## **Datenstrukturen und Algorithmen**

**Exercise 2** 

FS 2020

#### **Program of today**

1 Feedback of last exercise

- 2 Repetition theory
  - Induction
  - Analysis of programs
  - Solving Simple Recurrence Equations

■ Give a correct definition of the set Θ(f) as compact as possible analogously to the definitions for sets O(f) and Ω(f).

■ Give a correct definition of the set  $\Theta(f)$  as compact as possible analogously to the definitions for sets  $\mathcal{O}(f)$  and  $\Omega(f)$ .

$$\Theta(f) = \{g : \mathbb{N} \to \mathbb{R} \mid \exists a > 0, \ b > 0, \ n_0 \in \mathbb{N} : a \cdot f(n) \le g(n) \le b \cdot f(n) \ \forall n \ge n_0\}$$

■ Give a correct definition of the set Θ(f) as compact as possible analogously to the definitions for sets O(f) and Ω(f).

$$\Theta(f) = \{g : \mathbb{N} \to \mathbb{R} \mid \exists a > 0, \ b > 0, \ n_0 \in \mathbb{N} : a \cdot f(n) \le g(n) \le b \cdot f(n) \ \forall n \ge n_0\}$$

$$\Theta(f) = \{g : \mathbb{N} \to \mathbb{R} \mid \exists c > 0, \ n_0 \in \mathbb{N} : \frac{1}{c} \cdot f(n) \le g(n) \le c \cdot f(n) \ \forall n \ge n_0\}$$

Prove or disprove the following statements, where  $f, g : \mathbb{N} \to \mathbb{R}^+$ . (a)  $f \in \mathcal{O}(g)$  if and only if  $g \in \Omega(f)$ . (e)  $\log_a(n) \in \Theta(\log_b(n))$  for all constants  $a, b \in \mathbb{N} \setminus \{1\}$ (g) If  $f_1, f_2 \in \mathcal{O}(g)$  and  $f(n) := f_1(n) \cdot f_2(n)$ , then  $f \in \mathcal{O}(g)$ . Sorting functions: if function f is left to function g, then  $f \in \mathcal{O}(g)$ .  $2^{16}, \log(n^4), \log^8(n), \sqrt{n}, n \log n, \binom{n}{3}, n^5 + n, \frac{2^n}{n^2}, n!, n^n$ .

#### Sum of elements in two-dimensional array

#### Problems / Questions?

## 2. Repetition theory

Prove statements, for example  $\sum_{i=1}^{n} i = \frac{n(n+1)}{2}$ .

- Prove statements, for example  $\sum_{i=1}^{n} i = \frac{n(n+1)}{2}$ .
- Base clause:
  - The given (in)equality holds for one or more base cases.
     e.g. ∑<sup>1</sup><sub>i=1</sub> i = 1 = <sup>1(1+1)</sup>/<sub>2</sub>.

- Prove statements, for example  $\sum_{i=1}^{n} i = \frac{n(n+1)}{2}$ .
- Base clause:

The given (in)equality holds for one or more base cases.
 e.g. ∑<sup>1</sup><sub>i=1</sub> i = 1 = <sup>1(1+1)</sup>/<sub>2</sub>.

 $\blacksquare$  Induction hypothesis: we assume that the statement holds for some n

- Prove statements, for example  $\sum_{i=1}^{n} i = \frac{n(n+1)}{2}$ .
- Base clause:

The given (in)equality holds for one or more base cases.
 e.g. ∑<sup>1</sup><sub>i=1</sub> i = 1 = <sup>1(1+1)</sup>/<sub>2</sub>.

- $\blacksquare$  Induction hypothesis: we assume that the statement holds for some n
- Induction step  $(n \rightarrow n+1)$ :
  - From the validity of the statement for n (induction hypothesis) it follows the one for n + 1.

• e.g.: 
$$\sum_{i=1}^{n+1} i = n + 1 + \sum_{i=1}^{n} = n + 1 + \frac{n(n+1)}{2} = \frac{(n+2)(n+1)}{2}$$
.

### Induction: Example

• Show 
$$\sum_{i=0}^{n} r^i = \frac{1-r^{n+1}}{1-r}$$
.

#### **Induction: Example**

• Show 
$$\sum_{i=0}^{n} r^i = \frac{1-r^{n+1}}{1-r}$$

Base clause: n = 0:  $\sum_{i=0}^{0} r^{i} = 1 = \frac{1-r^{1}}{1-r}$ .

#### **Induction: Example**

• Show 
$$\sum_{i=0}^n r^i = \frac{1-r^{n+1}}{1-r}$$

Base clause:  $n = 0: \sum_{i=0}^{0} r^{i} = 1 = \frac{1-r^{1}}{1-r}.$ 

Induction step  $(n \rightarrow n+1)$ :

$$\sum_{i=0}^{n+1} r^i = r^{n+1} + \sum_{i=0}^n r^i$$
$$= r^{n+1} + \frac{1 - r^{n+1}}{1 - r} = \frac{r^{n+1} - r^{n+2} + 1 + r^{n+1}}{1 - r} = \frac{1 - r^{n+2}}{1 - r}$$



It can be shown easily in a direct manner

$$\frac{r^{n+1}-1}{r-1} \stackrel{!}{=} \sum_{i=0}^{n} r^{i}$$

$$(r-1) \cdot \sum_{i=0}^{n} r^{i} = \sum_{i=0}^{n} r^{i+1} - \sum_{i=0}^{n} r^{i}$$

$$= \sum_{i=1}^{n+1} r^{i} - \sum_{i=0}^{n} r^{i} = \sum_{i=0}^{n+1} r^{i} - 1 - \sum_{i=0}^{n} r^{i}$$

$$= r^{n+1} - 1$$

```
How many calls to f()?
```

```
for(unsigned i = 1; i <= n/3; i += 3)
for(unsigned j = 1; j <= i; ++j)
f();</pre>
```

```
How many calls to f()?
```

```
for(unsigned i = 1; i <= n/3; i += 3)
for(unsigned j = 1; j <= i; ++j)
f();</pre>
```

The code fragment implies  $\Theta(n^2)$  calls to f(): the outer loop is executed n/9 times and the inner loop contains i calls to f()

```
for(unsigned i = 0; i < n; ++i) {
  for(unsigned j = 100; j*j >= 1; --j)
    f();
  for(unsigned k = 1; k <= n; k *= 2)
    f();
}</pre>
```

```
for(unsigned i = 0; i < n; ++i) {
  for(unsigned j = 100; j*j >= 1; --j)
    f();
  for(unsigned k = 1; k <= n; k *= 2)
    f();
}</pre>
```

We can ignore the first inner loop because it contains only a constant number of calls to f()

```
for(unsigned i = 0; i < n; ++i) {
  for(unsigned j = 100; j*j >= 1; --j)
    f();
  for(unsigned k = 1; k <= n; k *= 2)
    f();
}</pre>
```

We can ignore the first inner loop because it contains only a constant number of calls to f()

The second inner loop contains  $\lfloor \log_2(n) \rfloor + 1$  calls to f(). Summing up yields  $\Theta(n \log(n))$  calls.

```
void g(unsigned n) {
  for (unsigned i = 0; i<n ; ++i) {
    g(i)
  }
  f();
}</pre>
```

```
void g(unsigned n) {
  for (unsigned i = 0; i<n ; ++i) {
    g(i)
  }
  f();
}
T(0) = 1</pre>
```

```
void g(unsigned n) {
  for (unsigned i = 0; i < n; ++i) {
   g(i)
  }
  f();
}
T(0) = 1
T(n) = 1 + \sum_{i=0}^{n-1} T(i)
```

```
void g(unsigned n) {
 for (unsigned i = 0; i < n; ++i) {
  g(i)
 }
 f();
}
                               T(0) = 1
T(n) = 1 + \sum_{i=0}^{n-1} T(i)
```

```
void g(unsigned n) {
 for (unsigned i = 0; i < n; ++i) {
  g(i)
 }
 f();
}
                               T(0) = 1
T(n) = 1 + \sum_{i=0}^{n-1} T(i)
```

Hypothesis:  $T(n) = 2^n$ .

#### Induction

Hypothesis:  $T(n) = 2^n$ . Induction step:

$$T(n) = 1 + \sum_{i=0}^{n-1} 2^{i}$$
  
= 1 + 2<sup>n</sup> - 1 = 2<sup>r</sup>

```
void g(unsigned n) {
  for (unsigned i = 0; i<n ; ++i) {
    g(i)
  }
  f();
}</pre>
```

You can also see it directly:

$$T(n) = 1 + \sum_{i=0}^{n-1} T(i)$$
  

$$\Rightarrow T(n-1) = 1 + \sum_{i=0}^{n-2} T(i)$$
  

$$\Rightarrow T(n) = T(n-1) + T(n-1) = 2T(n-1)$$

#### **Recurrence Equation**

$$T(n) = \begin{cases} 2T(\frac{n}{2}) + \frac{n}{2} + 1, & n > 1\\ 3 & n = 1 \end{cases}$$

Specify a closed (non-recursive), simple formula for T(n) and prove it using mathematical induction. Assume that n is a power of 2.

#### **Recurrence Equation**

$$T(2^{k}) = 2T(2^{k-1}) + 2^{k}/2 + 1$$
  
= 2(2(T(2^{k-2}) + 2^{k-1}/2 + 1) + 2^{k}/2 + 1 = ...  
= 2^{k}T(2^{k-k}) + \underbrace{2^{k}/2 + ... + 2^{k}/2}\_{k} + 1 + 2 + ... + 2^{k-1}  
= 3n +  $\frac{n}{2}\log_{2} n + n - 1$ 

 $\Rightarrow$  Assumption  $T(n) = 4n + \frac{n}{2}\log_2 n - 1$ 

#### Induction

1 Hypothesis  $T(n) = f(n) := 4n + \frac{n}{2}\log_2 n - 1$ 2 Base Case T(1) = 3 = f(1) = 4 - 1.
3 Step  $T(n) = f(n) \longrightarrow T(2 \cdot n) = f(2n)$  ( $n = 2^k$  for some  $k \in \mathbb{N}$ ):

$$T(2n) = 2T(n) + n + 1$$
  

$$\stackrel{i.h.}{=} 2(4n + \frac{n}{2}\log_2 n - 1) + n + 1$$
  

$$= 8n + n\log_2 n - 2 + n + 1$$
  

$$= 8n + n\log_2 n + n\log_2 2 - 1$$
  

$$= 8n + n\log_2 2n - 1$$
  

$$= f(2n).$$

# Questions or Suggestions?