

## 25.8 A\*-Algorithm

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# Disclaimer

These slides contain the most important formalities around the A\*-algorithm and its correctness. We motivate the algorithm in the lectures and give more examples there.

Another nice motivation of the algorithm can found here:

<https://www.youtube.com/watch?v=bRvs8r0QU-Q>

# A\*-Algorithm

## Prerequisites

- Positively weighted graph  $G = (V, E, c)$
- $G$  finite or  $\delta$ -Graph:  $\exists \delta > 0 : c(e) \geq \delta$  for all  $e \in E$
- $s \in V, t \in V$
- Distance estimate  $\hat{h}_t(v) \leq h_t(v) := \delta(v, t) \forall v \in V.$
- Wanted: shortest path  $p : s \rightsquigarrow t$

# A\*-Algorithm( $G, s, t, \hat{h}$ )

**Input:** Positively weighted Graph  $G = (V, E, c)$ , starting point  $s \in V$ , end point  $t \in V$ , estimate  $\hat{h}(v) \leq \delta(v, t)$

**Output:** Existence and value of a shortest path from  $s$  to  $t$

**foreach**  $u \in V$  **do**

$d[u] \leftarrow \infty$ ;  $\hat{f}[u] \leftarrow \infty$ ;  $\pi[u] \leftarrow \text{null}$

$d[s] \leftarrow 0$ ;  $\hat{f}[s] \leftarrow \hat{h}(s)$ ;  $R \leftarrow \{s\}$ ;  $M \leftarrow \{\}$

**while**  $R \neq \emptyset$  **do**

$u \leftarrow \text{ExtractMin}_{\hat{f}}(R)$ ;  $M \leftarrow M \cup \{u\}$

**if**  $u = t$  **then return** success

**foreach**  $v \in N^+(u)$  with  $d[v] > d[u] + c(u, v)$  **do**

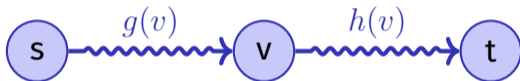
$d[v] \leftarrow d[u] + c(u, v)$ ;  $\hat{f}[v] \leftarrow d[v] + \hat{h}(v)$ ;  $\pi[v] \leftarrow u$   
         $R \leftarrow R \cup \{v\}$ ;  $M \leftarrow M - \{v\}$

**return** failure

# Notation

Let  $f(v)$  be the distance of a shortest path from  $s$  to  $t$  via  $v$ , thus

$$f(v) := \underbrace{\delta(s, v)}_{g(v)} + \underbrace{\delta(v, t)}_{h(v)}$$



let  $p$  be a shortest path from  $s$  to  $t$ .

It holds that  $f(s) = \delta(s, t)$  and  $f(v) = f(s)$  for all  $v \in p$ .

Let  $\hat{g}(v) := d[v]$  be an estimate of  $g(v)$  in the algorithm above. It holds that  $\hat{g}(v) \geq g(v)$ .

$\hat{h}(v)$  is an estimate of  $h(v)$  with  $\hat{h}(v) \leq h(v)$ .

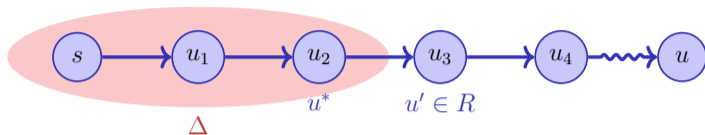
# Why the Algorithm Works

## *Lemma 24*

*Let  $u \in V$  and, at a time during the execution of the algorithm,  $u \notin M$ . Let  $p$  be a shortest path from  $s$  to  $u$ . Then there is a  $u' \in p$  with  $\hat{g}(u') = g(u')$  and  $u' \in R$ .*

The lemma states that there is always a node in the open set  $R$  with the minimal distance from  $s$  already computed and that belongs to a shortest path (if existing).

# Illustration and Proof



**Proof:** If  $s \in R$ , then  $\hat{g}(s) = g(s) = 0$ . Therefore, let  $s \notin R$ .

Let  $p = \langle s = u_0, u_1, \dots, u_k = u \rangle$  and  $\Delta = \{u_i \in p, u_i \in M, \hat{g}(u_i) = g(u_i)\}$ .  
 $\Delta \neq \emptyset$ , because  $s \in \Delta$ .

Let  $m = \max\{i : u_i \in \Delta\}$ ,  $u^* = u_m$ . Then  $u^* \neq u$ , since  $u \notin M$ . Let  $u' = u_{m+1}$ .

1.  $\hat{g}(u') \leq \hat{g}(u^*) + c(u^*, u')$  because  $u'$  has already been relaxed
2.  $\hat{g}(u^*) = g(u^*)$  (because  $u^* \in \Delta$ )
3.  $\hat{g}(u') \geq g(u')$  (construction of  $\hat{g}$ )
4.  $g(u') = g(u^*) + c(u^*, u')$  (because  $p$  optimal)

Therefore:  $\hat{g}(u') = g(u')$  and thus also  $u' \in R$  because  $u' \notin \Delta$ . ■

# Corollary

## Corollary 25

*If  $\hat{h}(u) \leq h(u)$  for all  $u \in V$  and A\*- Algorithmus has not yet terminated. Then for each shortest path  $p$  from  $s$  to  $t$  there is some node  $u' \in p$  with  $\hat{f}(u') \leq \delta(s, t) = f(t)$ .*

**If there is a shortest path  $p$  from  $s$  to  $t$ , then there is always a node in the open set  $R$  that underestimates the overall distance and that is on the shortest path.**



# Proof of the Corollary

Proof:

From the lemma:  $\exists u' \in p$  with  $\widehat{g}(u') = g(u')$ .

Therefore:

$$\begin{aligned}\widehat{f}(u') &= \widehat{g}(u') + \widehat{h}(u') \\ &= g(u') + \widehat{h}(u') \\ &\leq g(u') + h(u') = f(u')\end{aligned}$$

Because  $p$  is shortest path:  $f(u') = \delta(s, t)$ . ■

# Admissibility

## Theorem 26

If there is a shortest path from  $s$  to  $t$  and  $\hat{h}(u) \leq h(u) \forall u \in V$  then  $A^*$  terminates with  $\hat{g}(t) = \delta(s, t)$

**Proof:** If the algorithm terminates, then it terminates with  $t$  with  $f(t) = \hat{g}(t) + 0 = g(t)$ . That is because  $\hat{g}$  overestimates  $g$  at most and by the corollary above that algorithm always finds an element  $v \in R$  with  $f(v) \leq \delta(s, t)$ .

The algorithm terminates in finitely many steps. For finite graphs the maximal number of relaxing steps is bounded.

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<sup>42</sup>For a  $\delta$ -graph the maximum number of relaxing steps before  $R$  contains only nodes with  $\hat{f}(s) > \delta(s, t)$  is limited as well. The exact argument can be found in the seminal article Hart, P. E.; Nilsson, N. J.; Raphael, B. (1968). "A Formal Basis for the Heuristic Determination of Minimum Cost Paths".

# Revisiting nodes

- The A\*-algorithm can re-insert nodes that had been extracted from  $R$  before.
- This can lead to suboptimal behavior (w.r.t. running time of the algorithm).
- If  $\hat{h}$ , in addition to being admissible ( $\hat{h}(v) \leq h(v)$  for all  $v \in V$ ), fulfils monotonicity, i.e. if for all  $(u, u') \in E$ :

$$\hat{h}(u') \leq \hat{h}(u) + c(u', u)$$

then the A\*-Algorithm is equivalent to the Dijkstra-algorithm with edge weights  $\tilde{c}(u, v) = c(u, v) + \hat{h}(u) - \hat{h}(v)$ , and no node is re-inserted into  $R$ .

- It is not always possible to find monotone heuristics.