3. Examples

Show Correctnes of an Algorithm or its Implementation, Recursion and Recurrences
[References to literatur at the examples]

3.1 Ancient Egyptian Multiplication

Ancient Egyptian Multiplication– Example on how to show correctness of algorithms.

Ancient Egyptian Multiplication

[3]

Compute $11 \cdot 9$

11	9
~~22~~	~~4~~
~~44~~	~~2~~
88	1
99	–

9	11
18	5
~~36~~	~~2~~
72	1
99	

1. Double left, integer division by 2 on the right
2. Even number on the right $\Rightarrow$ eliminate row.
3. Add remaining rows on the left.

[3] Also known as russian multiplication

Advantages

- Short description, easy to grasp
- Efficient to implement on a computer: double = left shift, divide by 2 = right shift

left shift	$9 = 01001_2 \rightarrow 10010_2 = 18$
right shift	$9 = 01001_2 \rightarrow 00100_2 = 4$

Questions

- For which kind of inputs does the algorithm deliver a correct result (in finite time)?
- How do you prove its correctness?
- What is a good measure for its efficiency?

The Essentials

If $b > 1$, $a \in \mathbb{Z}$, then:

$$a \cdot b = \begin{cases} 2a \cdot \frac{b}{2} & \text{if } b \text{ even,} \\ a + 2a \cdot \frac{b-1}{2} & \text{if } b \text{ odd.} \end{cases}$$

Termination

$$a \cdot b = \begin{cases} a & \text{falls } b = 1, \\ 2a \cdot \frac{b}{2} & \text{if } b \text{ even,} \\ a + 2a \cdot \frac{b-1}{2} & \text{if } b \text{ odd.} \end{cases}$$

Recursively, Functional

$$f(a,b) = \begin{cases} a & \text{if } b = 1, \\ f(2a, \frac{b}{2}) & \text{if } b \text{ even}, \\ a + f(2a, \frac{b-1}{2}) & \text{if } b \text{ odd}. \end{cases}$$

Implemented as a function

```
// pre: b>0
// post: return a*b
int f(int a, int b){
  if(b==1)
    return a;
  else if (b%2 == 0)
    return f(2*a, b/2);
  else
    return a + f(2*a, (b-1)/2);
}
```

Correctnes: Mathematical Proof

$$f(a,b) = \begin{cases} a & \text{if } b = 1, \\ f(2a, \frac{b}{2}) & \text{if } b \text{ even}, \\ a + f(2a \cdot \frac{b-1}{2}) & \text{if } b \text{ odd}. \end{cases}$$

Remaining to show: $f(a,b) = a \cdot b$ for $a \in \mathbb{Z}$, $b \in \mathbb{N}^+$.

Correctnes: Mathematical Proof by Induction

Let $a \in \mathbb{Z}$, to show $f(a,b) = a \cdot b \quad \forall\, b \in \mathbb{N}^+$.

Base clause: $f(a,1) = a = a \cdot 1$

Hypothesis: $f(a,b') = a \cdot b' \quad \forall\, 0 < b' \leq b$

Step: $f(a,b') = a \cdot b' \quad \forall\, 0 < b' \leq b \overset{!}{\Rightarrow} f(a,b+1) = a \cdot (b+1)$

$$f(a,b+1) = \begin{cases} f(2a, \overbrace{\frac{b+1}{2}}^{0<\cdot\leq b}) \overset{i.H.}{=} a \cdot (b+1) & \text{if } b > 0 \text{ odd,} \\ a + f(2a, \underbrace{\frac{b}{2}}_{0<\cdot<b}) \overset{i.H.}{=} a + a \cdot b & \text{if } b > 0 \text{ even.} \end{cases}$$

■

[Code Transformations: End Recursion]

The recursion can be writen as *end recursion*

```
// pre: b>0
// post: return a*b
int f(int a, int b){
  if(b==1)
    return a;
  else if (b%2 == 0)
    return f(2*a, b/2);
  else
    return a + f(2*a, (b-1)/2);
}
```

→

```
// pre: b>0
// post: return a*b
int f(int a, int b){
  if(b==1)
    return a;
  int z=0;
  if (b%2 != 0){
    --b;
    z=a;
  }
  return z + f(2*a, b/2);
}
```

[Code-Transformation: End-Recursion ⇒ Iteration]

```
// pre: b>0
// post: return a*b
int f(int a, int b){
  if(b==1)
    return a;
  int z=0;
  if (b%2 != 0){
    --b;
    z=a;
  }
  return z + f(2*a, b/2);
}
```

→

```
int f(int a, int b) {
  int res = 0;
  while (b != 1) {
    int z = 0;
    if (b % 2 != 0){
      --b;
      z = a;
    }
    res += z;
    a *= 2; // new a
    b /= 2; // new b
  }
  res += a; // base case b=1
  return res;
}
```

[Code-Transformation: Simplify]

```
int f(int a, int b) {
  int res = 0;
  while (b != 1) {
    int z = 0;
    if (b % 2 != 0){
      --b;      → part of the division
      z = a;    → directly in res
    }
    res += z;
    a *= 2;
    b /= 2;
  }
  res += a;     → into the loop
  return res;
}
```

→

```
// pre: b>0
// post: return a*b
int f(int a, int b) {
  int res = 0;
  while (b > 0) {
    if (b % 2 != 0)
      res += a;
    a *= 2;
    b /= 2;
  }
  return res;
}
```

Correctness: Reasoning using Invariants!

```
// pre: b>0
// post: return a*b
int f(int a, int b) {
  int res = 0;
  while (b > 0) {
    if (b % 2 != 0){
      res += a;
      --b;
    }
    a *= 2;
    b /= 2;
  }
  return res;
}
```

let $x := a \cdot b$.

here: $x = \boxed{a \cdot b + res}$

if here $x = a \cdot b + res$ ...

... then also here $x = a \cdot b + res$

b even

here: $x = a \cdot b + res$

here: $x = a \cdot b + res$ and $b = 0$

therefore $res = x$.

Conclusion

The expression $a \cdot b + res$ is an invariant

- Values of a, b, res change but the invariant remains basically unchanged: The invariant is only temporarily discarded by some statement but then re-established. If such short statement sequences are considered atomic, the value remains indeed invariant
- In particular the loop contains an invariant, called *loop invariant* and it operates there like the induction step in induction proofs.
- Invariants are obviously powerful tools for proofs!

[Further simplification]

```
// pre: b>0
// post: return a*b
int f(int a, int b) {
  int res = 0;
  while (b > 0) {
    if (b % 2 != 0){
      res += a;
      --b;
    }
    a *= 2;
    b /= 2;
  }
  return res;
}
```

→

```
// pre: b>0
// post: return a*b
int f(int a, int b) {
  int res = 0;
  while (b > 0) {
    res += a * (b%2);
    a *= 2;
    b /= 2;
  }
  return res;
}
```

[Analysis]

```
// pre: b>0
// post: return a*b
int f(int a, int b) {
  int res = 0;
  while (b > 0) {
    res += a * (b%2);
    a *= 2;
    b /= 2;
  }
  return res;
}
```

Ancient Egyptian Multiplication corresponds to the school method with radix 2.

$$
\begin{array}{cccccccccc}
1 & 0 & 0 & 1 & \times & 1 & 0 & 1 & 1 & \\
\hline
 & & & & & 1 & 0 & 0 & 1 & (9) \\
 & & & & 1 & 0 & 0 & 1 & & (18) \\
\hline
 & & & & 1 & 1 & 0 & 1 & 1 & \\
 & & 1 & 0 & 0 & 1 & & & & (72) \\
\hline
 & & 1 & 1 & 0 & 0 & 0 & 1 & 1 & (99)
\end{array}
$$

Efficiency

Question: how long does a multiplication of a and b take?

- Measure for efficiency
  - Total number of fundamental operations: double, divide by 2, shift, test for “even”, addition
  - In the recursive and recursive code: maximally 6 operations per call or iteration, respectively
- Essential criterion:
  - Number of recursion calls or
  - Number iterations (in the iterative case)
- $\frac{b}{2^n} \leq 1$ holds for $n \geq \log_2 b$. Consequently not more than $6\lceil\log_2 b\rceil$ fundamental operations.

3.2 Fast Integer Multiplication

[Ottman/Widmayer, Kap. 1.2.3]

Example 2: Multiplication of large Numbers

Primary school:

$$
\begin{array}{cccccc|c}
 & a & b & & c & d & \\
 & 6 & 2 & \cdot & 3 & 7 & \\
\hline
 & & & & 1 & 4 & d \cdot b \\
 & & & 4 & 2 & & d \cdot a \\
 & & & & 6 & & c \cdot b \\
 & & 1 & 8 & & & c \cdot a \\
\hline
= & & 2 & 2 & 9 & 4 & \\
\end{array}
$$

$2 \cdot 2 = 4$ single-digit multiplications. $\Rightarrow$ multiplication of two n-digit numbers: n^2 single-digit multiplications

Observation

$$
\begin{aligned}
ab \cdot cd &= (10 \cdot a + b) \cdot (10 \cdot c + d) \\
&= 100 \cdot a \cdot c + 10 \cdot a \cdot c \\
&\quad + 10 \cdot b \cdot d + b \cdot d \\
&\quad + 10 \cdot (a - b) \cdot (d - c)
\end{aligned}
$$

Improvement?

	a	b		c	d	
	6	2	$\cdot$	3	7	
				1	4	$d \cdot b$
			1	4		$d \cdot b$
			1	6		$(a - b) \cdot (d - c)$
			1	8		$c \cdot a$
		1	8			$c \cdot a$
$=$		2	2	9	4	

$\rightarrow 3$ single-digit multiplications.

Large Numbers

$$6237 \cdot 5898 = \underbrace{62}_{a'}\underbrace{37}_{b'} \cdot \underbrace{58}_{c'}\underbrace{98}_{d'}$$

Recursive / inductive application: compute $a' \cdot c'$, $a' \cdot d'$, $b' \cdot c'$ and $c' \cdot d'$ as shown above.

$\rightarrow 3 \cdot 3 = 9$ instead of 16 single-digit multiplications.

Generalization

Assumption: two numbers with n digits each, $n = 2^k$ for some k.

$$\begin{aligned}(10^{n/2}a + b) \cdot (10^{n/2}c + d) &= 10^n \cdot a \cdot c + 10^{n/2} \cdot a \cdot c \\ &+ 10^{n/2} \cdot b \cdot d + b \cdot d \\ &+ 10^{n/2} \cdot (a - b) \cdot (d - c)\end{aligned}$$

Recursive application of this formula: algorithm by Karatsuba and Ofman (1962).

Algorithm Karatsuba Ofman

Input: Two positive integers x and y with n decimal digits each: $(x_i)_{1 \leq i \leq n}$, $(y_i)_{1 \leq i \leq n}$
Output: Product $x \cdot y$
if $n = 1$ **then**
  return $x_1 \cdot y_1$
else
  Let $m := \lfloor \frac{n}{2} \rfloor$
  Divide $a := (x_1, \ldots, x_m)$, $b := (x_{m+1}, \ldots, x_n)$, $c := (y_1, \ldots, y_m)$, $d := (y_{m+1}, \ldots, y_n)$
  Compute recursively $A := a \cdot c$, $B := b \cdot d$, $C := (a - b) \cdot (d - c)$
  Compute $R := 10^n \cdot A + 10^m \cdot A + 10^m \cdot B + B + 10^m \cdot C$
  return R

Analysis

$M(n)$: Number of single-digit multiplications.
Recursive application of the algorithm from above $\Rightarrow$ recursion equality:

$$M(2^k) = \begin{cases} 1 & \text{if } k = 0, \\ 3 \cdot M(2^{k-1}) & \text{if } k > 0. \end{cases} \tag{R}$$

Iterative Substition

Iterative substition of the recursion formula in order to guess a solution of the recursion formula:

$$
\begin{aligned}
M(2^k) &= 3 \cdot M(2^{k-1}) = 3 \cdot 3 \cdot M(2^{k-2}) = 3^2 \cdot M(2^{k-2}) \\
&= \dots \\
&\overset{!}{=} 3^k \cdot M(2^0) = 3^k.
\end{aligned}
$$

Proof: induction

Hypothesis $H(k)$:

$$M(2^k) = F(k) := 3^k. \qquad \text{(H)}$$

Claim:

$$H(k) \text{ holds for all } k \in \mathbb{N}_0.$$

Base clause $k = 0$:

$$M(2^0) \overset{R}{=} 1 = F(0). \quad \checkmark$$

Induction step $H(k) \Rightarrow H(k+1)$:

$$M(2^{k+1}) \overset{R}{=} 3 \cdot M(2^k) \overset{H(k)}{=} 3 \cdot F(k) = 3^{k+1} = F(k+1). \quad \checkmark$$

$\blacksquare$

Comparison

Traditionally n^2 single-digit multiplications.
Karatsuba/Ofman:

$$M(n) = 3^{\log_2 n} = (2^{\log_2 3})^{\log_2 n} = 2^{\log_2 3 \log_2 n} = n^{\log_2 3} \approx n^{1.58}.$$

Example: number with 1000 digits: $1000^2/1000^{1.58} \approx 18$.

Best possible algorithm?

We only know the upper bound $n^{\log_2 3}$.

There are (for large n) practically relevant algorithms that are faster. Example: Schönhage-Strassen algorithm (1971) based on fast Fouriertransformation with running time $\mathcal{O}(n \log n \cdot \log \log n)$. The best upper bound is not known. [4]

Lower bound: n. Each digit has to be considered at least once.

[4] In March 2019, David Harvey and Joris van der Hoeven have shown an $\mathcal{O}(n \log n)$ algorithm that is practically irrelevent yet. It is conjectured, but yet unproven that this is the best lower bound we can get.

Appendix: Asymptotics with Addition and Shifts

For each multiplication of two n-digit numbers we also should take into account a constant number of additions, subtractions and shifts

Additions, subtractions and shifts of n-digit numbers cost $\mathcal{O}(n)$

Therefore the asymptotic running time is determined (with some $c > 1$, $d > 0$) by the following recurrence

$$T(n) = \begin{cases} 3 \cdot T\left(\frac{1}{2}n\right) + c \cdot n & \text{if } n > 1 \\ d & \text{otherwise} \end{cases}$$

Appendix: Asymptotics with Addition and Shifts

Assumption: $n = 2^k$, $k > 0$

$$
\begin{aligned}
T(2^k) &= 3 \cdot T\left(2^{k-1}\right) + c \cdot 2^k \\
&= 3 \cdot (3 \cdot T(2^{k-2}) + c \cdot 2^{k-1}) + c \cdot 2^k \\
&= 3 \cdot (3 \cdot (3 \cdot T(2^{k-3}) + c \cdot 2^{k-2}) + c \cdot 2^{k-1}) + c \cdot 2^k \\
&= 3 \cdot (3 \cdot (...(3 \cdot T(2^{k-k}) + c \cdot 2^1)...) + c \cdot 2^{k-1}) + c \cdot 2^k \\
&= 3^k \cdot d + c \cdot 2^k \sum_{i=0}^{k-1} \frac{3^i}{2^i} = 3^k \cdot d + c \cdot 2^k \frac{\frac{3^k}{2^k} - 1}{\frac{3}{2} - 1} \\
&= 3^k(d + 2c) - 2c \cdot 2^k
\end{aligned}
$$

Thus $T(2^k) = 3^k(d + 2c) - 2c \cdot 2^k \in \Theta(3^k) = \Theta(3^{\log_2 n}) = \Theta(n^{\log_2 3})$.

3.3 Maximum Subarray Problem

Algorithm Design – Maximum Subarray Problem [Ottman/Widmayer, Kap. 1.3]
Divide and Conquer [Ottman/Widmayer, Kap. 1.2.2. S.9; Cormen et al, Kap. 4-4.1]

Algorithm Design

Inductive development of an algorithm: partition into subproblems, use solutions for the subproblems to find the overal solution.

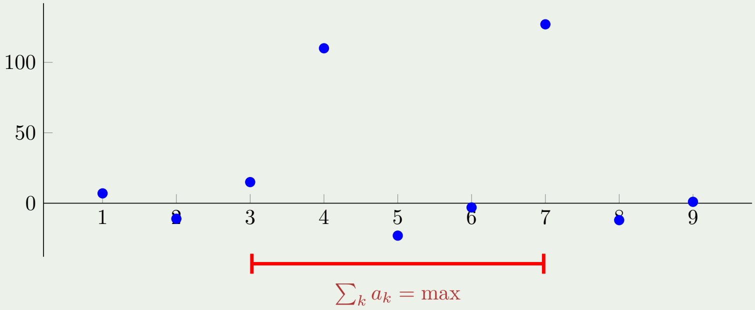
Goal: development of the asymptotically most efficient (correct) algorithm.

Efficiency towards run time costs (# fundamental operations) or /and memory consumption.

Maximum Subarray Problem

Given: an array of n real numbers $(a_1, \ldots, a_n)$.
Wanted: interval $[i, j]$, $1 \le i \le j \le n$ with maximal positive sum $\sum_{k=i}^{j} a_k$.

$$a = (7, -11, 15, 110, -23, -3, 127, -12, 1)$$



Naive Maximum Subarray Algorithm

Input: A sequence of n numbers $(a_1, a_2, \ldots, a_n)$
Output: I, J such that $\sum_{k=I}^{J} a_k$ maximal.

$M \leftarrow 0$; $I \leftarrow 1$; $J \leftarrow 0$
for $i \in \{1, \ldots, n\}$ **do**
    for $j \in \{i, \ldots, n\}$ **do**
        $m = \sum_{k=i}^{j} a_k$
        if $m > M$ **then**
            $M \leftarrow m$; $I \leftarrow i$; $J \leftarrow j$

return I, J

Analysis

Theorem 3

The naive algorithm for the Maximum Subarray problem executes $\Theta(n^3)$ *additions.*

Proof:

$$\sum_{i=1}^{n}\sum_{j=i}^{n}(j-i+1) = \sum_{i=1}^{n}\sum_{j=0}^{n-i}(j+1) = \sum_{i=1}^{n}\sum_{j=1}^{n-i+1} j = \sum_{i=1}^{n}\frac{(n-i+1)(n-i+2)}{2}$$

$$= \sum_{i=0}^{n}\frac{i\cdot(i+1)}{2} = \frac{1}{2}\left(\sum_{i=1}^{n} i^2 + \sum_{i=1}^{n} i\right)$$

$$= \frac{1}{2}\left(\frac{n(2n+1)(n+1)}{6} + \frac{n(n+1)}{2}\right) = \frac{n^3+3n^2+2n}{6} = \Theta(n^3).$$

■

Observation

$$\sum_{k=i}^{j} a_k = \underbrace{\left(\sum_{k=1}^{j} a_k\right)}_{S_j} - \underbrace{\left(\sum_{k=1}^{i-1} a_k\right)}_{S_{i-1}}$$

Prefix sums

$$S_i := \sum_{k=1}^{i} a_k.$$

Maximum Subarray Algorithm with Prefix Sums

Input: A sequence of n numbers $(a_1, a_2, \ldots, a_n)$
Output: I, J such that $\sum_{k=J}^{J} a_k$ maximal.

$\mathcal{S}_0 \leftarrow 0$
for $i \in \{1, \ldots, n\}$ **do** // prefix sum
  $\mathcal{S}_i \leftarrow \mathcal{S}_{i-1} + a_i$

$M \leftarrow 0$; $I \leftarrow 1$; $J \leftarrow 0$
for $i \in \{1, \ldots, n\}$ **do**
  for $j \in \{i, \ldots, n\}$ **do**
    $m = \mathcal{S}_j - \mathcal{S}_{i-1}$
    if $m > M$ **then**
      $M \leftarrow m$; $I \leftarrow i$; $J \leftarrow j$

Analysis

Theorem 4

The prefix sum algorithm for the Maximum Subarray problem conducts $\Theta(n^2)$ *additions and subtractions.*

Proof:

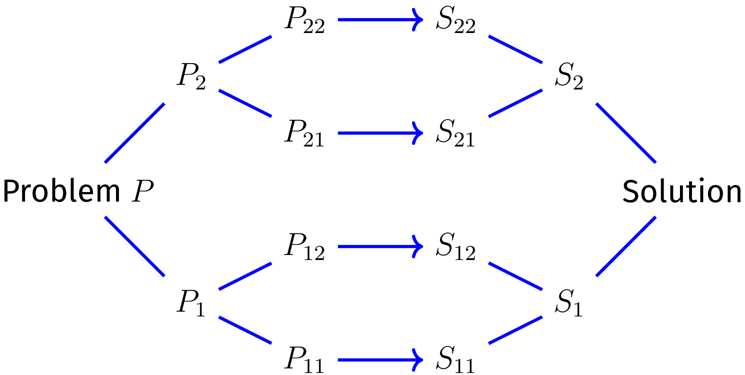
$$\sum_{i=1}^{n} 1 + \sum_{i=1}^{n} \sum_{j=i}^{n} 1 = n + \sum_{i=1}^{n} (n - i + 1) = n + \sum_{i=1}^{n} i = \Theta(n^2)$$

■

divide et impera

Divide and Conquer

Divide the problem into subproblems that contribute to the simplified computation of the overal problem.



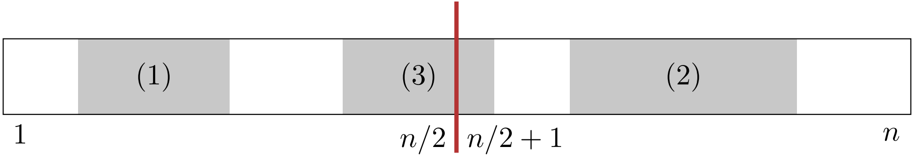
Maximum Subarray – Divide

- Divide: Divide the problem into two (roughly) equally sized halves:
  $(a_1, \ldots, a_n) = (a_1, \ldots, a_{\lfloor n/2 \rfloor}, \quad a_{\lfloor n/2 \rfloor + 1}, \ldots, a_1)$
- Simplifying assumption: $n = 2^k$ for some $k \in \mathbb{N}$.

Maximum Subarray – Conquer

If i and j are indices of a solution $\Rightarrow$ case by case analysis:

1. Solution in left half $1 \leq i \leq j \leq n/2 \Rightarrow$ Recursion (left half)
2. Solution in right half $n/2 < i \leq j \leq n \Rightarrow$ Recursion (right half)
3. Solution in the middle $1 \leq i \leq n/2 < j \leq n \Rightarrow$ Subsequent observation



Maximum Subarray – Observation

Assumption: solution in the middle $1 \le i \le n/2 < j \le n$

$$
\begin{aligned}
S_{\max} &= \max_{\substack{1 \le i \le n/2 \\ n/2 < j \le n}} \sum_{k=i}^{j} a_k = \max_{\substack{1 \le i \le n/2 \\ n/2 < j \le n}} \left(\sum_{k=i}^{n/2} a_k + \sum_{k=n/2+1}^{j} a_k \right) \\
&= \max_{1 \le i \le n/2} \sum_{k=i}^{n/2} a_k + \max_{n/2 < j \le n} \sum_{k=n/2+1}^{j} a_k \\
&= \max_{1 \le i \le n/2} \underbrace{S_{n/2} - S_{i-1}}_{\text{suffix sum}} + \max_{n/2 < j \le n} \underbrace{S_j - S_{n/2}}_{\text{prefix sum}}
\end{aligned}
$$

Maximum Subarray Divide and Conquer Algorithm

Input: A sequence of n numbers $(a_1, a_2, \ldots, a_n)$
Output: Maximal $\sum_{k=i'}^{j'} a_k$.

if $n = 1$ **then**
  return $\max\{a_1, 0\}$
else
  Divide $a = (a_1, \ldots, a_n)$ in $A_1 = (a_1, \ldots, a_{n/2})$ und $A_2 = (a_{n/2+1}, \ldots, a_n)$
  Recursively compute best solution W_1 in A_1
  Recursively compute best solution W_2 in A_2
  Compute greatest suffix sum S in A_1
  Compute greatest prefix sum P in A_2
  Let $W_3 \leftarrow S + P$
  return $\max\{W_1, W_2, W_3\}$

Analysis

Theorem 5

The divide and conquer algorithm for the maximum subarray sum problem conducts a number of $\Theta(n \log n)$ *additions and comparisons.*

Analysis

Input: A sequence of n numbers $(a_1, a_2, \ldots, a_n)$
Output: Maximal $\sum_{k=i'}^{j'} a_k$.
if $n = 1$ **then**
$\Theta(1)$ **return** $\max\{a_1, 0\}$
else
$\Theta(1)$ Divide $a = (a_1, \ldots, a_n)$ in $A_1 = (a_1, \ldots, a_{n/2})$ und $A_2 = (a_{n/2+1}, \ldots, a_n)$
$T(n/2)$ Recursively compute best solution W_1 in A_1
$T(n/2)$ Recursively compute best solution W_2 in A_2
$\Theta(n)$ Compute greatest suffix sum S in A_1
$\Theta(n)$ Compute greatest prefix sum P in A_2
$\Theta(1)$ Let $W_3 \leftarrow S + P$
$\Theta(1)$ **return** $\max\{W_1, W_2, W_3\}$

Analysis

Recursion equation

$$T(n) = \begin{cases} c & \text{if } n = 1 \\ 2T(\frac{n}{2}) + a \cdot n & \text{if } n > 1 \end{cases}$$

Analysis

Mit $n = 2^k$:

$$\overline{T}(k) := T(2^k) = \begin{cases} c & \text{if } k = 0 \\ 2\overline{T}(k-1) + a \cdot 2^k & \text{if } k > 0 \end{cases}$$

Solution:

$$\overline{T}(k) = 2^k \cdot c + \sum_{i=0}^{k-1} 2^i \cdot a \cdot 2^{k-i} = c \cdot 2^k + a \cdot k \cdot 2^k = \Theta(k \cdot 2^k)$$

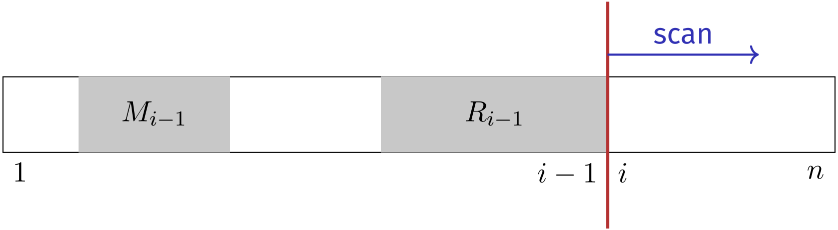
also

$$T(n) = \Theta(n \log n)$$

■

Maximum Subarray Sum Problem – Inductively

Assumption: maximal value M_{i-1} of the subarray sum is known for $(a_1, \ldots, a_{i-1})$ $(1 < i \leq n)$.



a_i: generates at most a better interval at the right bound (prefix sum).

$R_{i-1} \Rightarrow R_i = \max\{R_{i-1} + a_i, 0\}$

Inductive Maximum Subarray Algorithm

Input: A sequence of n numbers $(a_1, a_2, \ldots, a_n)$.
Output: $\max\{0, \max_{i,j} \sum_{k=i}^{j} a_k\}$.

```
M ← 0
R ← 0
for i = 1 ... n do
    R ← R + a_i
    if R < 0 then
        R ← 0
    if R > M then
        M ← R
return M;
```

Analysis

Theorem 6

The inductive algorithm for the Maximum Subarray problem conducts a number of $\Theta(n)$ additions and comparisons.

Complexity of the problem?

Can we improve over $\Theta(n)$?

Every correct algorithm for the Maximum Subarray Sum problem must consider each element in the algorithm.

Assumption: the algorithm does not consider a_i.

1. The algorithm provides a solution including a_i. Repeat the algorithm with a_i so small that the solution must not have contained the point in the first place.
2. The algorithm provides a solution not including a_i. Repeat the algorithm with a_i so large that the solution must have contained the point in the first place.

Complexity of the maximum Subarray Sum Problem

Theorem 7

The Maximum Subarray Sum Problem has Complexity $\Theta(n)$.

Proof: Inductive algorithm with asymptotic execution time $\mathcal{O}(n)$.
Every algorithm has execution time $\Omega(n)$.
Thus the complexity of the problem is $\Omega(n) \cap \mathcal{O}(n) = \Theta(n)$. ■

3.4 Appendix

Derivation and repetition of some mathematical formulas

Logarithms

$$\log_a y = x \Leftrightarrow a^x = y \quad (a > 0, y > 0)$$

$$\log_a(x \cdot y) = \log_a x + \log_a y \qquad a^x \cdot a^y = a^{x+y}$$

$$\log_a \frac{x}{y} = \log_a x - \log_a y \qquad \frac{a^x}{a^y} = a^{x-y}$$

$$\log_a x^y = y \log_a x \qquad a^{x \cdot y} = (a^x)^y$$

$$\log_a n! = \sum_{i=1}^{n} \log i$$

$$\log_b x = \log_b a \cdot \log_a x \qquad a^{\log_b x} = x^{\log_b a}$$

To see the last line, replace $x \to a^{\log_a x}$

Sums

$$\sum_{i=0}^{n} i = \frac{n \cdot (n+1)}{2} \in \Theta(n^2)$$

Trick

$$\begin{aligned}\sum_{i=0}^{n} i &= \frac{1}{2}\left(\sum_{i=0}^{n} i + \sum_{i=0}^{n} n - i\right) = \frac{1}{2}\sum_{i=0}^{n} i + n - i \\ &= \frac{1}{2}\sum_{i=0}^{n} n = \frac{1}{2}(n+1)\cdot n\end{aligned}$$

Sums

$$\sum_{i=0}^{n} i^2 = \frac{n \cdot (n+1) \cdot (2n+1)}{6}$$

Trick:

$$\sum_{i=1}^{n} i^3 - (i-1)^3 = \sum_{i=0}^{n} i^3 - \sum_{i=0}^{n-1} i^3 = n^3$$

$$\sum_{i=1}^{n} i^3 - (i-1)^3 = \sum_{i=1}^{n} i^3 - i^3 + 3i^2 - 3i + 1 = n - \frac{3}{2} n \cdot (n+1) + 3 \sum_{i=0}^{n} i^2$$

$$\Rightarrow \sum_{i=0}^{n} i^2 = \frac{1}{6}(2n^3 + 3n^2 + n) \in \Theta(n^3)$$

Can easily be generalized: $\sum_{i=1}^{n} i^k \in \Theta(n^{k+1})$.

Geometric Series

$$\sum_{i=0}^{n} \rho^i \overset{!}{=} \frac{1-\rho^{n+1}}{1-\rho}$$

$$\begin{aligned}\sum_{i=0}^{n} \rho^i \cdot (1-\rho) &= \sum_{i=0}^{n} \rho^i - \sum_{i=0}^{n} \rho^{i+1} = \sum_{i=0}^{n} \rho^i - \sum_{i=1}^{n+1} \rho^i \\ &= \rho^0 - \rho^{n+1} = 1 - \rho^{n+1}.\end{aligned}$$

For $0 \leq \rho < 1$:

$$\sum_{i=0}^{\infty} \rho^i = \frac{1}{1-\rho}$$