26.8 A\*-Algorithm

These slides contain the most important formalities around the A\*-algorithm and its correctness. We motivate the algorithm in the lectures and give more examples there. Another nice motivation of the algorithm can found here: https://www.youtube.com/watch?v=bRvs8r0QU-Q

### Prerequisites

- Positively weighted graph G = (V, E, c)
- G finite or  $\delta$ -Graph:  $\exists \ \delta > 0 : c(e) \ge \delta$  for all  $e \in E$
- $\blacksquare \ s \in V \text{, } t \in V$
- Distance estimate  $\hat{h}_t(v) \leq h_t(v) := \delta(v, t) \; \forall \; v \in V.$
- $\blacksquare \text{ Wanted: shortest path } p: s \rightsquigarrow t$

# A\*-Algorithm( $G, s, t, \hat{h}$ )

**Input:** Positively weighted Graph G = (V, E, c), starting point  $s \in V$ , end point  $t \in V$ , estimate  $\hat{h}(v) \leq \delta(v, t)$ 

**Output:** Existence and value of a shortest path from s to t

return failure

### Notation

Let f(v) be the distance of a shortest path from s to t via v, thus

let p be a shortest path from s to t. It holds that  $f(s) = \delta(s, t)$  and f(v) = f(s) for all  $v \in p$ . Let  $\hat{g}(v) := d[v]$  be an estimate of g(v) in the algorithm above. It holds that  $\hat{g}(v) \ge g(v)$ .  $\hat{h}(v)$  is an estimate of h(v) with  $\hat{h}(v) \le h(v)$ .

#### Lemma 26

Let  $u \in V$  and, at a time during the execution of the algorithm,  $u \notin M$ . Let p be a shortest path from s to u. Then there is a  $u' \in p$  with  $\widehat{g}(u') = g(u')$  and  $u' \in R$ .

The lemma states that there is always a node in the open set R with the minimal distance from s already computed and that belongs to a shortest path (if existing).

### Illustration and Proof

Proof: If  $s \in R$ , then  $\hat{q}(s) = q(s) = 0$ . Therefore, let  $s \notin R$ . Let  $p = \langle s = u_0, u_1, \dots, u_k = u \rangle$  and  $\Delta = \{u_i \in p, u_i \in M, \hat{q}(u_i) = q(u_i)\}$ .  $\Delta \neq \emptyset$ , because  $s \in \Delta$ . Let  $m = \max\{i : u_i \in \Delta\}$ ,  $u^* = u_m$ . Then  $u^* \neq u$ , since  $u \notin M$ . Let  $u' = u_{m+1}$ . 1.  $\hat{q}(u') < \hat{q}(u^*) + c(u^*, u')$  (construction of  $\hat{q}$ ) 2.  $\widehat{q}(u^*) = q(u^*)$  (because  $u^* \in \Delta$ ) 3.  $q(u') = q(u^*) + c(u^*, u')$  (because p optimal) 4.  $\hat{g}(u') \ge g(u')$  (construction of  $\hat{g}$ ) Therefore:  $\hat{g}(u') = q(u')$  and thus also  $u' \in R$ .

### Corollary 27

Wenn  $\hat{h}(u) \leq h(u)$  für alle  $u \in V$  und A\*- Algorithmus hat noch nicht terminiert. Dann existiert für jeden kürzesten Pfad p von s nach t ein Knoten  $u' \in p$  mit  $\hat{f}(u') \leq \delta(s, t)$ .

If there is a shortest path p from s to t, then there is always a node in the open set T that underestimates the overal distance and that is on the shortest path.

## Proof of the Corollary

Proof:

From the lemma:  $\exists u' \in p$  with  $\widehat{g}(u') = g(u')$ . Therefore:

$$\widehat{f}(u') = \widehat{g}(u') + \widehat{h}(u')$$
$$= g(u') + \widehat{h}(u')$$
$$\leq g(u') + h(u') = f(u')$$

Because p is shortest path:  $f(u') = \delta(s, t)$ .

# Zulässigkeit

#### Theorem 28

Under the conditions stated on page 1498 the A\*-algorithm is admissible: if there is a shortest path from s to t then A\* terminates with  $\hat{g}(t)=\delta(s,t)$ 

Proof: If the algorithm terminates, then it termines with t with  $f(t) = \hat{g}(t) + 0 = g(t)$ . That is because  $\hat{g}$  overestimates g at most and by the corollary above that algorithm always finds an element  $v \in R$  with  $f(v) \leq \delta(s, t)$ .

The algorithm terminates in finitely many steps. For finite graphs the maximal number of relaxing steps is bounded.

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<sup>45</sup>For a  $\delta$ -graph the maximum number of relaxing steps before R contains only nodes with  $\hat{f}(s) > \delta(s,t)$  is limited as well. The exact argument can be found in the seminal article Hart, P. E.; Nilsson, N. J.; Raphael, B. (1968). "A Formal Basis for the Heuristic

# Revisiting nodes

- The A\*-algorithm can re-insert nodes that had been extracted from R before.
- This can lead to suboptimal behavior (w.r.t. running time of the algorithm).
- If  $\hat{h}$ , in addition to being admissible ( $\hat{h}(v) \leq h(v)$  for all  $v \in V$ ), fulfils monotonicity, i.e. if for all  $(u, u') \in E$ :

$$\widehat{h}(u') \leq \widehat{h}(u) + c(u', u)$$

then the A\*-Algorithm is equivalent to the Dijsktra-algorithm with edge weights  $\tilde{c}(u, v) = c(u, v) + \hat{h}(u) - \hat{h}(v)$ , and no node is re-inserted into R. It is not always possible to find monotone heuristics.