

# 12. Dynamic Programming

Memoization, Optimal Substructure, Overlapping Sub-Problems, Dependencies, General Procedure. Examples: Rod Cutting, Rabbits, Edit Distance

[Ottman/Widmayer, Kap. 7.1, 7.4, Cormen et al, Kap. 15]

# Fibonacci Numbers



(again)

$$F_n := \begin{cases} n & \text{if } n < 2 \\ F_{n-1} + F_{n-2} & \text{if } n \geq 2. \end{cases}$$

Analysis: why is the recursive algorithm so slow?

# Algorithm FibonacciRecursive( $n$ )

**Input:**  $n \geq 0$

**Output:**  $n$ -th Fibonacci number

**if**  $n < 2$  **then**

$f \leftarrow n$

**else**

$f \leftarrow \text{FibonacciRecursive}(n - 1) + \text{FibonacciRecursive}(n - 2)$

**return**  $f$

# Analysis

$T(n)$ : Number executed operations.

- $n = 0, 1: T(n) = \Theta(1)$

# Analysis

$T(n)$ : Number executed operations.

- $n = 0, 1: T(n) = \Theta(1)$

- $n \geq 2: T(n) = T(n - 2) + T(n - 1) + c.$

# Analysis

$T(n)$ : Number executed operations.

■  $n = 0, 1: T(n) = \Theta(1)$

■  $n \geq 2: T(n) = T(n - 2) + T(n - 1) + c.$

$$T(n) = T(n - 2) + T(n - 1) + c \geq 2T(n - 2) + c \geq 2^{n/2}c' = (\sqrt{2})^n c'$$

# Analysis

$T(n)$ : Number executed operations.

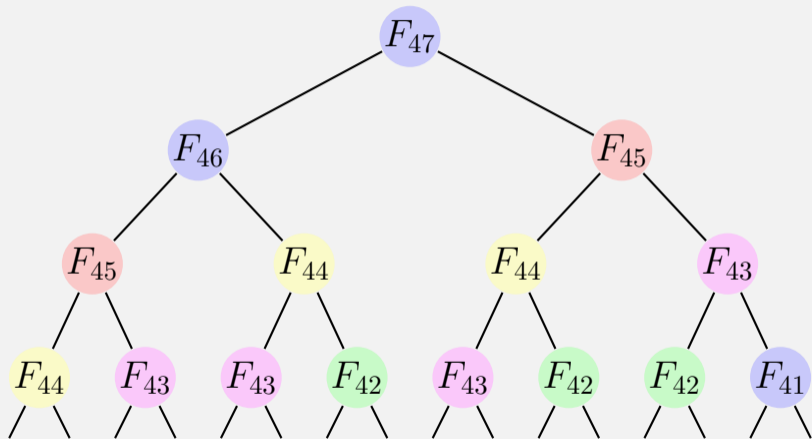
- $n = 0, 1: T(n) = \Theta(1)$

- $n \geq 2: T(n) = T(n - 2) + T(n - 1) + c.$

$$T(n) = T(n - 2) + T(n - 1) + c \geq 2T(n - 2) + c \geq 2^{n/2}c' = (\sqrt{2})^n c'$$

Algorithm is *exponential* in  $n$ .

# Reason (visual)



Nodes with same values are evaluated (too) often.

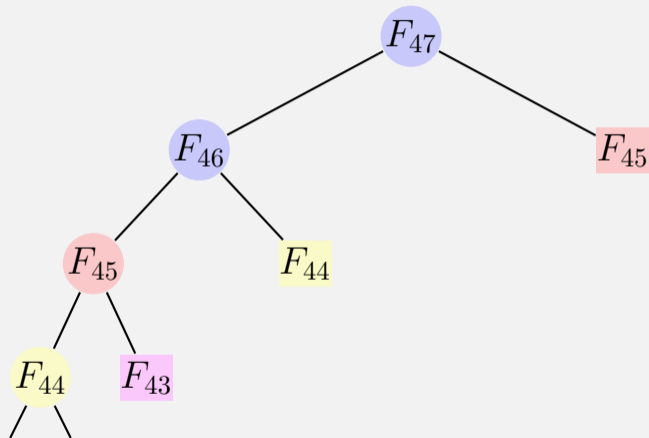


# Memoization

*Memoization* (sic) saving intermediate results.

- Before a subproblem is solved, the existence of the corresponding intermediate result is checked.
- If an intermediate result exists then it is used.
- Otherwise the algorithm is executed and the result is saved accordingly.

# Memoization with Fibonacci



Rechteckige Knoten wurden bereits ausgewertet.

# Algorithm FibonacciMemoization( $n$ )

**Input:**  $n \geq 0$

**Output:**  $n$ -th Fibonacci number

**if**  $n \leq 2$  **then**

|  $f \leftarrow 1$

**else if**  $\exists \text{memo}[n]$  **then**

|  $f \leftarrow \text{memo}[n]$

**else**

|  $f \leftarrow \text{FibonacciMemoization}(n - 1) + \text{FibonacciMemoization}(n - 2)$

|  $\text{memo}[n] \leftarrow f$

**return**  $f$

# Analysis

Computational complexity:

$$T(n) = T(n - 1) + c = \dots = \mathcal{O}(n).$$

because after the call to  $f(n - 1)$ ,  $f(n - 2)$  has already been computed.

A different argument:  $f(n)$  is computed exactly once recursively for each  $n$ . Runtime costs:  $n$  calls with  $\Theta(1)$  costs per call  $n \cdot c \in \Theta(n)$ . The recursion vanishes from the running time computation.

Algorithm requires  $\Theta(n)$  memory.<sup>19</sup>

---

<sup>19</sup>But the naive recursive algorithm also requires  $\Theta(n)$  memory implicitly.

## Looking closer ...

... the algorithm computes the values of  $F_1, F_2, F_3, \dots$  in the *top-down* approach of the recursion.

Can write the algorithm *bottom-up*. This is characteristic for *dynamic programming*.

# Algorithm FibonacciBottomUp(n)

**Input:**  $n \geq 0$

**Output:**  $n$ -th Fibonacci number

$F[1] \leftarrow 1$

$F[2] \leftarrow 1$

**for**  $i \leftarrow 3, \dots, n$  **do**

$F[i] \leftarrow F[i - 1] + F[i - 2]$

**return**  $F[n]$

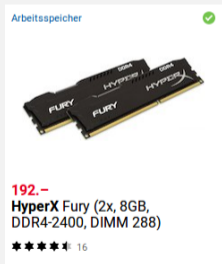
# Dynamic Programming: Idea

- Divide a complex problem into a reasonable number of sub-problems
- The solution of the sub-problems will be used to solve the more complex problem
- Identical problems will be computed only once

# Dynamic Programming Consequence

Identical problems will be computed only once

⇒ Results are saved



We trade speed against  
memory consumption



# Dynamic Programming: Description

- 1 Use a *DP-table* with information to the subproblems.  
Dimension of the entries? Semantics of the entries?

# Dynamic Programming: Description

- 1 Use a *DP-table* with information to the subproblems.  
Dimension of the entries? Semantics of the entries?
- 2 Computation of the *base cases*  
Which entries do not depend on others?

# Dynamic Programming: Description

- 1 Use a *DP-table* with information to the subproblems.  
Dimension of the entries? Semantics of the entries?
- 2 Computation of the *base cases*  
Which entries do not depend on others?
- 3 Determine *computation order*.  
In which order can the entries be computed such that dependencies are fulfilled?

# Dynamic Programming: Description

- 1 Use a *DP-table* with information to the subproblems.  
Dimension of the entries? Semantics of the entries?
- 2 Computation of the *base cases*  
Which entries do not depend on others?
- 3 Determine *computation order*.  
In which order can the entries be computed such that dependencies are fulfilled?
- 4 Read-out the *solution*  
How can the solution be read out from the table?

# Dynamic Programming: Description

- 1 Use a *DP-table* with information to the subproblems.  
Dimension of the entries? Semantics of the entries?
- 2 Computation of the *base cases*  
Which entries do not depend on others?
- 3 Determine *computation order*.  
In which order can the entries be computed such that dependencies are fulfilled?
- 4 Read-out the *solution*  
How can the solution be read out from the table?

Runtime (typical) = number entries of the table times required operations per entry.

# Dynamic Programming: Description with the example

1

Dimension of the table? Semantics of the entries?

# Dynamic Programming: Description with the example

1 Dimension of the table? Semantics of the entries?

$n \times 1$  table.  $n$ th entry contains  $n$ th Fibonacci number.

# Dynamic Programming: Description with the example

1 Dimension of the table? Semantics of the entries?

$n \times 1$  table.  $n$ th entry contains  $n$ th Fibonacci number.

2 Which entries do not depend on other entries?



# Dynamic Programming: Description with the example

1 Dimension of the table? Semantics of the entries?

$n \times 1$  table.  $n$ th entry contains  $n$ th Fibonacci number.

2 Which entries do not depend on other entries?

Values  $F_1$  and  $F_2$  can be computed easily and independently.

# Dynamic Programming: Description with the example

1 Dimension of the table? Semantics of the entries?

$n \times 1$  table.  $n$ th entry contains  $n$ th Fibonacci number.

2 Which entries do not depend on other entries?

Values  $F_1$  and  $F_2$  can be computed easily and independently.

3 What is the execution order such that required entries are always available?

# Dynamic Programming: Description with the example

1 Dimension of the table? Semantics of the entries?

$n \times 1$  table.  $n$ th entry contains  $n$ th Fibonacci number.

2 Which entries do not depend on other entries?

Values  $F_1$  and  $F_2$  can be computed easily and independently.

3 What is the execution order such that required entries are always available?

$F_i$  with increasing  $i$ .

# Dynamic Programming: Description with the example

- 1 Dimension of the table? Semantics of the entries?  
 $n \times 1$  table.  $n$ th entry contains  $n$ th Fibonacci number.
- 2 Which entries do not depend on other entries?  
Values  $F_1$  and  $F_2$  can be computed easily and independently.
- 3 What is the execution order such that required entries are always available?  
 $F_i$  with increasing  $i$ .
- 4 Wie kann sich Lösung aus der Tabelle konstruieren lassen?

# Dynamic Programming: Description with the example

- 1 Dimension of the table? Semantics of the entries?  
 $n \times 1$  table.  $n$ th entry contains  $n$ th Fibonacci number.
- 2 Which entries do not depend on other entries?  
Values  $F_1$  and  $F_2$  can be computed easily and independently.
- 3 What is the execution order such that required entries are always available?  
 $F_i$  with increasing  $i$ .
- 4 Wie kann sich Lösung aus der Tabelle konstruieren lassen?  
 $F_n$  ist die  $n$ -te Fibonacci-Zahl.

# Dynamic Programming = Divide-And-Conquer ?

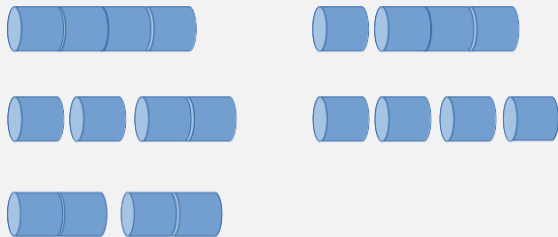
- In both cases the original problem can be solved (more easily) by utilizing the solutions of sub-problems. The problem provides *optimal substructure*.
- Divide-And-Conquer algorithms (such as Mergesort): sub-problems are independent; their solutions are required only once in the algorithm.
- DP: sub-problems are dependent. The problem is said to have *overlapping sub-problems* that are required multiple-times in the algorithm.
- In order to avoid redundant computations, results are tabulated. For *sub-problems there must not be any circular dependencies*.

# Rod Cutting

- Rods (metal sticks) are cut and sold.
- Rods of length  $n \in \mathbb{N}$  are available. A cut does not provide any costs.
- For each length  $l \in \mathbb{N}$ ,  $l \leq n$  known is the value  $v_l \in \mathbb{R}^+$
- Goal: cut the rods such (into  $k \in \mathbb{N}$  pieces) that

$$\sum_{i=1}^k v_{l_i} \text{ is maximized subject to } \sum_{i=1}^k l_i = n.$$

# Rod Cutting: Example



Possibilities to cut a rod of length 4 (without permutations)

Length	0	1	2	3	4
Price	0	2	3	8	9

$\Rightarrow$  Best cut: 3 + 1 with value 10.



# Wie findet man den DP Algorithms

- 0 Exact formulation of the wanted solution
- 1 Define sub-problems (and compute the cardinality)
- 2 Guess / Enumerate (and determine the running time for guessing)
- 3 Recursion: relate sub-problems
- 4 Memoize / Tabularize. Determine the dependencies of the sub-problems
- 5 Solve the problem  
Running time = #sub-problems  $\times$  time/sub-problem

# Structure of the problem

- 0 **Wanted:**  $r_n$  = maximal value of rod (cut or as a whole) with length  $n$ .
- 1 **sub-problems:** maximal value  $r_k$  for each  $0 \leq k < n$
- 2 **Guess** the length of the first piece
- 3 **Recursion**

$$r_k = \max \{v_i + r_{k-i} : 0 < i \leq k\}, \quad k > 0$$
$$r_0 = 0$$

- 4 **Dependency:**  $r_k$  depends (only) on values  $v_i$ ,  $1 \leq i \leq k$  and the optimal cuts  $r_i$ ,  $i < k$
- 5 **Solution** in  $r_n$

# Algorithm RodCut( $v, n$ )

**Input:**  $n \geq 0$ , Prices  $v$

**Output:** best value

$q \leftarrow 0$

**if**  $n > 0$  **then**

**for**  $i \leftarrow 1, \dots, n$  **do**  
         $q \leftarrow \max\{q, v_i + \text{RodCut}(v, n - i)\};$

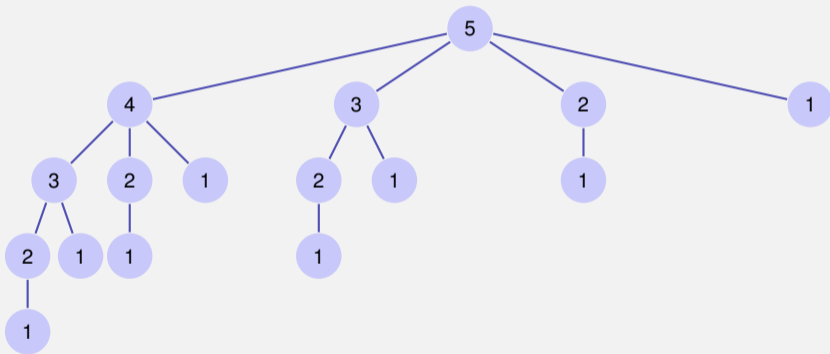
**return**  $q$

Running time  $T(n) = \sum_{i=0}^{n-1} T(i) + c \Rightarrow^{20} T(n) \in \Theta(2^n)$

---

<sup>20</sup> $T(n) = T(n - 1) + \sum_{i=0}^{n-2} T(i) + c = T(n - 1) + (T(n - 1) - c) + c = 2T(n - 1) \quad (n > 0)$

# Recursion Tree



# Algorithm RodCutMemoized( $m, v, n$ )

**Input:**  $n \geq 0$ , Prices  $v$ , Memoization Table  $m$

**Output:** best value

$q \leftarrow 0$

**if**  $n > 0$  **then**

**if**  $\exists m[n]$  **then**

$q \leftarrow m[n]$

**else**

**for**  $i \leftarrow 1, \dots, n$  **do**

$q \leftarrow \max\{q, v_i + \text{RodCutMemoized}(m, v, n - i)\};$

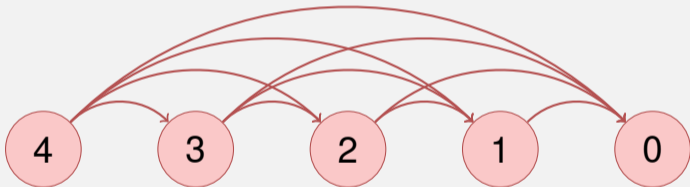
$m[n] \leftarrow q$

**return**  $q$

Running time  $\sum_{i=1}^n i = \Theta(n^2)$

# Subproblem-Graph

Describes the mutual dependencies of the subproblems



and must not contain cycles

# Construction of the Optimal Cut

- During the (recursive) computation of the optimal solution for each  $k \leq n$  the recursive algorithm determines the optimal length of the first rod
- Store the length of the first rod in a separate table of length  $n$

# Bottom-up Description with the example

1

Dimension of the table? Semantics of the entries?



# Bottom-up Description with the example

1 Dimension of the table? Semantics of the entries?

$n \times 1$  table.  $n$ th entry contains the best value of a rod of length  $n$ .

# Bottom-up Description with the example

1 Dimension of the table? Semantics of the entries?

$n \times 1$  table.  $n$ th entry contains the best value of a rod of length  $n$ .

2 Which entries do not depend on other entries?

# Bottom-up Description with the example

1 Dimension of the table? Semantics of the entries?

$n \times 1$  table.  $n$ th entry contains the best value of a rod of length  $n$ .

2 Which entries do not depend on other entries?

Value  $r_0$  is 0

# Bottom-up Description with the example

1 Dimension of the table? Semantics of the entries?

$n \times 1$  table.  $n$ th entry contains the best value of a rod of length  $n$ .

2 Which entries do not depend on other entries?

Value  $r_0$  is 0

3 What is the execution order such that required entries are always available?

.

# Bottom-up Description with the example

1 Dimension of the table? Semantics of the entries?

$n \times 1$  table.  $n$ th entry contains the best value of a rod of length  $n$ .

2 Which entries do not depend on other entries?

Value  $r_0$  is 0

3 What is the execution order such that required entries are always available?

$r_i, i = 1, \dots, n$ .

# Bottom-up Description with the example

1 Dimension of the table? Semantics of the entries?

$n \times 1$  table.  $n$ th entry contains the best value of a rod of length  $n$ .

2 Which entries do not depend on other entries?

Value  $r_0$  is 0

3 What is the execution order such that required entries are always available?

$r_i, i = 1, \dots, n$ .

4 Wie kann sich Lösung aus der Tabelle konstruieren lassen?

# Bottom-up Description with the example

1 Dimension of the table? Semantics of the entries?

$n \times 1$  table.  $n$ th entry contains the best value of a rod of length  $n$ .

2 Which entries do not depend on other entries?

Value  $r_0$  is 0

3 What is the execution order such that required entries are always available?

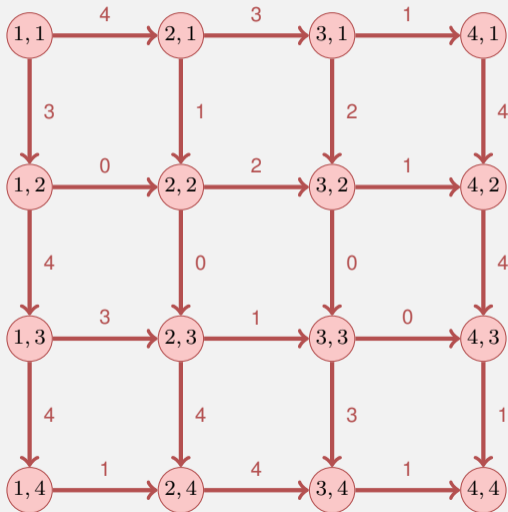
$r_i, i = 1, \dots, n$ .

4 Wie kann sich Lösung aus der Tabelle konstruieren lassen?

$r_n$  is the best value for the rod of length  $n$ .

# Rabbit!

A rabbit sits on cite  $(1, 1)$  of an  $n \times n$  grid. It can only move to east or south. On each pathway there is a number of carrots. How many carrots does the rabbit collect maximally?



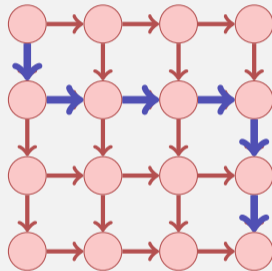


# Rabbit!

Number of possible paths?

- Choice of  $n - 1$  ways to south out of  $2n - 2$  ways overall.

⇒ No chance for a naive algorithm



The path 100011  
(1: to south, 0: to east)

# Rabbit!

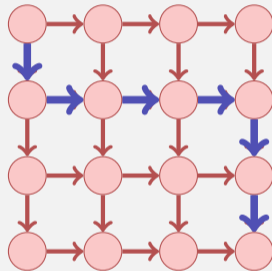
Number of possible paths?

- Choice of  $n - 1$  ways to south out of  $2n - 2$  ways overall.



$$\binom{2n - 2}{n - 1} \in \Omega(2^n)$$

⇒ No chance for a naive algorithm



The path 100011  
(1: to south, 0: to east)

# Recursion

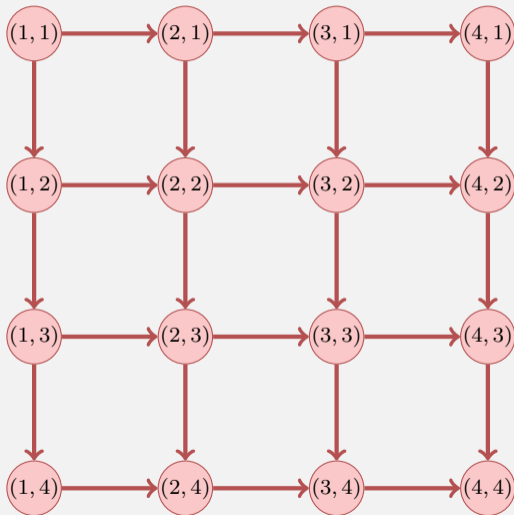
Wanted:  $T_{0,0} = \text{maximal number carrots from } (0,0) \text{ to } (n,n)$ .

Let  $w_{(i,j)-(i',j')}$  number of carrots on egde from  $(i, j)$  to  $(i', j')$ .

Recursion (maximal number of carrots from  $(i, j)$  to  $(n, n)$ )

$$T_{ij} = \begin{cases} \max\{w_{(i,j)-(i,j+1)} + T_{i,j+1}, w_{(i,j)-(i+1,j)} + T_{i+1,j}\}, & i < n, j < n \\ w_{(i,j)-(i,j+1)} + T_{i,j+1}, & i = n, j < n \\ w_{(i,j)-(i+1,j)} + T_{i+1,j}, & i < n, j = n \\ 0 & i = j = n \end{cases}$$

# Graph of Subproblem Dependencies



# Bottom-up Description with the example

Dimension of the table? Semantics of the entries?

1

# Bottom-up Description with the example

Dimension of the table? Semantics of the entries?

- 1 Table  $T$  with size  $n \times n$ . Entry at  $i, j$  provides the maximal number of carrots from  $(i, j)$  to  $(n, n)$ .

# Bottom-up Description with the example

Dimension of the table? Semantics of the entries?

- 1 Table  $T$  with size  $n \times n$ . Entry at  $i, j$  provides the maximal number of carrots from  $(i, j)$  to  $(n, n)$ .

2 Which entries do not depend on other entries?

# Bottom-up Description with the example

Dimension of the table? Semantics of the entries?

- 1 Table  $T$  with size  $n \times n$ . Entry at  $i, j$  provides the maximal number of carrots from  $(i, j)$  to  $(n, n)$ .

Which entries do not depend on other entries?

- 2 Value  $T_{n,n}$  is 0



# Bottom-up Description with the example

Dimension of the table? Semantics of the entries?

- 1 Table  $T$  with size  $n \times n$ . Entry at  $i, j$  provides the maximal number of carrots from  $(i, j)$  to  $(n, n)$ .

Which entries do not depend on other entries?

- 2 Value  $T_{n,n}$  is 0

What is the execution order such that required entries are always available?

- 3

# Bottom-up Description with the example

Dimension of the table? Semantics of the entries?

- 1 Table  $T$  with size  $n \times n$ . Entry at  $i, j$  provides the maximal number of carrots from  $(i, j)$  to  $(n, n)$ .

Which entries do not depend on other entries?

- 2 Value  $T_{n,n}$  is 0

What is the execution order such that required entries are always available?

- 3  $T_{i,j}$  with  $i = n \searrow 1$  and for each  $i: j = n \searrow 1$ , (or vice-versa:  $j = n \searrow 1$  and for each  $j: i = n \searrow 1$ ).

# Bottom-up Description with the example

Dimension of the table? Semantics of the entries?

- 1 Table  $T$  with size  $n \times n$ . Entry at  $i, j$  provides the maximal number of carrots from  $(i, j)$  to  $(n, n)$ .

Which entries do not depend on other entries?

- 2 Value  $T_{n,n}$  is 0

What is the execution order such that required entries are always available?

- 3  $T_{i,j}$  with  $i = n \searrow 1$  and for each  $i: j = n \searrow 1$ , (or vice-versa:  $j = n \searrow 1$  and for each  $j: i = n \searrow 1$ ).

Wie kann sich Lösung aus der Tabelle konstruieren lassen?

- 4

# Bottom-up Description with the example

Dimension of the table? Semantics of the entries?

- 1 Table  $T$  with size  $n \times n$ . Entry at  $i, j$  provides the maximal number of carrots from  $(i, j)$  to  $(n, n)$ .

Which entries do not depend on other entries?

- 2 Value  $T_{n,n}$  is 0

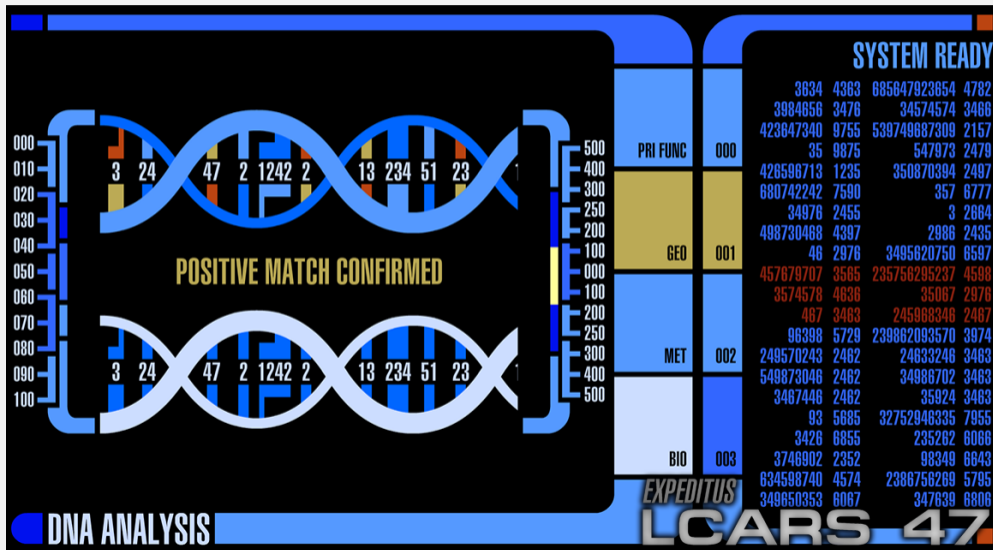
What is the execution order such that required entries are always available?

- 3  $T_{i,j}$  with  $i = n \searrow 1$  and for each  $i: j = n \searrow 1$ , (or vice-versa:  $j = n \searrow 1$  and for each  $j: i = n \searrow 1$ ).

Wie kann sich Lösung aus der Tabelle konstruieren lassen?

- 4  $T_{1,1}$  provides the maximal number of carrots.

# DNA - Comparison (Star Trek)



# DNA - Comparison

- DNA consists of sequences of four different nucleotides **A**denine **G**uanine **T**hymine **C**ytosine
- DNA sequences (genes) thus can be described with strings of A, G, T and C.
- Possible comparison of two genes: determine the **longest common subsequence**

The longest common subsequence problem is a special case of the minimal edit distance problem. The following slides are therefore not presented in the lectures.

# [Longest common subsequence]

Subsequences of a string:

Subsequences(*KUH*):  $()$ ,  $(K)$ ,  $(U)$ ,  $(H)$ ,  $(KU)$ ,  $(KH)$ ,  $(UH)$ ,  
 $(KUH)$

Problem:

- **Input:** two strings  $A = (a_1, \dots, a_m)$ ,  $B = (b_1, \dots, b_n)$  with lengths  $m > 0$  and  $n > 0$ .
- **Wanted:** Longest common subsequences (LCS) of  $A$  and  $B$ .

# [Longest Common Subsequence]

Examples:

$LGT(IGEL, KATZE) = E$ ,  $LGT(TIGER, ZIEGE) = IGE$

Ideas to solve?

T	I		G	E	R
Z	I	E	G	E	



# [Recursive Procedure]

**Assumption:** solutions  $L(i, j)$  known for  $A[1, \dots, i]$  and  $B[1, \dots, j]$  for all  $1 \leq i \leq m$  and  $1 \leq j \leq n$ , but not for  $i = m$  and  $j = n$ .

T I G E R  
Z I E G E

Consider characters  $a_m, b_n$ . Three possibilities:

- 1  $A$  is enlarged by one whitespace.  $L(m, n) = L(m, n - 1)$
- 2  $B$  is enlarged by one whitespace.  $L(m, n) = L(m - 1, n)$
- 3  $L(m, n) = L(m - 1, n - 1) + \delta_{mn}$  with  $\delta_{mn} = 1$  if  $a_m = b_n$  and  $\delta_{mn} = 0$  otherwise

# [Recursion]

$$L(m, n) \leftarrow \max \{L(m - 1, n - 1) + \delta_{mn}, L(m, n - 1), L(m - 1, n)\}$$

for  $m, n > 0$  and base cases  $L(\cdot, 0) = 0, L(0, \cdot) = 0$ .

	$\emptyset$	Z	I	E	G	E
$\emptyset$	0	0	0	0	0	0
T	0	0	0	0	0	0
I	0	0	1	1	1	1
G	0	0	1	1	2	2
E	0	0	1	2	2	3
R	0	0	1	2	2	3

# [Dynamic Programming algorithm LCS]

Dimension of the table? Semantics?

1

# [Dynamic Programming algorithm LCS]

Dimension of the table? Semantics?

- 1 Table  $L[0, \dots, m][0, \dots, n]$ .  $L[i, j]$ : length of a LCS of the strings  $(a_1, \dots, a_i)$  and  $(b_1, \dots, b_j)$

# [Dynamic Programming algorithm LCS]

## Dimension of the table? Semantics?

- 1 Table  $L[0, \dots, m][0, \dots, n]$ .  $L[i, j]$ : length of a LCS of the strings  $(a_1, \dots, a_i)$  and  $(b_1, \dots, b_j)$

## Computation of an entry

- 2

# [Dynamic Programming algorithm LCS]

## Dimension of the table? Semantics?

- 1 Table  $L[0, \dots, m][0, \dots, n]$ .  $L[i, j]$ : length of a LCS of the strings  $(a_1, \dots, a_i)$  and  $(b_1, \dots, b_j)$

## Computation of an entry

- 2  $L[0, i] \leftarrow 0 \forall 0 \leq i \leq m$ ,  $L[j, 0] \leftarrow 0 \forall 0 \leq j \leq n$ . Computation of  $L[i, j]$  otherwise via  $L[i, j] = \max(L[i-1, j-1] + \delta_{ij}, L[i, j-1], L[i-1, j])$ .

# [Dynamic Programming algorithm LCS]

3

Computation order

# [Dynamic Programming algorithm LCS]

## Computation order

- 3 Rows increasing and within columns increasing (or the other way round).



# [Dynamic Programming algorithm LCS]

## Computation order

- 3 Rows increasing and within columns increasing (or the other way round).

## Reconstruct solution?

- 4

# [Dynamic Programming algorithm LCS]

## Computation order

- 3 Rows increasing and within columns increasing (or the other way round).

## Reconstruct solution?

- 4 Start with  $j = m, i = n$ . If  $a_i = b_j$  then output  $a_i$  and continue with  $(j, i) \leftarrow (j - 1, i - 1)$ ; otherwise, if  $L[i, j] = L[i, j - 1]$  continue with  $j \leftarrow j - 1$  otherwise, if  $L[i, j] = L[i - 1, j]$  continue with  $i \leftarrow i - 1$ .  
Terminate for  $i = 0$  or  $j = 0$ .

# [Analysis LCS]

- Number table entries:  $(m + 1) \cdot (n + 1)$ .
- Constant number of assignments and comparisons each. Number steps:  $\mathcal{O}(mn)$
- Determination of solution: decrease  $i$  or  $j$ . Maximally  $\mathcal{O}(n + m)$  steps.

Runtime overall:

$$\mathcal{O}(mn).$$

# Minimal Editing Distance

Editing distance of two sequences  $A_n = (a_1, \dots, a_n)$ ,  
 $B_m = (b_1, \dots, b_m)$ .

## Editing operations:

- **Insertion** of a character
- **Deletion** of a character
- **Replacement** of a character

Question: how many editing operations at least required in order to transform string  $A$  into string  $B$ .

*TIGER ZIGER ZIEGER ZIEGE*

# Minimal Editing Distance

Wanted: cheapest character-wise transformation  $A_n \rightarrow B_m$  with costs

operation	Levenshtein	LCS <sup>21</sup>	general
Insert $c$	1	1	ins( $c$ )
Delete $c$	1	1	del( $c$ )
Replace $c \rightarrow c'$	$\mathbb{1}(c \neq c')$	$\infty \cdot \mathbb{1}(c \neq c')$	repl( $c, c'$ )

Beispiel

T I G E R

T I \_ G E R

T $\rightarrow$ Z +E -R

Z I E G E

Z I E G E \_

Z $\rightarrow$ T -E +R

<sup>21</sup>Longest common subsequence – A special case of an editing problem

# DP

0  $E(n, m)$  = minimum number edit operations (ED cost)

$$a_{1\dots n} \rightarrow b_{1\dots m}$$

1 Subproblems  $E(i, j)$  = ED von  $a_{1\dots i}$   $b_{1\dots j}$ .

$$\#SP = n \cdot m$$

2 Guess

$$\text{Costs } \Theta(1)$$

■  $a_{1\dots i} \rightarrow a_{1\dots i-1}$  (delete)

■  $a_{1\dots i} \rightarrow a_{1\dots i}b_j$  (insert)

■  $a_{1\dots i} \rightarrow a_{1\dots i_1}b_j$  (replace)

3 Rekursion

$$E(i, j) = \min \begin{cases} \text{del}(a_i) + E(i-1, j), \\ \text{ins}(b_j) + E(i, j-1), \\ \text{repl}(a_i, b_j) + E(i-1, j-1) \end{cases}$$

## 4 Dependencies



⇒ Computation from left top to bottom right. Row- or column-wise.

## 5 Solution in $E(n, m)$

## Example (Levenshtein Distance)

$$E[i, j] \leftarrow \min \{ E[i-1, j] + 1, E[i, j-1] + 1, E[i-1, j-1] + \mathbb{1}(a_i \neq b_j) \}$$

	$\emptyset$	Z	I	E	G	E
$\emptyset$	0	1	2	3	4	5
T	1	1	2	3	4	5
I	2	2	1	2	3	4
G	3	3	2	2	2	3
E	4	4	3	2	3	2
R	5	5	4	3	3	3

Editing steps: from bottom right to top left, following the recursion.  
Bottom-Up description of the algorithm: exercise



# Bottom-Up DP algorithm ED]

Dimension of the table? Semantics?

1

# Bottom-Up DP algorithm ED]

Dimension of the table? Semantics?

- 1 Table  $E[0, \dots, m][0, \dots, n]$ .  $E[i, j]$ : minimal edit distance of the strings  $(a_1, \dots, a_i)$  and  $(b_1, \dots, b_j)$

# Bottom-Up DP algorithm ED]

Dimension of the table? Semantics?

- 1 Table  $E[0, \dots, m][0, \dots, n]$ .  $E[i, j]$ : minimal edit distance of the strings  $(a_1, \dots, a_i)$  and  $(b_1, \dots, b_j)$

Computation of an entry

- 2

# Bottom-Up DP algorithm ED]

## Dimension of the table? Semantics?

- 1 Table  $E[0, \dots, m][0, \dots, n]$ .  $E[i, j]$ : minimal edit distance of the strings  $(a_1, \dots, a_i)$  and  $(b_1, \dots, b_j)$

## Computation of an entry

- 2  $E[0, i] \leftarrow i \forall 0 \leq i \leq m$ ,  $E[j, 0] \leftarrow j \forall 0 \leq j \leq n$ . Computation of  $E[i, j]$  otherwise via  $E[i, j] = \min\{\text{del}(a_i) + E(i-1, j), \text{ins}(b_j) + E(i, j-1), \text{repl}(a_i, b_j) + E(i-1, j-1)\}$

# Bottom-Up DP algorithm ED

3

Computation order

# Bottom-Up DP algorithm ED

## Computation order

- 3 Rows increasing and within columns increasing (or the other way round).

# Bottom-Up DP algorithm ED

## Computation order

- 3 Rows increasing and within columns increasing (or the other way round).

## Reconstruct solution?

- 4

# Bottom-Up DP algorithm ED

## Computation order

- 3 Rows increasing and within columns increasing (or the other way round).

## Reconstruct solution?

- 4 Start with  $j = m, i = n$ . If  $E[i, j] = \text{repl}(a_i, b_j) + E(i - 1, j - 1)$  then output  $a_i \rightarrow b_j$  and continue with  $(j, i) \leftarrow (j - 1, i - 1)$ ; otherwise, if  $E[i, j] = \text{del}(a_i) + E(i - 1, j)$  output  $\text{del}(a_i)$  and continue with  $j \leftarrow j - 1$  otherwise, if  $E[i, j] = \text{ins}(b_j) + E(i, j - 1)$ , continue with  $i \leftarrow i - 1$ .  
Terminate for  $i = 0$  and  $j = 0$ .