

# Datenstrukturen und Algorithmen

## Exercise 5

FS 2021

# Program of today

# Amortized analysis: push\_back

Strategy: double if array is full.

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Let  $i \in \mathbb{N}$  be the number of elements appended and let  $n_i \in \mathbb{N}$  be the array size allocated after appending  $i$ .

It holds that

$$n_i = \begin{cases} 1 & \text{if } i = 1 \text{ [Start]} \\ 2 \cdot n_{i-1} & \text{if } i - 1 \in \{2^k : k \in \mathbb{N}\} \text{ [Array full]} \\ n_{i-1} & \text{otherwise} \end{cases}$$

$i$	$n_i$
1	1
2	2
3	4
4	4
5	8
6	8
..	..

$$n_i = 2^{\lceil \log_2 i \rceil}$$

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---

<sup>1</sup>According to the task description:  $2n$  initialisations,  $n$  copies, 1 new element

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Real costs

$$t_i = \begin{cases} 1 & \text{if } i = 1 \text{ [Start]} \\ 3n_{i-1} + 1 & \text{if } i - 1 \in \{2^k : k \in \mathbb{N}\} \text{ [Array full]}^1 \\ 1 & \text{otherwise} \end{cases}$$

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Find potential function such that the amortized costs are constant:

$$a_i = t_i + \Phi_i - \Phi_{i-1}$$

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$$\Phi_i - \Phi_{i-1} = \begin{cases} 6 + 3n_{i-1} - 3 \widehat{n_i^{2 \cdot n_{i-1}}} & \text{if } i - 1 \in \{2^k : k \in \mathbb{N}\} \text{ [Array full]} \\ 6 & \text{otherwise} \end{cases}$$

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Find potential function such that the amortized costs are constant:

$$\begin{aligned} a_i &= t_i + \Phi_i - \Phi_{i-1} \\ &= \begin{cases} 3n_{i-1} + 1 + 6 - 3n_{i-1} & \text{if } i - 1 \in \{2^k : k \in \mathbb{N}\} \text{ [Array full]} \\ 1 + 6 & \text{otherwise} \end{cases} \\ &\leq 7 \quad \text{for all } i \end{aligned}$$

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$$t_i = \begin{cases} 1 & \text{if array is more than quarter full} \\ \frac{n_{i-1}}{2} + \frac{n_{i-1}}{4} = \frac{3}{4}n_{i-1} & \text{otherwise, then } n_i = \frac{n_{i-1}}{2} \end{cases}$$

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Let  $k_i$  be the number of elements in the array in step  $i$

$$\begin{aligned} \Phi_i &= 3 \cdot \text{number of empty elements in the lower half of array } (1, \dots, \frac{n}{2}) \\ &= 3 \cdot \left( \frac{n_i}{2} - k_i \right) \end{aligned}$$

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$$\Phi_i - \Phi_{i-1} = \begin{cases} 3 & \text{if array is more than quarter full} \\ 3 \cdot \left( 1 + \frac{n_{i-1}}{4} - \frac{n_{i-1}}{2} \right) & \text{otherwise} \end{cases}$$

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$$\Rightarrow 4 \geq a_i \text{ (in both cases)}$$

# Amortized analysis: pop and push

$$\Phi_i = 6 \cdot \text{number elements in the upper half} \\ + 3 \cdot \text{number empty slots in the lower half}$$

## **2. Repetition theory**

# Hashing well-done

Useful Hashing...

- distributes the keys as uniformly as possible in the hash table.
- avoids probing over long areas of used entries (e.g. primary clustering).
- avoids using the same probing sequence for keys with the same hash value (e.g. secondary clustering).

# Hashing Examples

Insert the keys 25, 4, 17, 45 into the hash table, using the function  $h(k) = k \bmod 7$  and probing to the right,  $h(k) + s(j, k)$ :

- linear probing,

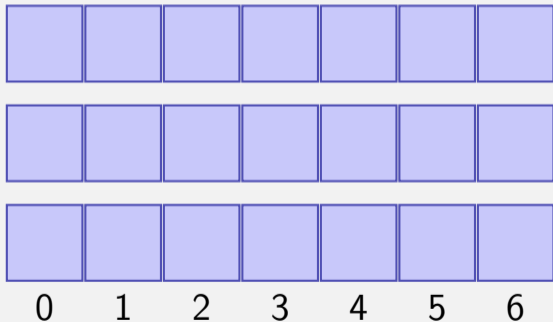
$$s(j, k) = j.$$

- quadratic probing,

$$s(j, k) = (-1)^{j+1} \lceil j/2 \rceil^2.$$

- Double Hashing,

$$s(j, k) = j \cdot (1 + (k \bmod 5)).$$



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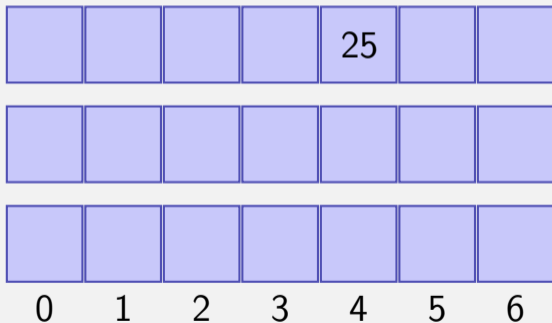
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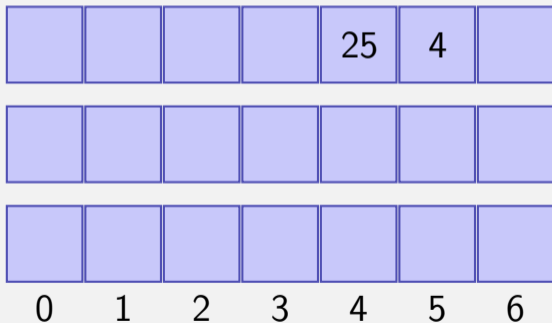
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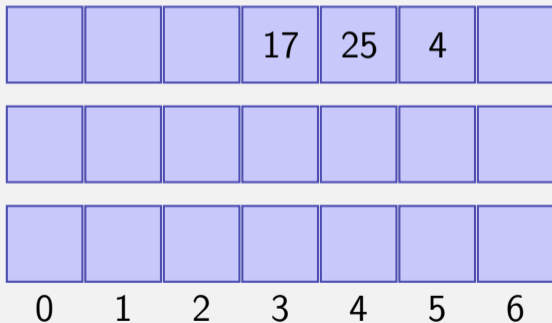
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			17	25	4	45

0      1      2      3      4      5      6

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		4		25		
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# Simple Uniform Hashing

Statement about the uniform distribution and independence of the *keys*.

Property of closed addressing: simple uniform hashing  $\Rightarrow$  expected length of the chains as good as possible  $\leq \alpha = \frac{n}{m}$ .

# Uniform Hashing

Statement about the uniform distribution and independence of *key probing sequences*.

Property of open addressing: Uniform Hashing  $\Rightarrow$  expected runtime costs  $\leq \frac{1}{1-\alpha}$ .

# Universal Hashing

Property about the available, randomly chosen *hash-functions*

$$|\{h \in \mathcal{H} \text{ with } h(k_1) = h(k_2)\}| \leq \frac{|\mathcal{H}|}{m}$$

Property independent of chose sequence of keys: for hashing with chaining the expected chain length is  $\leq \alpha = \frac{n}{m}$

Prerequisite for Perfect Hashing

# 3. Programming Task

# Finding a Sub-Array

- Given: two integer arrays  $A = (a_0, \dots, a_{n-1})$  and  $B = (b_0, \dots, b_{k-1})$
- Task: Find position of  $B$  in  $A$ .

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- Naive: Loop through  $A$ , check whether the following  $k$  entries match  $B$ .
  - $O(nk)$  comparison operations
- Solution using hashing: Calculate hash  $h(B)$  and compare it to  $h((a_i, a_{i+1}, \dots, a_{i+k-1}))$ .
- Avoid re-computing  $h((a_i, a_{i+1}, \dots, a_{i+k-1}))$  for each  $i$   
 $\implies O(n)$  expected

# Sliding Window Hash

- Possible hash function: sum of all elements:
  - Can be updated easily: subtract  $a_i$  and add  $a_{i+k}$ .
  - However: bad hash function

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- Possible hash function: sum of all elements:
  - Can be updated easily: subtract  $a_i$  and add  $a_{i+k}$ .
  - However: bad hash function
- Better:

$$H_{c,m}((a_i, \dots, a_{i+k-1})) = \left( \sum_{j=0}^{k-1} a_{i+j} \cdot c^{k-j-1} \right) \bmod m$$

- $c = 1021$  prime number
- $m = 2^{15}$  `int`, no overflows at calculations

# Computing with Modulo

$$(a + b) \bmod m = ((a \bmod m) + (b \bmod m)) \bmod m$$

$$(a - b) \bmod m = ((a \bmod m) - (b \bmod m) + m) \bmod m$$

$$(a \cdot b) \bmod m = ((a \bmod m) \cdot (b \bmod m)) \bmod m$$

**Exercise:** Compute

$$12746357 \bmod 11$$

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$$= (7 + 5 \cdot 10 + 3 \cdot 10^2 + 6 \cdot 10^3 + 4 \cdot 10^4 + 7 \cdot 10^5 + 2 \cdot 10^6 + 1 \cdot 10^7) \bmod 11$$

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**Exercise:** Compute

$$\begin{aligned} & 12746357 \bmod 11 \\ &= (7 + 5 \cdot 10 + 3 \cdot 10^2 + 6 \cdot 10^3 + 4 \cdot 10^4 + 7 \cdot 10^5 + 2 \cdot 10^6 + 1 \cdot 10^7) \bmod 11 \\ &= (7 + 50 + 3 + 60 + 4 + 70 + 2 + 10) \bmod 11 \end{aligned}$$

For the second equality we used the fact that  $10^2 \bmod 11 = 1$ .



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For the second equality we used the fact that  $10^2 \bmod 11 = 1$ .

# Sliding Window Hash

```
template<typename It1, typename It2>
It1 findOccurrence(const It1 from, const It1 to,
                  const It2 begin, const It2 end)
{
    const unsigned k = end - begin;
    const unsigned M = 32768;
    const unsigned C = 1021;

    // your code here
    // ...
}
```

# Sliding Window Hash

```
// elements can be compared using std::equal:  
if(std::equal(window_left, window_right, begin, end))  
    return current;  
  
// if no occurrence is found return end of array  
return to;  
}
```

# Sliding Window Hash

Make sure that

- the algorithm computes  $c^k$  only once,
- all computations are modulo  $m$  for all values in order not to get an overflow (recall the rules of modular arithmetic), and
- the values are always positive (e.g., by adding multiples of  $m$ ).

Questions?