$ at the end of the recursion.

Analysis

How many calls to $f()$ in $g(n)$, depending on $n > 0$?

```
void g(int n){  
    if (n>1){  
        g(n-1);  
    }  
    f();  
}
```

Analysis

How many calls to $f()$ in $g(n)$, depending on $n > 0$?

```
void g(int n){  
    if (n>1){  
        g(n-1);  
    }  
    f();  
}
```

Recurrence

$$T(n) = \begin{cases} T(n-1) + 1 & n > 1 \\ 1 & n = 1 \end{cases}$$

Analysis

How many calls to $f()$ in $g(n)$, depending on $n > 0$?

```
void g(int n){  
    if (n>1){  
        g(n-1);  
    }  
    f();  
}
```

Recurrence

$$T(n) = \begin{cases} T(n-1) + 1 & n > 1 \\ 1 & n = 1 \end{cases} \in \Theta(n)$$

Analysis

How many calls to $f()$ in $g(n)$, depending on $n = 2^k$?

```
void g(int n){  
    if (n>1){  
        g(n/2);  
        g(n/2);  
    }  
    else{  
        f();  
    }  
}
```

Analysis

How many calls to $f()$ in $g(n)$, depending on $n = 2^k$?

```
void g(int n){  
    if (n>1){  
        g(n/2);  
        g(n/2);  
    }  
    else{  
        f();  
    }  
}
```

Recurrence

$$T(n) = \begin{cases} 2T(n/2) & n > 1 \\ 1 & n = 1 \end{cases}$$

Analysis

How many calls to $f()$ in $g(n)$, depending on $n = 2^k$?

```
void g(int n){  
    if (n>1){  
        g(n/2);  
        g(n/2);  
    }  
    else{  
        f();  
    }  
}
```

Recurrence

$$T(n) = \begin{cases} 2T(n/2) & n > 1 \\ 1 & n = 1 \end{cases} \in \Theta(n)$$

Analysis

How many calls to $f()$ in $g(n)$, depending on $n = 2^k$?

```
void g(int n){  
    if (n>1){  
        g(n/2);  
        g(n/2);  
    }  
    for (int i = 0; i<n; ++i){  
        f();  
    }  
}
```

Analysis

How many calls to $f()$ in $g(n)$, depending on $n = 2^k$?

```
void g(int n){  
    if (n>1){  
        g(n/2);  
        g(n/2);  
    }  
    for (int i = 0; i<n; ++i){  
        f();  
    }  
}
```

Recurrence

$$T(n) = \begin{cases} 2T(n/2) + n & n > 1 \\ 1 & n = 1 \end{cases}$$

Analysis

How many calls to $f()$ in $g(n)$, depending on $n = 2^k$?

```
void g(int n){  
    if (n>1){  
        g(n/2);  
        g(n/2);  
    }  
    for (int i = 0; i<n; ++i){  
        f();  
    }  
}
```

Recurrence

$$T(n) = \begin{cases} 2T(n/2) + n & n > 1 \\ 1 & n = 1 \end{cases} \in \Theta(n \log n)$$

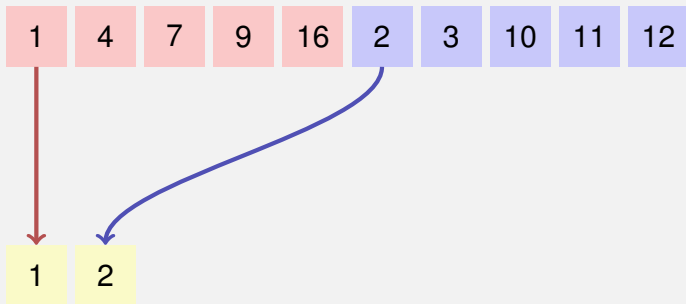
Merge



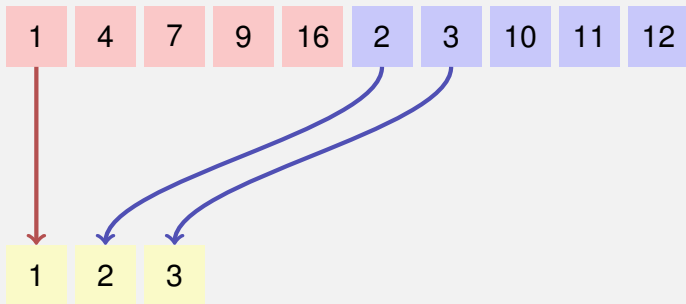
Merge



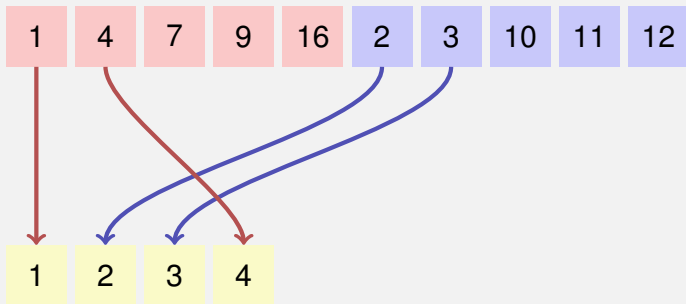
Merge



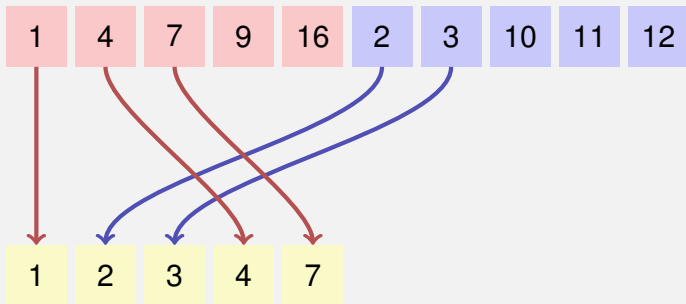
Merge



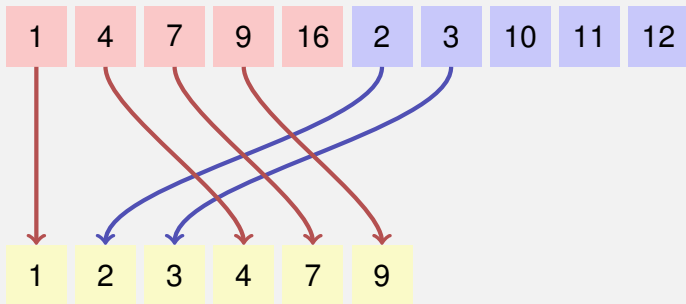
Merge



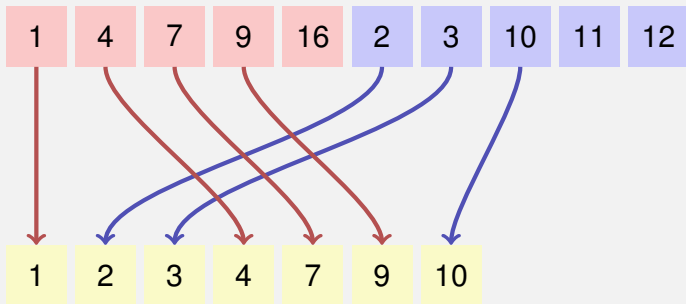
Merge



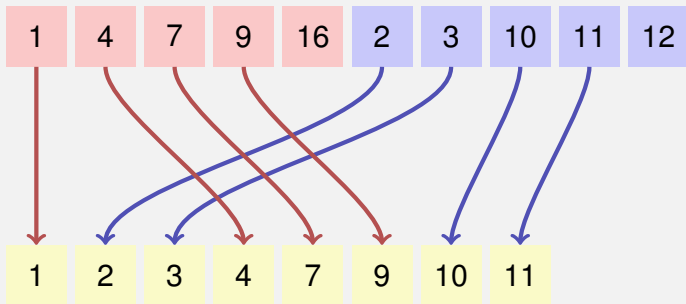
Merge



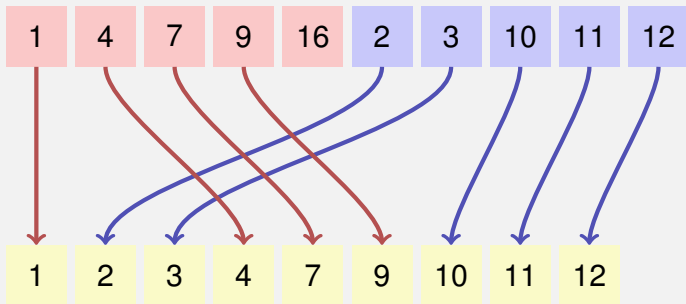
Merge



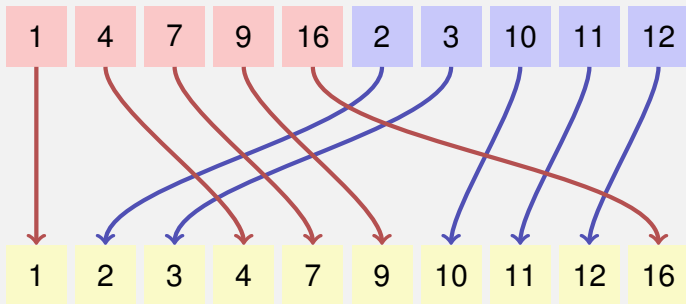
Merge



Merge



Merge



Algorithm Merge(A, l, m, r)

Input: Array A with length n , indexes $1 \leq l \leq m \leq r \leq n$.

$A[l, \dots, m]$, $A[m + 1, \dots, r]$ sorted

Output: $A[l, \dots, r]$ sorted

```
1  $B \leftarrow$  new Array( $r - l + 1$ )
2  $i \leftarrow l$ ;  $j \leftarrow m + 1$ ;  $k \leftarrow 1$ 
3 while  $i \leq m$  and  $j \leq r$  do
4   if  $A[i] \leq A[j]$  then  $B[k] \leftarrow A[i]$ ;  $i \leftarrow i + 1$ 
5   else  $B[k] \leftarrow A[j]$ ;  $j \leftarrow j + 1$ 
6    $k \leftarrow k + 1$ ;
7 while  $i \leq m$  do  $B[k] \leftarrow A[i]$ ;  $i \leftarrow i + 1$ ;  $k \leftarrow k + 1$ 
8 while  $j \leq r$  do  $B[k] \leftarrow A[j]$ ;  $j \leftarrow j + 1$ ;  $k \leftarrow k + 1$ 
9 for  $k \leftarrow l$  to  $r$  do  $A[k] \leftarrow B[k - l + 1]$ 
```

Algorithm (recursive 2-way) Mergesort(A, l, r)

Input: Array A with length n . $1 \leq l \leq r \leq n$

Output: $A[l, \dots, r]$ sorted.

if $l < r$ **then**

```
     $m \leftarrow \lfloor (l + r) / 2 \rfloor$            // middle position
    Mergesort( $A, l, m$ )                 // sort lower half
    Mergesort( $A, m + 1, r$ )             // sort higher half
    Merge( $A, l, m, r$ )                 // Merge subsequences
```

Natural 2-way mergesort

5

6

2

4

8

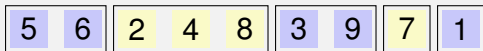
3

9

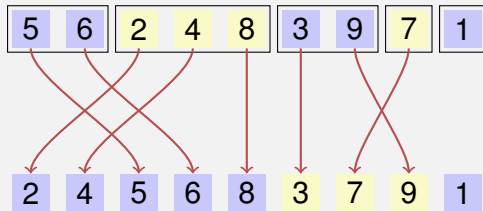
7

1

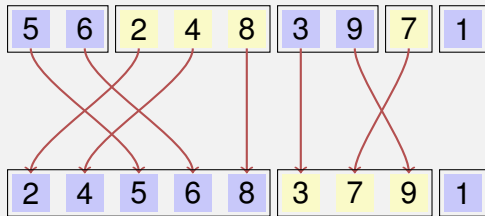
Natural 2-way mergesort



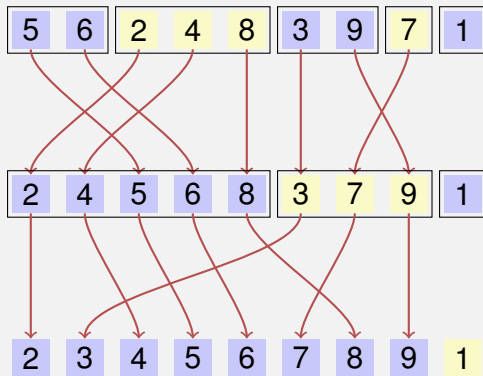
Natural 2-way mergesort



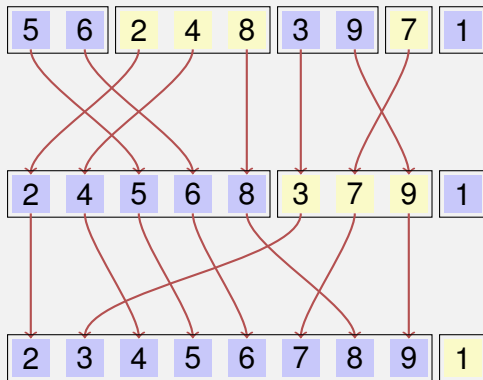
Natural 2-way mergesort



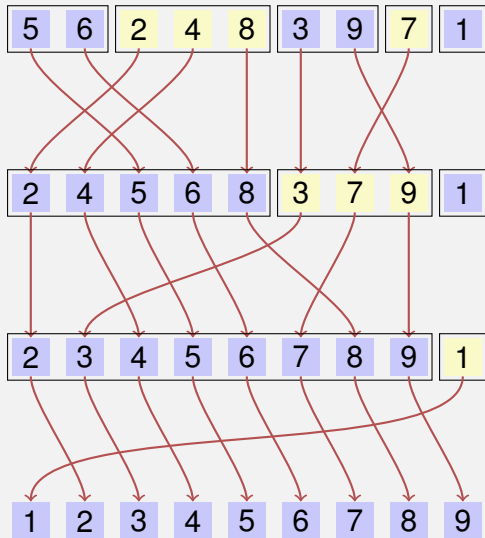
Natural 2-way mergesort



Natural 2-way mergesort



Natural 2-way mergesort



Algorithm NaturalMergesort(A)

Input: Array A with length $n > 0$

Output: Array A sorted

repeat

$r \leftarrow 0$

while $r < n$ **do**

$l \leftarrow r + 1$

$m \leftarrow l$; **while** $m < n$ **and** $A[m + 1] \geq A[m]$ **do** $m \leftarrow m + 1$

if $m < n$ **then**

$r \leftarrow m + 1$; **while** $r < n$ **and** $A[r + 1] \geq A[r]$ **do** $r \leftarrow r + 1$

 Merge(A, l, m, r);

else

$r \leftarrow n$

until $l = 1$

Algorithm Partition(A, l, r, p)

Input: Array A , that contains the pivot p in $A[l, \dots, r]$ at least once.

Output: Array A partitioned in $[l, \dots, r]$ around p . Returns position of p .

```
while  $l \leq r$  do  
    while  $A[l] < p$  do  
         $l \leftarrow l + 1$   
    while  $A[r] > p$  do  
         $r \leftarrow r - 1$   
    swap( $A[l], A[r]$ )  
    if  $A[l] = A[r]$  then  
         $l \leftarrow l + 1$   
return  $l-1$ 
```

Illustration Partition

Pivot = 4

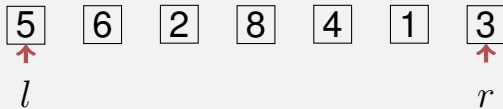


Illustration Partition

Pivot = 4



Illustration Partition

Pivot = 4



Illustration Partition

Pivot = 4

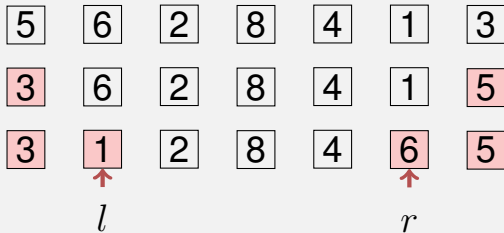


Illustration Partition

Pivot = 4

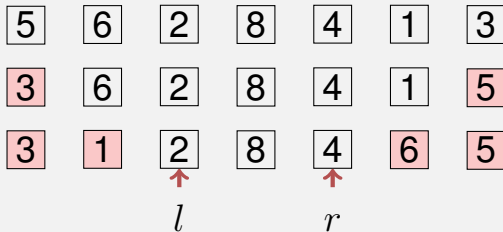


Illustration Partition

Pivot = 4

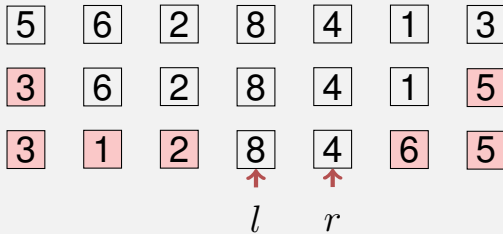


Illustration Partition

Pivot = 4

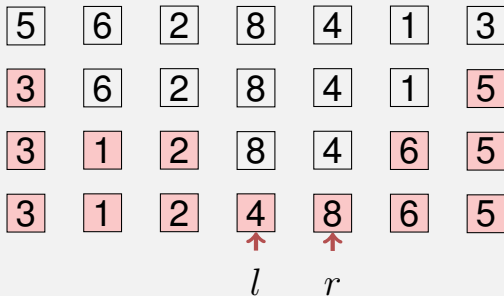


Illustration Partition

Pivot = 4

5	6	2	8	4	1	3
3	6	2	8	4	1	5
3	1	2	8	4	6	5
3	1	2	4	8	6	5
			$\uparrow$	$\uparrow$		
			r	l		

Algorithm Quicksort(A, l, r)

Input: Array A with length n . $1 \leq l \leq r \leq n$.

Output: Array A , sorted in $A[l, \dots, r]$.

if $l < r$ **then**

 Choose pivot $p \in A[l, \dots, r]$

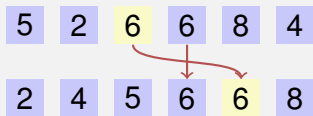
$k \leftarrow \text{Partition}(A, l, r, p)$

 Quicksort($A, l, k - 1$)

 Quicksort($A, k + 1, r$)

Stable and in-situ sorting algorithms

- Stable sorting algorithms don't change the relative position of two elements.¹

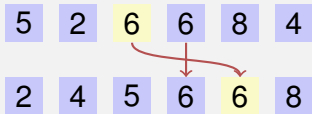


not stable

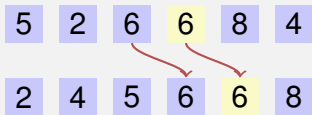
¹Every sorting algorithm can be made stable by storing the array position together with the element.

Stable and in-situ sorting algorithms

- Stable sorting algorithms don't change the relative position of two elements.¹



not stable

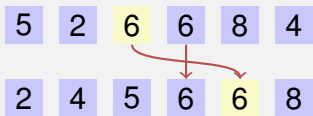


stable

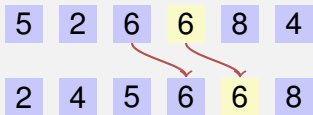
¹Every sorting algorithm can be made stable by storing the array position together with the element.

Stable and in-situ sorting algorithms

- Stable sorting algorithms don't change the relative position of two elements.¹



not stable



stable

- In-situ algorithms require only a constant amount of additional memory. (Mergesort is not in-situ)

¹Every sorting algorithm can be made stable by storing the array position together with the element.

Quiz: Sorting and Running Times

Algorithm	Comparisons		Swaps	
	average	worst	average	worst
Bubble Sort				

Quiz: Sorting and Running Times

Algorithm	Comparisons		Swaps	
	average	worst	average	worst
Bubble Sort	$\Theta(n^2)$	$\Theta(n^2)$		

Quiz: Sorting and Running Times

Algorithm	Comparisons		Swaps	
	average	worst	average	worst
Bubble Sort	$\Theta(n^2)$	$\Theta(n^2)$	$\Theta(n^2)$	$\Theta(n^2)$
Selection				

Quiz: Sorting and Running Times

Algorithm	Comparisons		Swaps	
	average	worst	average	worst
Bubble Sort	$\Theta(n^2)$	$\Theta(n^2)$	$\Theta(n^2)$	$\Theta(n^2)$
Selection	$\Theta(n^2)$	$\Theta(n^2)$		

Quiz: Sorting and Running Times

Algorithm	Comparisons		Swaps	
	average	worst	average	worst
Bubble Sort	$\Theta(n^2)$	$\Theta(n^2)$	$\Theta(n^2)$	$\Theta(n^2)$
Selection	$\Theta(n^2)$	$\Theta(n^2)$	$\Theta(n)$	$\Theta(n)$
Insertion				

Quiz: Sorting and Running Times

Algorithm	Comparisons		Swaps	
	average	worst	average	worst
Bubble Sort	$\Theta(n^2)$	$\Theta(n^2)$	$\Theta(n^2)$	$\Theta(n^2)$
Selection	$\Theta(n^2)$	$\Theta(n^2)$	$\Theta(n)$	$\Theta(n)$
Insertion	$\Theta(n \log n)$	$\Theta(n \log n)$		

Quiz: Sorting and Running Times

Algorithm	Comparisons		Swaps	
	average	worst	average	worst
Bubble Sort	$\Theta(n^2)$	$\Theta(n^2)$	$\Theta(n^2)$	$\Theta(n^2)$
Selection	$\Theta(n^2)$	$\Theta(n^2)$	$\Theta(n)$	$\Theta(n)$
Insertion	$\Theta(n \log n)$	$\Theta(n \log n)$	$\Theta(n^2)$	$\Theta(n^2)$
Quicksort				

Quiz: Sorting and Running Times

Algorithm	Comparisons		Swaps	
	average	worst	average	worst
Bubble Sort	$\Theta(n^2)$	$\Theta(n^2)$	$\Theta(n^2)$	$\Theta(n^2)$
Selection	$\Theta(n^2)$	$\Theta(n^2)$	$\Theta(n)$	$\Theta(n)$
Insertion	$\Theta(n \log n)$	$\Theta(n \log n)$	$\Theta(n^2)$	$\Theta(n^2)$
Quicksort	$\Theta(n \log n)$	$\Theta(n^2)$		

Quiz: Sorting and Running Times

Algorithm	Comparisons		Swaps	
	average	worst	average	worst
Bubble Sort	$\Theta(n^2)$	$\Theta(n^2)$	$\Theta(n^2)$	$\Theta(n^2)$
Selection	$\Theta(n^2)$	$\Theta(n^2)$	$\Theta(n)$	$\Theta(n)$
Insertion	$\Theta(n \log n)$	$\Theta(n \log n)$	$\Theta(n^2)$	$\Theta(n^2)$
Quicksort	$\Theta(n \log n)$	$\Theta(n^2)$	$\Theta(n \log n)$	$\Theta(n \log n)^*$
Mergesort	$\Theta(n \log n)$	$\Theta(n \log n)$		

Quiz: Sorting and Running Times

Algorithm	Comparisons		Swaps	
	average	worst	average	worst
Bubble Sort	$\Theta(n^2)$	$\Theta(n^2)$	$\Theta(n^2)$	$\Theta(n^2)$
Selection	$\Theta(n^2)$	$\Theta(n^2)$	$\Theta(n)$	$\Theta(n)$
Insertion	$\Theta(n \log n)$	$\Theta(n \log n)$	$\Theta(n^2)$	$\Theta(n^2)$
Quicksort	$\Theta(n \log n)$	$\Theta(n^2)$	$\Theta(n \log n)$	$\Theta(n \log n)^*$
Mergesort	$\Theta(n \log n)$	$\Theta(n \log n)$	$\Theta(n \log n)$	$\Theta(n \log n)$

* non-trivial (and not derived in class)

Questions / Suggestions?