



# Exercise Session 9

Data Structures and Algorithms, D-MATH, ETH Zurich

# Program of today

Feedback of last exercise

Repetition Theory

- Activity Selection

- Huffman Coding

- Recursive Problem-Solving Strategies

In-Class-Exercise (practical)

Hints for current tasks

# 1. Feedback of last exercise

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## 2. Repetition Theory

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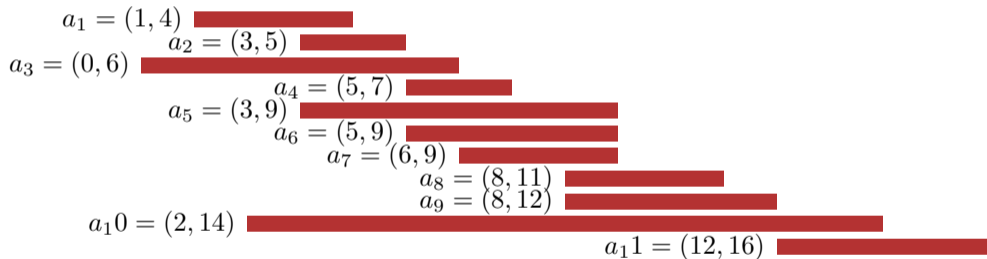
# Greedy Choice

A problem with a recursive solution can be solved with a **greedy algorithm** if it has the following properties:

- The problem has **optimal substructure**: the solution of a problem can be constructed with a combination of solutions of sub-problems.
- The problem has the **greedy choice property**: The solution to a problem can be constructed, by using a local property that does not depend on the solution of the sub-problems.

# Activity Selection

Coordination of activities that use a common resource exclusively.  
Activities  $S = \{a_1, a_2, \dots, a_n\}$  with start- and finishing times  
 $0 \leq s_i \leq f_i < \infty$ , increasingly sorted by finishing times.



**Activity Selection Problem:** Find a maximal subset (maximum number of elements) of compatible (non-intersecting) activities.

# Dynamic Programming Approach?

Let  $S_{ij} = \{a_k : f_i \leq s_k \wedge f_k \leq s_j\}$ .

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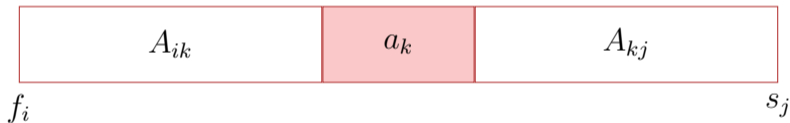


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Let  $a_k \in A_{ij}$  and  $A_{ik} = S_{ik} \cap A_{ij}$ ,  $A_{kj} = S_{kj} \cap A_{ij}$ , thus  $A_{ij} = A_{ik} + \{a_k\} + A_{kj}$ .

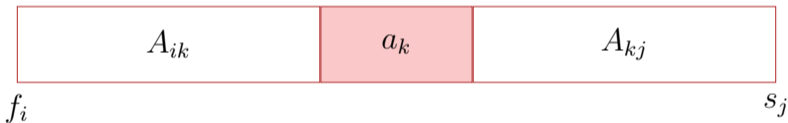


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Straightforward:  $A_{ik}$  and  $A_{kj}$  must be maximal, otherwise  $A_{ij} = A_{ik} + \{a_k\} + A_{kj}$  would not be maximal.

# Dynamic Programming Approach?

Let  $c_{ij} = |A_{ij}|$ .

Then the following recursion holds

$$c_{ij} = \begin{cases} 0 & \text{falls } S_{ij} = \emptyset, \\ \max_{a_k \in S_{ij}} \{c_{ik} + c_{kj} + 1\} & \text{falls } S_{ij} \neq \emptyset. \end{cases}$$

$\Rightarrow$  Dynamic programming.

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⇒ Dynamic programming.

But there is a simpler alternative.

# Greedy

**Intuition:** choose the activity that provides the earliest end time ( $a_1$ ). That leaves maximal space for other activities.

# Greedy

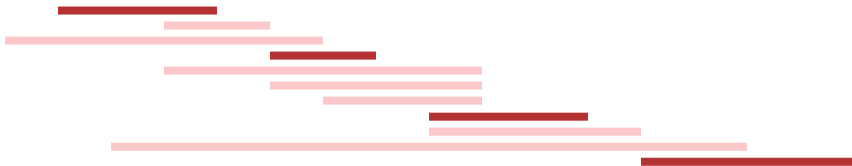
**Intuition:** choose the activity that provides the earliest end time ( $a_1$ ). That leaves maximal space for other activities.

**Remaining problem:** activities that start after  $a_1$  ends. (There are no activities that can end before  $a_1$  starts.)

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# Greedy

## Theorem 1

*Given: The set of subproblem  $S_k$ , and an activity  $a_m$  from  $S_k$  with the earliest end time. Then  $a_m$  is contained in a maximal subset of compatible activities from  $S_k$ .*

Let  $A_k$  be a maximal subset with compatible activities from  $S_k$ , and  $a_j$  be an activity from  $A_k$  with the earliest end time. If  $a_j = a_m \Rightarrow$  done. If  $a_j \neq a_m$ , then consider  $A'_k = A_k - \{a_j\} \cup \{a_m\}$ .  $A'_k$  consists of compatible activities and is also maximal because  $|A'_k| = |A_k|$ .





# Algorithm RecursiveActivitySelect( $s, f, k, n$ )

**Input:** Sequence of start and end points  $(s_i, f_i)$ ,  $1 \leq i \leq n$ ,  $s_i < f_i$ ,  $f_i \leq f_{i+1}$   
for all  $i$ .  $1 \leq k \leq n$

**Output:** Set of all compatible activities.

$m \leftarrow k + 1$

**while**  $m \leq n$  and  $s_m \leq f_k$  **do**

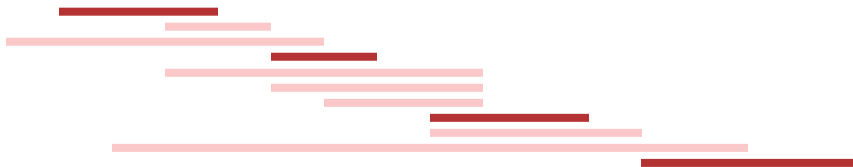
└  $m \leftarrow m + 1$

**if**  $m \leq n$  **then**

└ **return**  $\{a_m\} \cup \text{RecursiveActivitySelect}(s, f, m, n)$

**else**

└ **return**  $\emptyset$



# Algorithm IterativeActivitySelect( $s, f, n$ )

**Input:** Sequence of start and end points  $(s_i, f_i)$ ,  $1 \leq i \leq n$ ,  $s_i < f_i$ ,  $f_i \leq f_{i+1}$  for all  $i$ .

**Output:** Maximal set of compatible activities.

$A \leftarrow \{a_1\}$

$k \leftarrow 1$

**for**  $m \leftarrow 2$  **to**  $n$  **do**

**if**  $s_m \geq f_k$  **then**  
         $A \leftarrow A \cup \{a_m\}$   
         $k \leftarrow m$

**return**  $A$

Runtime of both algorithms:

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Runtime of both algorithms:  $\Theta(n)$

# Huffman's Idea

Tree construction bottom up

- Start with the set  $C$  of code words

a:45

b:13

c:12

d:16

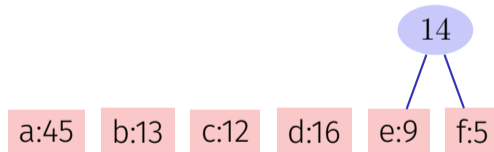
e:9

f:5

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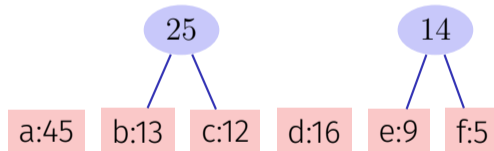
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- Replace iteratively the two nodes with smallest frequency by a new parent node.



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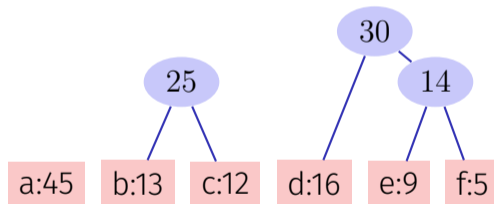
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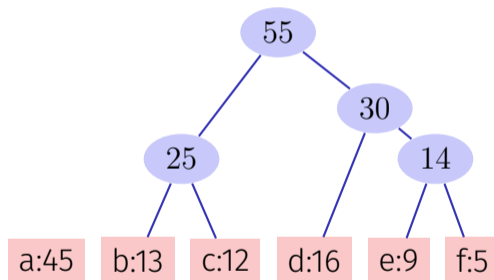
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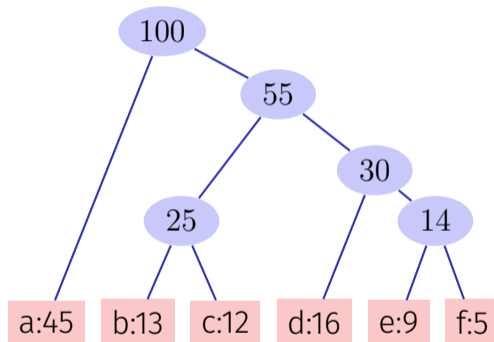




# Huffman's Idea

Tree construction bottom up

- Start with the set  $C$  of code words
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# Algorithm Huffman( $C$ )

**Input:** code words  $c \in C$

**Output:** Root of an optimal code tree

$n \leftarrow |C|$

$Q \leftarrow C$

**for**  $i = 1$  **to**  $n - 1$  **do**

    allocate a new node  $z$

$z.\text{left} \leftarrow \text{ExtractMin}(Q)$

// extract word with minimal frequency.

$z.\text{right} \leftarrow \text{ExtractMin}(Q)$

$z.\text{freq} \leftarrow z.\text{left}.\text{freq} + z.\text{right}.\text{freq}$

$\text{Insert}(Q, z)$

**return**  $\text{ExtractMin}(Q)$

# Recursive Problem-Solving Strategies

**Brute Force  
Enumeration**

**Backtracking**

**Divide and  
Conquer**

**Dynamic  
Programming**

**Greedy**

# Recursive Problem-Solving Strategies

<b>Brute Force Enumeration</b>	<b>Backtracking</b>	<b>Divide and Conquer</b>	<b>Dynamic Programming</b>	<b>Greedy</b>
Recursive Enumeration	Constraint Satisfaction, Partial Validation	Optimal Substructure	Optimal Substructure, Overlapping Subproblems	Optimal Substructure, Greedy Choice Property

# Recursive Problem-Solving Strategies

<b>Brute Force Enumeration</b>	<b>Backtracking</b>	<b>Divide and Conquer</b>	<b>Dynamic Programming</b>	<b>Greedy</b>
Recursive Enumeration	Constraint Satisfaction, Partial Validation	Optimal Substructure	Optimal Substructure, Overlapping Subproblems	Optimal Substructure, Greedy Choice Property
DFS, BFS, all Permutations, Tree Traversal	n-Queen, Sudoku, m-Coloring, SAT-Solving, naive TSP	Binary Search, Mergesort, Quicksort, Hanoi Towers, FFT	Bellman Ford, Warshall, Rod-Cutting, LAS, Editing Distance, Knapsack Problem DP	Dijkstra, Kruskal, Huffmann Coding

### 3. In-Class-Exercise (practical)

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Complement the DP implementation to compute an optimal search tree. → CodeExpert



## 4. Hints for current tasks

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Huffman Coding

# Huffman Code:

Use `std::map` (#include `<map>`)

```
std::map<std::string,int> observations;
```

```
// simple access to elements
```

```
++observations["cat"];
```

```
++observations["mouse"];
```

```
++observations["mouse"];
```

```
// a map is a collection of std::pair
```

```
// show all entries
```

```
for (auto x:observations){
```

```
    std::cout << "observations of " << x.first << ":" << x.second << std::endl
```

```
}
```



# Huffman Code:

Use `std::priority_queue` (`#include <queue>`)

```
struct MyClass {
    int x;
    MyClass(int X): x{X} {};
};

struct compare{
    bool operator() (const MyClass& a, const MyClass& b){
        return a.x < b.x;
    }
};
//...
std::priority_queue<MyClass, std::vector<MyClass>, compare> q;
q.push(MyClass(10));
```

# Huffman Code:

Use Smart Pointers `std::shared_ptr` (`#include <memory>`)

```
struct List {
    int value;
    std::shared_ptr<List> next;
    List(std::shared_ptr<List> n, int v): value{v}, next{n} {};
};
...
// automatic memory management, we do not need to care
std::shared_ptr<List> l = std::make_shared<List>(nullptr, 10);
l = std::make_shared<List>(l, 20);
while (l != nullptr){ // output: 20 10
    std::cout << l->value << std::endl;
    l = l->next;
}
```

# Huffman Node

```
using SharedNode=std::shared_ptr<Node>;
struct Node{
    char value;
    int frequency;
    SharedNode left;
    SharedNode right;

    // constructor for leafs
    Node(char v, int f): value{v}, frequency{f},
        left{nullptr}, right{nullptr} {}
    // constructor for inner nodes
    Node(SharedNode l, SharedNode r): value{0},
        frequency{l->frequency + r->frequency}, left{l}, right{r} {};
};
```