



Exercise Session 2

Data Structures and Algorithms, D-MATH, ETH Zurich

Program of today

Feedback of last exercise

C++ Container Library

Templates Recap

Repetition theory

 Induction

Use Case

 Subarray Sum Problem

Landau Notation

- Give a correct definition of the set $\Theta(f)$ as compact as possible analogously to the definitions for sets $\mathcal{O}(f)$ and $\Omega(f)$.

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- $\Theta(f) = \{g : \mathbb{N} \rightarrow \mathbb{R} \mid \exists c > 0, n_0 \in \mathbb{N} : \frac{1}{c} \cdot f(n) \leq g(n) \leq c \cdot f(n) \forall n \geq n_0\}$

Landau Notation

Prove or disprove the following statements, where $f, g : \mathbb{N} \rightarrow \mathbb{R}^+$.

(a) $f \in \mathcal{O}(g)$ if and only if $g \in \Omega(f)$.

(e) $\log_a(n) \in \Theta(\log_b(n))$ for all constants $a, b \in \mathbb{N} \setminus \{1\}$

(g) If $f_1, f_2 \in \mathcal{O}(g)$ and $f(n) := f_1(n) \cdot f_2(n)$, then $f \in \mathcal{O}(g)$.

Landau Notation

Sorting functions: if function f is less than function g , then $f \in \mathcal{O}(g)$.

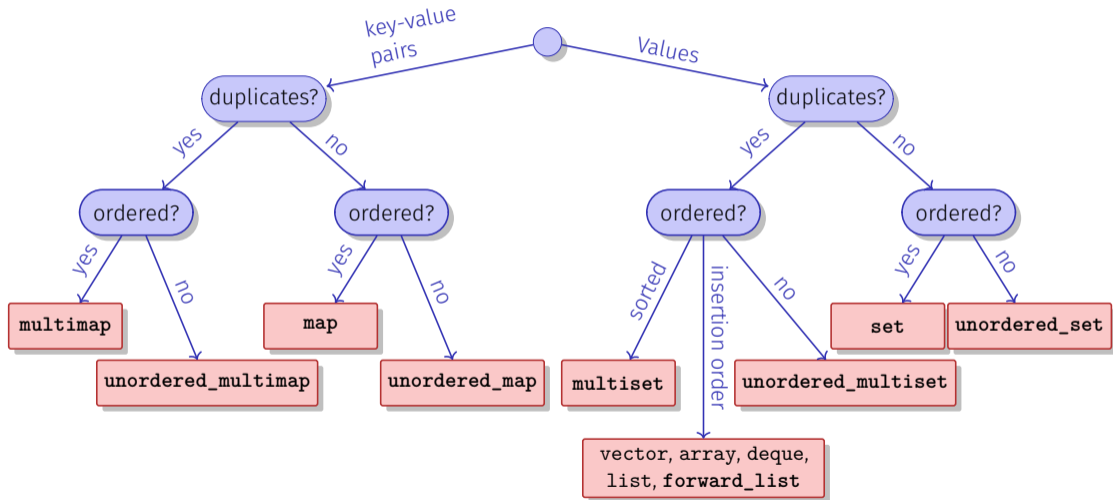
2^{16} , $\log(n^4)$, $\log^8(n)$, \sqrt{n} , $n \log n$, $\binom{n}{3}$, $n^5 + n$, $\frac{2^n}{n^2}$, $n!$, n^n .

Sum of elements in two-dimensional array

Problems / Questions?

2. C++ Container Library

C++ Containers



Sequence-Container

vector	array	deque	list	forward_list
contiguous dynamic memory	contiguous static memory	Non-cont. dyn. memory	Non-cont. dyn. memory	Non-cont. dyn. memory
random ac- cess	random ac- cess	random ac- cess		
fast push/pop back		fast push/pop front/back	fast push/pop front/back	fast push/pop front
bid. iteration	bid. iteration	bid. iteration	bid. iteration	forward itera- tion

Sets and Multisets

- `std::set<E>` contains unique elements
- `std::multiset<E>` allows duplicate elements
 - Iteration yields all elements in decreasing order (in non-deterministic order if `unordered_multiset`)
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Example of `std::multiset`

```
Content: Xanten Xenon Xenon Xenon Xerografie Xerophil Xylose  
count("Xenon") = 3  
count("Xylose") = 1
```

Maps and Multimaps

- `std::map<K,V>` contains pairs (key, value), where a key maps to at most one value
- `std::multimap<K,V>` allows duplicate pairs
 - Iteration yields all pairs in descending key order (in non-deterministic order, if `unordered_multimap`)
 - `std::multimap<K,V>::count(key)` returns the number of occurrences of a given key
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Example of `std::multimap<K,V>`

```
Content: {2, er} {2, du} {2, es} {3, Axt} {3, sie} {4, Igel}
```

```
count(2) = 3
```

```
Values for key 2: er du es
```

3. Templates Recap

Motivation

Goal: generic binary tree without duplicating code

```
class Node { ... }; // Node of a binary search tree
auto n1 = Node<int>(5);
auto n2 = Node<std::string>("Zürich");
n1.insert(1);
n2.contains(2); // Compiler error
```

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Idea:

- Make classes and functions parametric in types (= template parameters)
...
- ... just as they are already parametric in values (= function parameters)

Types as Template Parameters

1. In the concrete implementation of a class replace the type that should become generic (e.g. `int`) by a representative element, e.g. `T`.
2. Put in front of the class the construct `template<typename T>` (Replace `T` by the representative name).

The construct `template<typename T>` can be understood as “**for all types T**”.

Class template

```
template <typename K>
class Node {
    K key;
    Node* left, right;
public:
    Node(K k, Node* l, Node* r): key(k), left(l), right(r) {}

    bool contains(K search_key) const {
        return search_key != key
            || left != nullptr && left->contains(search_key)
            || right != nullptr && right->contains(search_key)
    }
    ...
};
```

Function Template: Analogous Approach

1. To make a concrete implementation generic, replace the specific type (e.g. `int`) with a name, e.g. `T`,
2. Put in front of the function the construct `template<typename T>` (Replace `T` by the chosen name)

Examples

- For free functions

```
template <typename T>
void swap(T& x, T& y) {
    T temp = x;
    x = y;
    y = temp;
}
```

```
template <typename Iter>
void is_sorted(Iter begin, Iter end){
    ...
}
```

- For operators

```
template <typename T>
ostream& operator<<(ostream& out, const Node<T> root) {
    ...
}
```

Semantics (Code-Generation)

For each template instance, the compiler creates a corresponding instantiated class (or function) → static code generation

```
Node<int> n1 = ...;  
Node<std::string> n2 = ...;  
Node<Student> n3 = ...;
```

n1

```
class Node_int {  
    int key;  
    ...  
    bool contains(int k) {...}  
    int max() {...}  
};
```

n2

```
class Node_string {  
    std::string key;  
    ...  
};
```

n3

```
class Node_Student {  
    Student key;  
    ...  
};
```

Semantics (Code-Generation)

For each template instance, the compiler creates a corresponding instantiated class (or function) → static code generation

Question: what does this imply for separate compilation?

- Should templates go into .h (declarations) or .cpp (definitions) files?
- Is it possible to ship the compiled implementation (binary file compiled from .cpp) alongside the header file?

Type testing

- Templates: syntactic checks
- Instances: checks as usual

```
template <typename T>
T abs(T v) {
    return 0 <= v ? v : -v;
}
// main
foo(8); // OK
```

```
template <typename T>
void swap(T& x, T& y) {
    ...
}
// main
double a = 1.0;
double b = 7;
swap(a, b); // OK
```

```
template <typename T>
T abs(T v) {
    return 0 <= v ? v : -v; // Error
}
// main
foo("hi"); // Error
```

```
template <typename T>
void swap(T& x, T& y) {
    ...
}
// main
double a = 1.0;
string b = "seven";
swap(a, b); // Error
```

Other Languages

All languages try to foster code reuse but chose different solutions.

- C++, Rust:
 - static code generation
 - no runtime overhead
 - difficult to integrate into OOP
- C#, Scala (, Java)
 - type parameters are turned into runtime values
 - well-suited for OOP
 - minor runtime overhead
- Python, JavaScript:
 - dynamic typing (duck typing)
 - no syntactic overhead
 - potentially significant runtime overhead

3.1 auto vs templates

auto

- Placeholder type specifier
 - Must be uniquely determined by direct context: initialiser code, or returns
 - User could write type themselves, but leave it to the compiler

```
std::vector<int> vec = ...;  
auto it = vec.cbegin();  
// placeholder for std::vector<int>::const_iterator
```

- Failing examples:

```
auto x; // x has no initializer  
x = 0.0;  
auto first_or_else(std::vector<int> data, unsigned int or_else) {  
    if (data.size() == 0) return or_else;  
    else return data[0];  
}
```

Templates

- Parameters are unknown until instantiated

```
template <typename N>
char sign(N v) {
    if (0 <= v) return '+';
    else return '-';
}
```

```
template <typename T1, typename T2>
struct Pair {
    T1 fst;
    T2 snd;
};
```

- Instantiation may happen anywhere

```
Pair<int, double> p1 = Pair{1, 0.1};
auto p2 = Pair<std::string, bool>{"Brazil", true};
```

Combining templates and auto

`auto` auto inside template must be determined after instantiation

```
template <typename C>
void print(C container) {
    for (auto& e : container)
        std::cout << e << ' ';
}
```

```
std::vector<int> numbers = {1, 2, 3};
print(numbers); // now auto can be determined
```

```
std::vector<std::string> airports = {"LAX", "LDN", "ZHR"};
print(airports); // now auto can be determined
```

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Question: Is it possible to not use `auto` here?

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void print(C container) {
    for (auto& e : container)
        std::cout << e << ' ';
}
```

Question: Is it possible to not use `auto` here?

Answer: Yes, for example by replacing `auto` with an additional template parameter `E`

From auto to templates

- Before C++20 auto function parameters are forbidden

```
void print(auto x) {...} // Compiler error
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- Since C++20 auto function parameters are allowed

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void print(auto x) {...} // ok
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Clearly, it is still not possible to determine what auto stands for.

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void print(auto x) {...} // ok
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Clearly, it is still not possible to determine what auto stands for.

Question: What could be the meaning of auto in this case??

Answer: It is just a shorthand for a template parameter

```
template <typename T>  
void Print(T x){ ... }
```

4. Repetition theory

Induction: what is required?

- Prove statements, for example $\sum_{i=1}^n i = \frac{n(n+1)}{2}$.

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- Induction hypothesis: we assume that the statement holds for some n
- Induction step ($n \rightarrow n + 1$):
 - From the validity of the statement for n (induction hypothesis) it follows the one for $n + 1$.
 - e.g.: $\sum_{i=1}^{n+1} i = n + 1 + \sum_{i=1}^n i = n + 1 + \frac{n(n+1)}{2} = \frac{(n+2)(n+1)}{2}$.

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- Base clause:
 $n = 0$: $\sum_{i=0}^0 r^i = 1 = \frac{1-r^1}{1-r}$.
- Induction step ($n \rightarrow n + 1$):

$$\begin{aligned}\sum_{i=0}^{n+1} r^i &= r^{n+1} + \sum_{i=0}^n r^i \\ &= r^{n+1} + \frac{1-r^{n+1}}{1-r} = \frac{r^{n+1} - r^{n+2} + 1 - r^{n+1}}{1-r} = \frac{1-r^{n+2}}{1-r}.\end{aligned}$$

[Besides..]

It can be shown easily in a direct manner

$$\begin{aligned}\frac{r^{n+1} - 1}{r - 1} &\stackrel{!}{=} \sum_{i=0}^n r^i \\ (r - 1) \cdot \sum_{i=0}^n r^i &= \sum_{i=0}^n r^{i+1} - \sum_{i=0}^n r^i \\ &= \sum_{i=1}^{n+1} r^i - \sum_{i=0}^n r^i = \sum_{i=0}^{n+1} r^i - 1 - \sum_{i=0}^n r^i \\ &= r^{n+1} - 1\end{aligned}$$

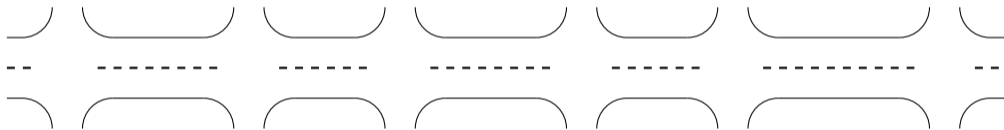
5. Use Case

5.1 Subarray Sum Problem

Naïve Solution, prefix sums, binary search, Sliding Window

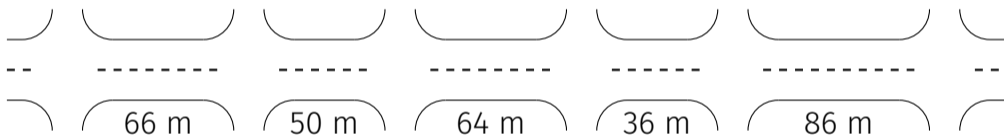
Street section of a given length

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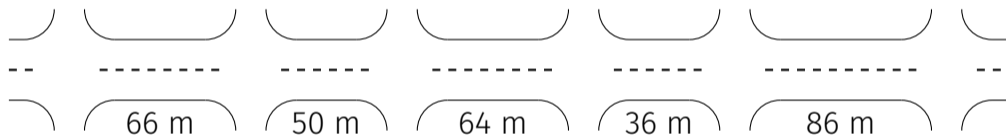
Street section of a given length

Given: distances between all crossroads on a street



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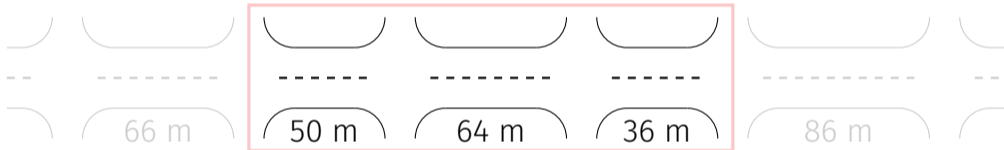
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Given: a sequence $a[0], \dots, a[n - 1]$ of non-negative integers

Wanted: a subsequence with sum k :

pair (l, r) with $0 \leq l \leq r \leq n - 1$ such that $\sum_{i=l}^r a[i] = k$

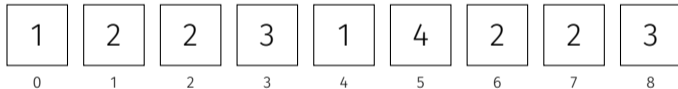
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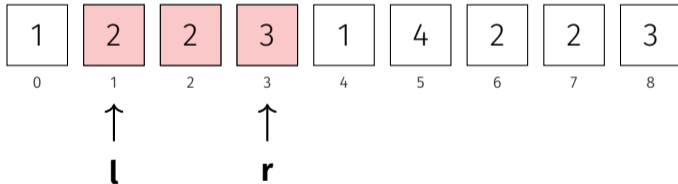
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Example: $n = 9, k = 7$ **Solution:** $l = 1, r = 3$.



Strategies?

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Strategies

$\Theta(n^3)$	Three loops
$\Theta(n^2)$?
$\Theta(n \log n)$?
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$\Theta(n^3)$	Three loops
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$\Theta(n \log n)$	Binary Search
$\Theta(n)$	Sliding Window

Subarray Sum Problem: Sliding Window

Sliding Window Idea

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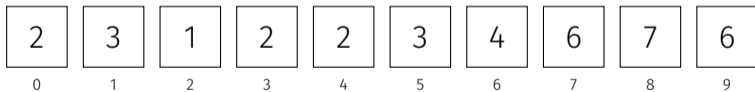
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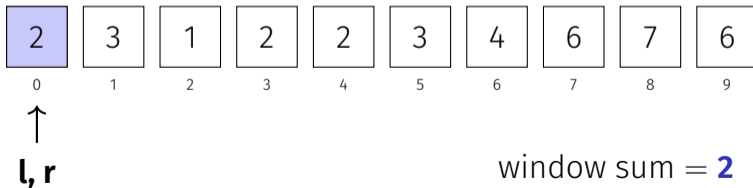


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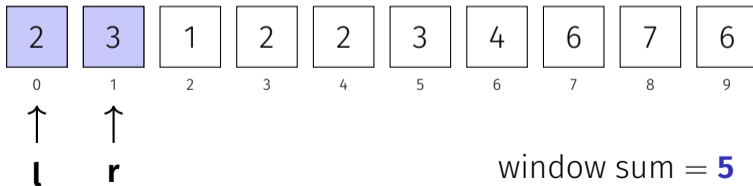


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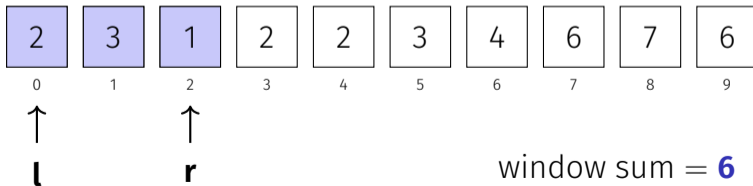


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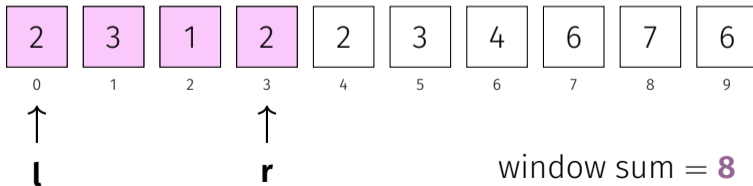


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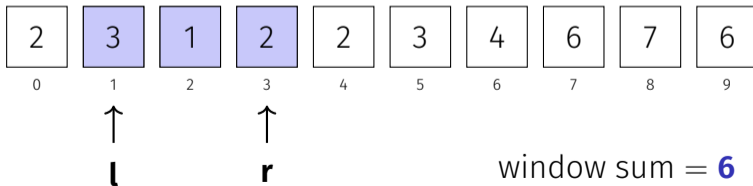


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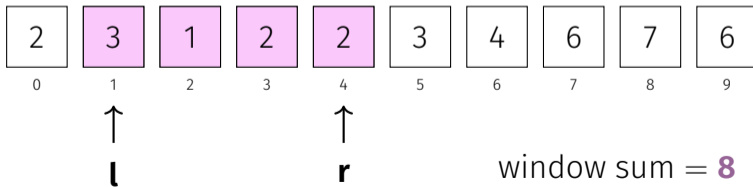


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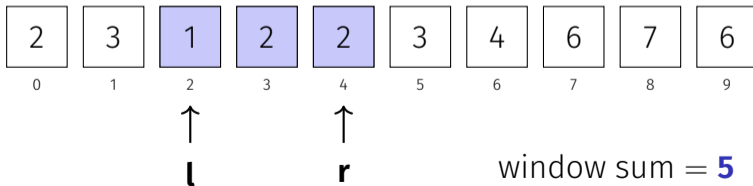


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 - window **too small** ($\text{sum} < k$) \Rightarrow increment right pointer
 - window **too large** ($\text{sum} > k$) \Rightarrow increment left pointer
 - window **as desired** ($\text{sum} = k$) \Rightarrow done!

Example: $k = 7$

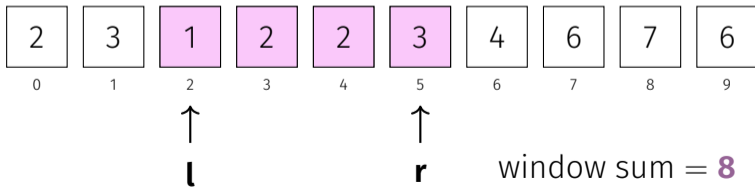


Subarray Sum Problem: Sliding Window

Sliding Window Idea

- start with left and right pointer at 0
- repeat until the end of the sequence:
 - window **too small** ($\text{sum} < k$) \Rightarrow increment right pointer
 - window **too large** ($\text{sum} > k$) \Rightarrow increment left pointer
 - window **as desired** ($\text{sum} = k$) \Rightarrow done!

Example: $k = 7$

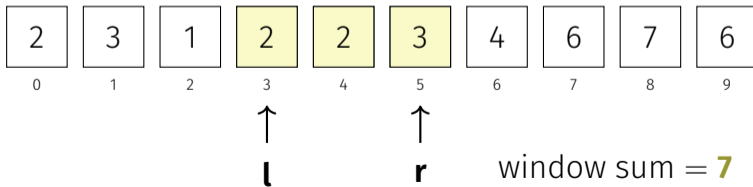


Subarray Sum Problem: Sliding Window

Sliding Window Idea

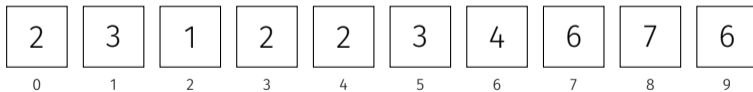
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Example: $k = 7$



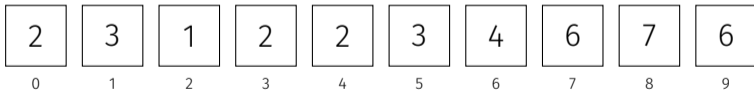
Subarray Sum Problem: Sliding Window Analysis

Subarray Sum Problem: Sliding Window Analysis



Subarray Sum Problem: Sliding Window Analysis

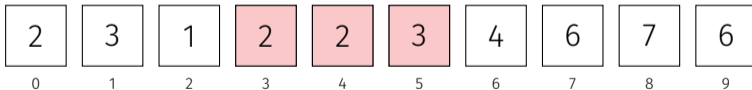
- in each step: either l or r is increased
⇒ algorithm terminates after a maximum of $2n$ steps



Subarray Sum Problem: Sliding Window Analysis

- in each step: either l or r is increased
⇒ algorithm terminates after a maximum of $2n$ steps

target window: lexicographically smallest (left-most) window with sum k

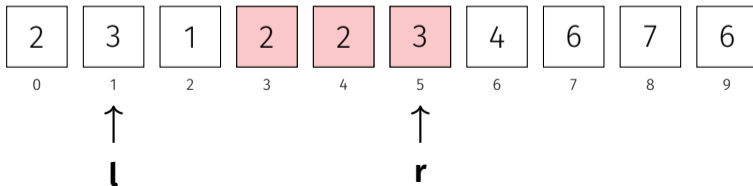


Subarray Sum Problem: Sliding Window Analysis

- in each step: either l or r is increased
⇒ algorithm terminates after a maximum of $2n$ steps

target window: lexicographically smallest (left-most) window with sum k

- if r reaches the end before l reaches the start

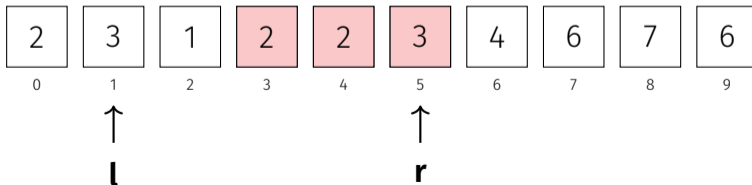


Subarray Sum Problem: Sliding Window Analysis

- in each step: either l or r is increased
⇒ algorithm terminates after a maximum of $2n$ steps

target window: lexicographically smallest (left-most) window with sum k

- if r reaches the end before l reaches the start
⇒ sum too large

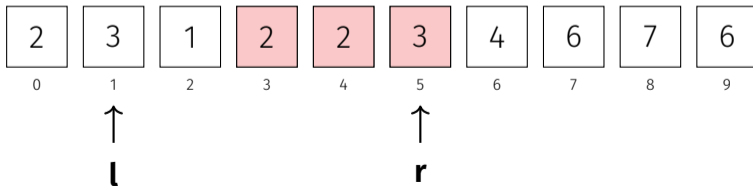


Subarray Sum Problem: Sliding Window Analysis

- in each step: either l or r is increased
⇒ algorithm terminates after a maximum of $2n$ steps

target window: lexicographically smallest (left-most) window with sum k

- if r reaches the end before l reaches the start
⇒ sum too large ⇒ l is increased until it reaches the start of the window

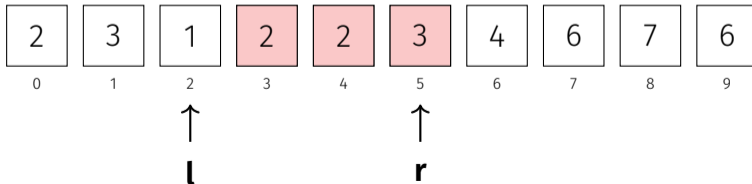


Subarray Sum Problem: Sliding Window Analysis

- in each step: either l or r is increased
⇒ algorithm terminates after a maximum of $2n$ steps

target window: lexicographically smallest (left-most) window with sum k

- if r reaches the end before l reaches the start
⇒ sum too large ⇒ l is increased until it reaches the start of the window

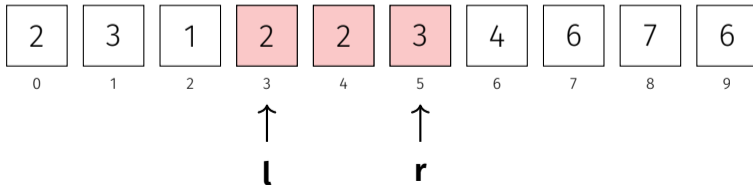


Subarray Sum Problem: Sliding Window Analysis

- in each step: either l or r is increased
⇒ algorithm terminates after a maximum of $2n$ steps

target window: lexicographically smallest (left-most) window with sum k

- if r reaches the end before l reaches the start
⇒ sum too large ⇒ l is increased until it reaches the start of the window

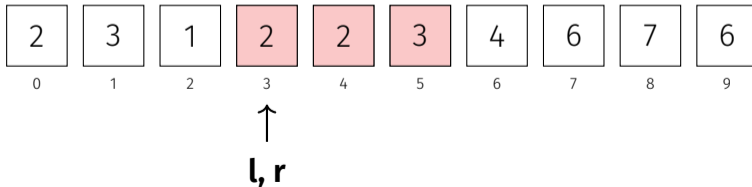


Subarray Sum Problem: Sliding Window Analysis

- in each step: either l or r is increased
⇒ algorithm terminates after a maximum of $2n$ steps

target window: lexicographically smallest (left-most) window with sum k

- if r reaches the end before l reaches the start
⇒ sum too large ⇒ l is increased until it reaches the start of the window
- if l reaches the start before r reaches the end

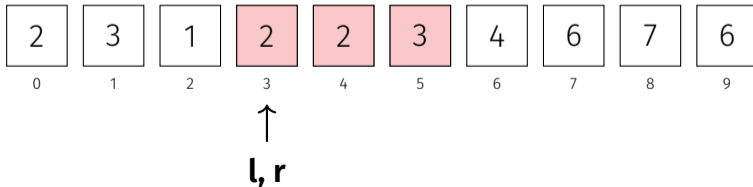


Subarray Sum Problem: Sliding Window Analysis

- in each step: either l or r is increased
⇒ algorithm terminates after a maximum of $2n$ steps

target window: lexicographically smallest (left-most) window with sum k

- if r reaches the end before l reaches the start
⇒ sum too large ⇒ l is increased until it reaches the start of the window
- if l reaches the start before r reaches the end
⇒ sum too small

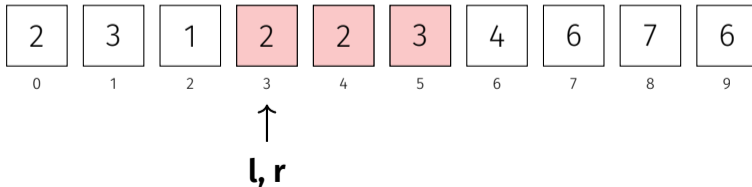


Subarray Sum Problem: Sliding Window Analysis

- in each step: either l or r is increased
⇒ algorithm terminates after a maximum of $2n$ steps

target window: lexicographically smallest (left-most) window with sum k

- if r reaches the end before l reaches the start
⇒ sum too large ⇒ l is increased until it reaches the start of the window
- if l reaches the start before r reaches the end
⇒ sum too small ⇒ r is increased until it reaches the end of the window

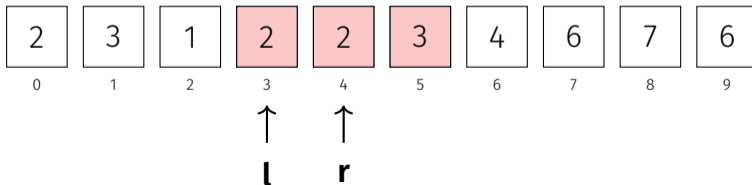


Subarray Sum Problem: Sliding Window Analysis

- in each step: either l or r is increased
⇒ algorithm terminates after a maximum of $2n$ steps

target window: lexicographically smallest (left-most) window with sum k

- if r reaches the end before l reaches the start
⇒ sum too large ⇒ l is increased until it reaches the start of the window
- if l reaches the start before r reaches the end
⇒ sum too small ⇒ r is increased until it reaches the end of the window

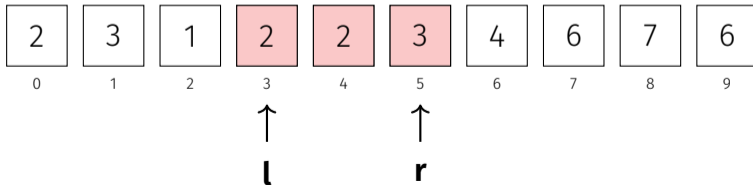


Subarray Sum Problem: Sliding Window Analysis

- in each step: either l or r is increased
⇒ algorithm terminates after a maximum of $2n$ steps

target window: lexicographically smallest (left-most) window with sum k

- if r reaches the end before l reaches the start
⇒ sum too large ⇒ l is increased until it reaches the start of the window
- if l reaches the start before r reaches the end
⇒ sum too small ⇒ r is increased until it reaches the end of the window



Analysis

We consider the lexicographically smallest (left-most) window with sum k , called *target window*

- In each step of the algorithm either l or r is increased. The algorithm terminates after a maximum of $2n$ steps.
- Assume r reaches the end of the target window before l reaches the start of the target window, then l keeps increasing until it reaches the start of the window.
- Assume l reaches the start of the target window before r reaches the end of the target window, then r keeps increasing until it reaches the end of the window.

Exercise: window with sum closest to k

Questions or Suggestions?