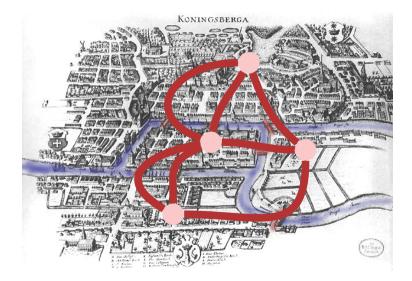
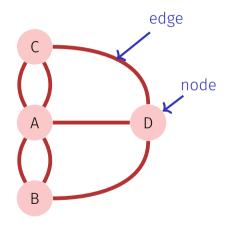
# 25. Graphs

Notation, Representation, Graph Traversal (DFS, BFS), Topological Sorting , Reflexive transitive closure, Connected components [Ottman/Widmayer, Kap. 9.1 - 9.4,Cormen et al, Kap. 22]

# Königsberg 1736



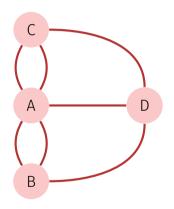
# [Multi]Graph

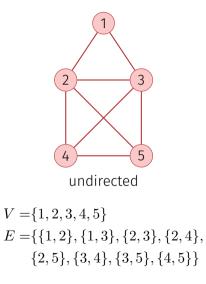


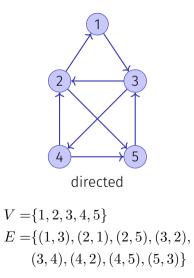
# Cycles

- Is there a cycle through the town (the graph) that uses each bridge (each edge) exactly once?
- Euler (1736): no.
- Such a cycle is called Eulerian path.
- Eulerian path ⇔ each node provides an even number of edges (each node is of an *even degree*).

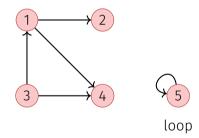
' $\Rightarrow$  " is straightforward, " $\Leftarrow$  " ist a bit more difficult but still elementary.



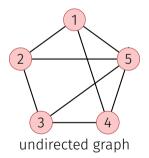




A **directed graph** consists of a set  $V = \{v_1, \ldots, v_n\}$  of nodes (*Vertices*) and a set  $E \subseteq V \times V$  of Edges. The same edges may not be contained more than once.

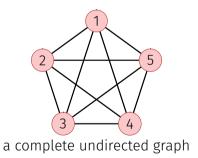


An **undirected graph** consists of a set  $V = \{v_1, \ldots, v_n\}$  of nodes a and a set  $E \subseteq \{\{u, v\} | u, v \in V\}$  of edges. Edges may bot be contained more than once.<sup>41</sup>

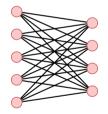


<sup>&</sup>lt;sup>41</sup>As opposed to the introductory example – it is then called multi-graph.

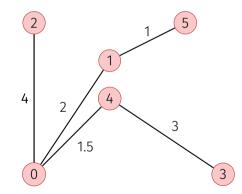
An undirected graph G = (V, E) without loops where E comprises all edges between pairwise different nodes is called **complete**.



A graph where V can be partitioned into disjoint sets U and W such that each  $e \in E$  provides a node in U and a node in W is called **bipartite**.



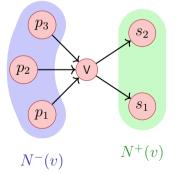
A weighted graph G = (V, E, c) is a graph G = (V, E) with an edge weight function  $c : E \to \mathbb{R}$ . c(e) is called weight of the edge e.



For directed graphs G = (V, E)

•  $w \in V$  is called adjacent to  $v \in V$ , if  $(v, w) \in E$ 

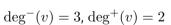
■ Predecessors of  $v \in V$ :  $N^-(v) := \{u \in V | (u, v) \in E\}$ . Successors:  $N^+(v) := \{u \in V | (v, u) \in E\}$ 



For directed graphs G = (V, E)

■ In-Degree: deg<sup>-</sup>(v) = |N<sup>-</sup>(v)|,
 Out-Degree: deg<sup>+</sup>(v) = |N<sup>+</sup>(v)|







$$\deg^{-}(w) = 1, \deg^{+}(w) = 1$$

For undirected graphs G = (V, E):

- $w \in V$  is called **adjacent** to  $v \in V$ , if  $\{v, w\} \in E$
- Neighbourhood of  $v \in V$ :  $N(v) = \{w \in V | \{v, w\} \in E\}$
- **Degree** of v: deg(v) = |N(v)| with a special case for the loops: increase the degree by 2.



# Relationship between node degrees and number of edges

For each graph G = (V, E) it holds

- 1.  $\sum_{v \in V} \deg^{-}(v) = \sum_{v \in V} \deg^{+}(v) = |E|$ , for G directed
- 2.  $\sum_{v \in V} \deg(v) = 2|E|$ , for G undirected.

#### Paths

- **Path**: a sequence of nodes  $\langle v_1, \ldots, v_{k+1} \rangle$  such that for each  $i \in \{1 \ldots k\}$  there is an edge from  $v_i$  to  $v_{i+1}$ .
- **Length** of a path: number of contained edges k.
- Weight of a path (in weighted graphs):  $\sum_{i=1}^{k} c((v_i, v_{i+1}))$  (bzw.  $\sum_{i=1}^{k} c(\{v_i, v_{i+1}\}))$
- **Simple path**: path without repeating vertices

#### Connectedness

- An undirected graph is called **connected**, if for each each pair  $v, w \in V$  there is a connecting path.
- A directed graph is called **strongly connected**, if for each pair  $v, w \in V$  there is a connecting path.
- A directed graph is called **weakly connected**, if the corresponding undirected graph is connected.

#### Simple Observations

- generally:  $0 \le |E| \in \mathcal{O}(|V|^2)$
- connected graph:  $|E| \in \Omega(|V|)$
- complete graph:  $|E| = \frac{|V| \cdot (|V|-1)}{2}$  (undirected)

• Maximally  $|E| = |V|^2$  (directed ),  $|E| = \frac{|V| \cdot (|V|+1)}{2}$  (undirected)

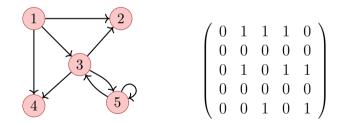
# Cycles

- **Cycle**: path  $\langle v_1, \ldots, v_{k+1} \rangle$  with  $v_1 = v_{k+1}$
- **Simple cycle**: Cycle with pairwise different  $v_1, \ldots, v_k$ , that does not use an edge more than once.
- Acyclic: graph without any cycles.

Conclusion: undirected graphs cannot contain cycles with length 2 (loops have length 1)

#### Representation using a Matrix

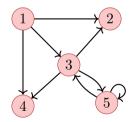
Graph G = (V, E) with nodes  $v_1 \dots, v_n$  stored as **adjacency matrix**  $A_G = (a_{ij})_{1 \le i,j \le n}$  with entries from  $\{0,1\}$ .  $a_{ij} = 1$  if and only if edge from  $v_i$  to  $v_j$ .

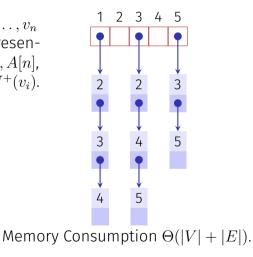


Memory consumption  $\Theta(|V|^2)$ .  $A_G$  is symmetric, if G undirected.

### Representation with a List

Many graphs G = (V, E) with nodes  $v_1, \ldots, v_n$ provide much less than  $n^2$  edges. Representation with **adjacency list**: Array  $A[1], \ldots, A[n]$ ,  $A_i$  comprises a linked list of nodes in  $N^+(v_i)$ .

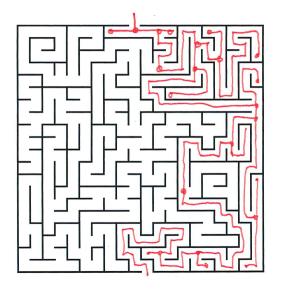




# **Runtimes of simple Operations**

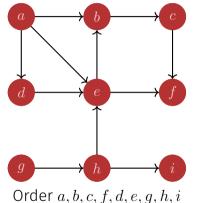
Operation	Matrix	List
Find neighbours/successors of $v \in V$	$\Theta(n)$	$\Theta(\deg^+ v)$
find $v \in V$ without neighbour/successor	$\Theta(n^2)$	$\Theta(n)$
$(u,v) \in E$ ?	$\Theta(1)$	$\Theta(\deg^+ v)$
Insert edge	$\Theta(1)$	$\Theta(1)$
Delete edge	$\Theta(1)$	$\Theta(\deg^+ v)$

## Depth First Search

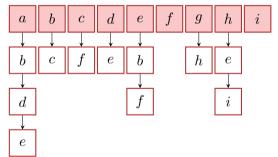


# Graph Traversal: Depth First Search

Follow the path into its depth until nothing is left to visit.



Adjazenzliste



#### Colors

Conceptual coloring of nodes

- **white:** node has not been discovered yet.
- **grey:** node has been discovered and is marked for traversal / being processed.
- **black:** node was discovered and entirely processed.

# Algorithm Depth First visit DFS-Visit(G, v)

```
Input: graph G = (V, E), Knoten v.
```

 $v.color \gets \mathsf{black}$ 

Depth First Search starting from node v. Running time (without recursion):  $\Theta(\deg^+ v)$ 

# Algorithm Depth First visit DFS-Visit(G)

```
Input: graph G = (V, E)
foreach v \in V do
\lfloor v.color \leftarrow white
foreach v \in V do
\mid if v.color = white then
\lfloor DFS-Visit(G,v)
```

Depth First Search for all nodes of a graph. Running time:  $\Theta(|V| + \sum_{v \in V} (\deg^+(v) + 1)) = \Theta(|V| + |E|).$ 

# Iterative DFS-Visit(G, v)

```
Input: graph G = (V, E), v \in V with v.color = white
Stack S \leftarrow \emptyset
v.color \leftarrow grey; S.push(v)
                                                  // invariant: grey nodes always on stack
while S \neq \emptyset do
    w \leftarrow \mathsf{nextWhiteSuccessor}(v)
                                                                            // code: next slide
    if w \neq null then
         w.color \leftarrow grey; S.push(w)
                                               // work on w. parent remains on the stack
         v \leftarrow w
    else
         v.color \leftarrow black
                                                   // no grey successors, v becomes black
         if S \neq \emptyset then
             v \leftarrow S.pop()
                                                                    // visit/revisit next node
            if v.color = grey then S.push(v)
                                                         Memory Consumption Stack \Theta(|V|)
```

# nextWhiteSuccessor(v)

```
Input: node v \in V
Output: Successor node u of v with u.color = white, null otherwise
```

```
foreach u \in N^+(v) do
if u.color = white then
return u
```

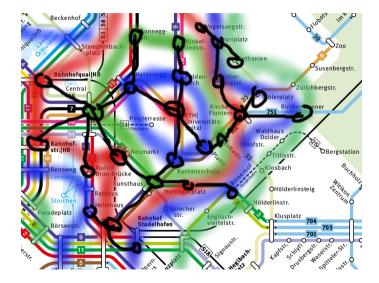
return null

#### Interpretation of the Colors

When traversing the graph, a tree (or Forest) is built. When nodes are discovered there are three cases

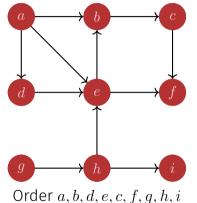
- White node: new tree edge
- Grey node: Zyklus ("back-egde")
- Black node: forward- / cross edge

#### Breadth First Search

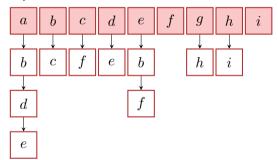


## Graph Traversal: Breadth First Search

Follow the path in breadth and only then descend into depth.



Adjazenzliste



# (Iterative) BFS-Visit(G, v)

```
Input: graph G = (V, E)
Queue Q \leftarrow \emptyset
v.color \leftarrow grey
enqueue(Q, v)
while Q \neq \emptyset do
     w \leftarrow \mathsf{dequeue}(Q)
     foreach c \in N^+(w) do
          if c.color = white then
              c.color \leftarrow grey
              enqueue(Q, c)
     w.color \leftarrow black
```

Algorithm requires extra space of  $\mathcal{O}(|V|)$ .

# Main program BFS-Visit(G)

```
Input: graph G = (V, E)
foreach v \in V do
\lfloor v.color \leftarrow white
foreach v \in V do
if v.color = white then
\lfloor BFS-Visit(G,v)
```

Breadth First Search for all nodes of a graph. Running time:  $\Theta(|V| + |E|)$ .

# **Topological Sorting**

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4	Hans	•	1	3		2	3	-	9	$\sim$		1.5		
5	Mike	•	2	7		5	4	-	18			3		
6	Selina	•	6	5		8	2	-	21			3.5		
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Evaluation Order?

# **Topological Sorting**

**Topological Sorting** of an acyclic directed graph G = (V, E): Bijective mapping

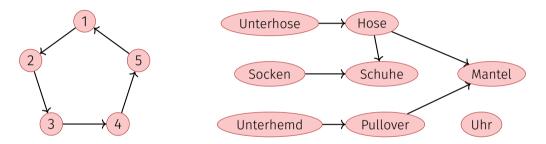
$$\mathrm{ord}: V \to \{1, \dots, |V|\}$$

such that

$$\operatorname{ord}(v) < \operatorname{ord}(w) \ \forall \ (v, w) \in E.$$

Identify *i* with Element  $v_i := \text{ord}^1(i)$ . Topological sorting  $\hat{=} \langle v_1, \ldots, v_{|V|} \rangle$ .

# (Counter-)Examples



Cyclic graph: cannot be sorted topologically.

A possible toplogical sorting of the graph: Unterhemd,Pullover,Unterhose,Uhr,Hose,Mantel,Socken,S

### Observation

### Theorem 22

A directed graph G = (V, E) permits a topological sorting if and only if it is acyclic.

Proof " $\Rightarrow$ ": If G contains a cycle it cannot permit a topological sorting, because in a cycle  $\langle v_{i_1}, \ldots, v_{i_m} \rangle$  it would hold that  $v_{i_1} < \cdots < v_{i_m} < v_{i_1}$ .

# Inductive Proof Opposite Direction

- Base case (n = 1): Graph with a single node without loop can be sorted topologically, setord $(v_1) = 1$ .
- Hypothesis: Graph with *n* nodes can be sorted topologically

• Step  $(n \rightarrow n+1)$ :

- 1. G contains a node  $v_q$  with in-degree deg<sup>-</sup>( $v_q$ ) = 0. Otherwise iteratively follow edges backwards after at most n + 1 iterations a node would be revisited. Contradiction to the cycle-freeness.
- 2. Graph without node  $v_q$  and without its edges can be topologically sorted by the hypothesis. Now use this sorting and set  $\operatorname{ord}(v_i) \leftarrow \operatorname{ord}(v_i) + 1$  for all  $i \neq q$  and set  $\operatorname{ord}(v_q) \leftarrow 1$ .

# Preliminary Sketch of an Algorithm

 $\mathsf{Graph}\; G = (V, E).\; d \gets 1$ 

- 1. Traverse backwards starting from any node until a node  $v_q$  with in-degree 0 is found.
- 2. If no node with in-degree 0 found after n stepsm, then the graph has a cycle.
- 3. Set  $\operatorname{ord}(v_q) \leftarrow d$ .
- 4. Remove  $v_q$  and his edges from G.
- 5. If  $V \neq \emptyset$  , then  $d \leftarrow d + 1$ , go to step 1.

Worst case runtime:  $\Theta(|V|^2)$ .

### Improvement

Idea?

Compute the in-degree of all nodes in advance and traverse the nodes with in-degree 0 while correcting the in-degrees of following nodes.

# Algorithm Topological-Sort(G)

```
Input: graph G = (V, E).
Output: Topological sorting ord
Stack S \leftarrow \emptyset
foreach v \in V do A[v] \leftarrow 0
foreach (v, w) \in E do A[w] \leftarrow A[w] + 1 // Compute in-degrees
foreach v \in V with A[v] = 0 do push(S, v) / / Memorize nodes with in-degree 0
i \leftarrow 1
while S \neq \emptyset do
    v \leftarrow \mathsf{pop}(S); \operatorname{ord}[v] \leftarrow i; i \leftarrow i+1 // Choose node with in-degree 0
    foreach (v, w) \in E do // Decrease in-degree of successors
         A[w] \leftarrow A[w] - 1
        if A[w] = 0 then push(S, w)
```

if i = |V| + 1 then return ord else return "Cycle Detected"

# Algorithm Correctness

### Theorem 23

Let G = (V, E) be a directed acyclic graph. Algorithm TopologicalSort(G) computes a topological sorting ord for G with runtime  $\Theta(|V| + |E|)$ .

Proof: follows from previous theorem:

- 1. Decreasing the in-degree corresponds with node removal.
- 2. In the algorithm it holds for each node v with A[v] = 0 that either the node has in-degree 0 or that previously all predecessors have been assigned a value  $\operatorname{ord}[u] \leftarrow i$  and thus  $\operatorname{ord}[v] > \operatorname{ord}[u]$  for all predecessors u of v. Nodes are put to the stack only once.
- 3. Runtime: inspection of the algorithm (with some arguments like with graph traversal)

# Algorithm Correctness

### Theorem 24

Let G = (V, E) be a directed graph containing a cycle. Algorithm TopologicalSort terminates within  $\Theta(|V| + |E|)$  steps and detects a cycle.

Proof: let  $\langle v_{i_1}, \ldots, v_{i_k} \rangle$  be a cycle in *G*. In each step of the algorithm remains  $A[v_{i_j}] \ge 1$  for all  $j = 1, \ldots, k$ . Thus *k* nodes are never pushed on the stack und therefore at the end it holds that  $i \le V + 1 - k$ .

The runtime of the second part of the algorithm can become shorter. But the computation of the in-degree costs already  $\Theta(|V| + |E|)$ .

# Alternative: Algorithm DFS-Topsort(G, v)

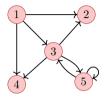
```
Input: graph G = (V, E), node v, node list L.
```

```
if v.color = grey then
    stop (Cycle)
if v.color = black then
    return
v.color \leftarrow grey
foreach w \in N^+(v) do
    DFS-Topsort(G, w)
v.color \leftarrow black
Add v to head of L
```

Call this algorithm for each node that has not yet been visited. Asymptotic Running Time  $\Theta(|V| + |E|)$ .

## Adjacency Matrix Product

$$B := A_G^2 = \begin{pmatrix} 0 & 1 & 1 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 1 \end{pmatrix}^2 = \begin{pmatrix} 0 & 1 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 1 & 1 & 2 \end{pmatrix}$$



### Interpretation

#### Theorem 25

Let G = (V, E) be a graph and  $k \in \mathbb{N}$ . Then the element  $a_{i,j}^{(k)}$  of the matrix  $(a_{i,j}^{(k)})_{1 \leq i,j \leq n} = (A_G)^k$  provides the number of paths with length k from  $v_i$  to  $v_j$ .

### Proof

### By Induction.

Base case: straightforward for k = 1.  $a_{i,j} = a_{i,j}^{(1)}$ . Hypothesis: claim is true for all  $k \le l$ Step  $(l \to l+1)$ :  $a_{i,j}^{(l+1)} = \sum_{k=1}^{n} a_{i,k}^{(l)} \cdot a_{k,j}$  (l)

 $a_{k,j} = 1$  iff egde k to j, 0 otherwise. Sum counts the number paths of length l from node  $v_i$  to all nodes  $v_k$  that provide a direct direction to node  $v_j$ , i.e. all paths with length l + 1.

### Example: Shortest Path

**Question:** is there a path from *i* to *j*? How long is the shortest path? **Answer:** exponentiate  $A_G$  until for some k < n it holds that  $a_{i,j}^{(k)} > 0$ . k provides the path length of the shortest path. If  $a_{i,j}^{(k)} = 0$  for all  $1 \le k < n$ , then there is no path from *i* to *j*.

## Example: Number triangles

**Question:** How many triangular path does an undirected graph contain? **Answer:** Remove all cycles (diagonal entries). Compute  $A_G^3$ .  $a_{ii}^{(3)}$  determines the number of paths of length 3 that contain *i*. There are 6 different permutations of a triangular path. Thus for the number of triangles:  $\sum_{i=1}^{n} a_{ii}^{(3)}/6$ .

# Relation

Given a finite set V

(Binary) **Relation** R on V: Subset of the cartesian product  $V \times V = \{(a, b) | a \in V, b \in V\}$ Relation  $R \subseteq V \times V$  is called

- **reflexive**, if  $(v, v) \in R$  for all  $v \in V$
- **symmetric**, if  $(v, w) \in R \Rightarrow (w, v) \in R$
- **Transitive**, if  $(v, x) \in R$ ,  $(x, w) \in R \Rightarrow (v, w) \in R$

The (Reflexive) Transitive Closure  $R^*$  of R is the smallest extension  $R \subseteq R^* \subseteq V \times V$  such that  $R^*$  is reflexive and transitive.

### **Graphs and Relations**

Graph G = (V, E)adjacencies  $A_G \cong$  Relation  $E \subseteq V \times V$  over V

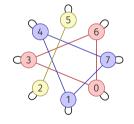
**reflexive** 
$$\Leftrightarrow a_{i,i} = 1$$
 for all  $i = 1, \dots, n$ . (loops)

- **symmetric**  $\Leftrightarrow$   $a_{i,j} = a_{j,i}$  for all  $i, j = 1, \dots, n$  (undirected)
- **transitive**  $\Leftrightarrow$   $(u, v) \in E$ ,  $(v, w) \in E \Rightarrow (u, w) \in E$ . (reachability)

## Example: Equivalence Relation

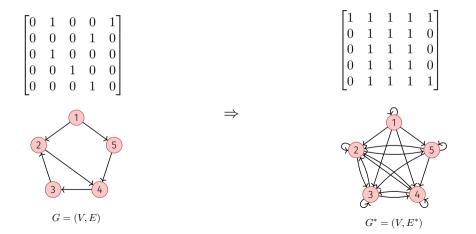
Equivalence relation  $\Leftrightarrow$  symmetric, transitive, reflexive relation  $\Leftrightarrow$  collection of complete, undirected graphs where each element has a loop.

**Example:** Equivalence classes of the numbers  $\{0, ..., 7\}$  modulo 3



# **Reflexive Transitive Closure**

Reflexive transitive closure of  $G \Leftrightarrow$  **Reachability relation**  $E^*$ :  $(v, w) \in E^*$  iff  $\exists$  path from node v to w.



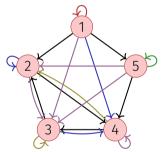
### Computation of the Reflexive Transitive Closure

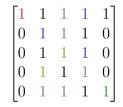
**Goal:** computation of  $B = (b_{ij})_{1 \le i,j \le n}$  with  $b_{ij} = 1 \Leftrightarrow (v_i, v_j) \in E^*$ **Observation:**  $a_{ij} = 1$  already implies  $(v_i, v_j) \in E^*$ . First idea:

- Start with  $B \leftarrow A$  and set  $b_{ii} = 1$  for each *i* (Reflexivity.).
- Iterate over i, j, k and set  $b_{ij} = 1$ , if  $b_{ik} = 1$  and  $b_{kj} = 1$ . Then all paths with lenght 1 and 2 taken into account.
- **\blacksquare** Repeated iteration  $\Rightarrow$  all paths with length  $1 \dots 4$  taken into account.
- $\lceil \log_2 n \rceil$  iterations required.  $\Rightarrow$  running time  $n^3 \lceil \log_2 n \rceil$

# Improvement: Algorithm of Warshall (1962)

Inductive procedure: all paths known over nodes from  $\{v_i : i < k\}$ . Add node  $v_k$ .





# Algorithm TransitiveClosure( $A_G$ )

**Input:** Adjacency matrix  $A_G = (a_{ij})_{i,j=1...n}$ **Output:** Reflexive transitive closure  $B = (b_{ij})_{i,j=1...n}$  of G

 $B \leftarrow A_G$ for  $k \leftarrow 1$  to n do  $a_{kk} \leftarrow 1$ for  $i \leftarrow 1$  to n do  $for j \leftarrow 1$  to n do  $b_{ij} \leftarrow \max\{b_{ij}, b_{ik} \cdot b_{kj}\}$ 

// Reflexivity

/ All paths via  $v_k$ 

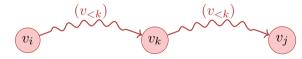
return B

Runtime  $\Theta(n^3)$ .

# Correctness of the Algorithm (Induction)

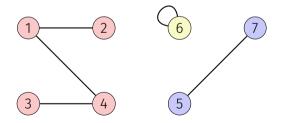
**Invariant (**k**)**: all paths via nodes with maximal index < k considered.

- **Base case (**k = 1**)**: All directed paths (all edges) in  $A_G$  considered.
- **Hypothesis**: invariant (*k*) fulfilled.
- **Step**  $(k \rightarrow k + 1)$ : For each path from  $v_i$  to  $v_j$  via nodes with maximal index k: by the hypothesis  $b_{ik} = 1$  and  $b_{kj} = 1$ . Therefore in the k-th iteration:  $b_{ij} \leftarrow 1$ .



### **Connected Components**

Connected components of an undirected graph G: equivalence classes of the reflexive, transitive closure of G. Connected component = subgraph  $G' = (V', E'), E' = \{\{v, w\} \in E | v, w \in V'\}$  with  $\{\{v, w\} \in E | v \in V' \lor w \in V'\} = E = \{\{v, w\} \in E | v \in V' \land w \in V'\}$ 



Graph with connected components  $\{1, 2, 3, 4\}$ ,  $\{5, 7\}$ ,  $\{6\}$ .

### Computation of the Connected Components

- Computation of a partitioning of V into pairwise disjoint subsets  $V_1, \ldots, V_k$
- such that each  $V_i$  contains the nodes of a connected component.
- Algorithm: depth-first search or breadth-first search. Upon each new start of DFSSearch(G, v) or BFSSearch(G, v) a new empty connected component is created and all nodes being traversed are added.