

## 19. Dynamic Programming I

Memoization, Optimal Substructure, Overlapping Sub-Problems, Dependencies, General Procedure. Examples: Fibonacci, Rod Cutting, Longest Ascending Subsequence, Longest Common Subsequence, Edit Distance, Matrix Chain Multiplication (Strassen) [Ottman/Widmayer, Kap. 1.2.3, 7.1, 7.4, Cormen et al, Kap. 15]

### Fibonacci Numbers



$$F_n := \begin{cases} n & \text{if } n < 2 \\ F_{n-1} + F_{n-2} & \text{if } n \geq 2. \end{cases}$$

Analysis: why is the recursive algorithm so slow?

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### Algorithm FibonacciRecursive( $n$ )

**Input:**  $n \geq 0$

**Output:**  $n$ -th Fibonacci number

**if**  $n < 2$  **then**

$f \leftarrow n$

**else**

$f \leftarrow \text{FibonacciRecursive}(n - 1) + \text{FibonacciRecursive}(n - 2)$

**return**  $f$

### Analysis

$T(n)$ : Number executed operations.

■  $n = 0, 1: T(n) = \Theta(1)$

■  $n \geq 2: T(n) = T(n - 2) + T(n - 1) + c.$

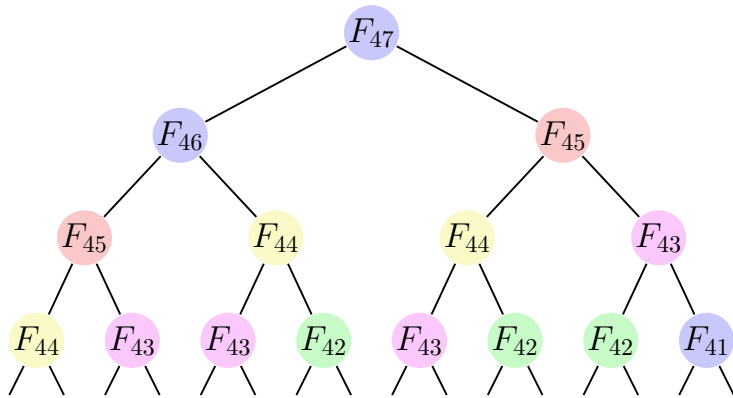
$$T(n) = T(n - 2) + T(n - 1) + c \geq 2T(n - 2) + c \geq 2^{n/2}c' = (\sqrt{2})^n c'$$

Algorithm is *exponential* in  $n$ .

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## Reason (visual)



Nodes with same values are evaluated (too) often.

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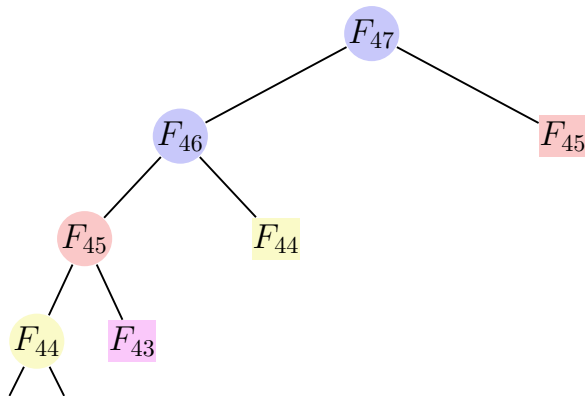
## Memoization

*Memoization* (sic) saving intermediate results.

- Before a subproblem is solved, the existence of the corresponding intermediate result is checked.
- If an intermediate result exists then it is used.
- Otherwise the algorithm is executed and the result is saved accordingly.

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## Memoization with Fibonacci



Rechteckige Knoten wurden bereits ausgewertet.

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## Algorithm FibonacciMemoization( $n$ )

**Input:**  $n \geq 0$

**Output:**  $n$ -th Fibonacci number

**if**  $n \leq 2$  **then**

  |  $f \leftarrow 1$

**else if**  $\exists \text{memo}[n]$  **then**

  |  $f \leftarrow \text{memo}[n]$

**else**

  |  $f \leftarrow \text{FibonacciMemoization}(n - 1) + \text{FibonacciMemoization}(n - 2)$

  |  $\text{memo}[n] \leftarrow f$

**return**  $f$

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## Analysis

Computational complexity:

$$T(n) = T(n - 1) + c = \dots = \mathcal{O}(n).$$

because after the call to  $f(n - 1)$ ,  $f(n - 2)$  has already been computed.

A different argument:  $f(n)$  is computed exactly once recursively for each  $n$ . Runtime costs:  $n$  calls with  $\Theta(1)$  costs per call  $n \cdot c \in \Theta(n)$ . The recursion vanishes from the running time computation.

Algorithm requires  $\Theta(n)$  memory.<sup>38</sup>

<sup>38</sup>But the naive recursive algorithm also requires  $\Theta(n)$  memory implicitly.

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## Looking closer ...

... the algorithm computes the values of  $F_1, F_2, F_3, \dots$  in the *top-down* approach of the recursion.

Can write the algorithm *bottom-up*. This is characteristic for *dynamic programming*.

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## Algorithm FibonacciBottomUp(n)

**Input:**  $n \geq 0$

**Output:**  $n$ -th Fibonacci number

$F[1] \leftarrow 1$

$F[2] \leftarrow 1$

**for**  $i \leftarrow 3, \dots, n$  **do**

$F[i] \leftarrow F[i - 1] + F[i - 2]$

**return**  $F[n]$

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## Dynamic Programming: Idea

- Divide a complex problem into a reasonable number of sub-problems
- The solution of the sub-problems will be used to solve the more complex problem
- Identical problems will be computed only once

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## Dynamic Programming Consequence

Identical problems will be computed only once

⇒ Results are saved



We trade spee against  
memory consumption

## Dynamic Programming: Description

- 1 Use a *DP-table* with information to the subproblems.  
Dimension of the entries? Semantics of the entries?
- 2 Computation of the *base cases*  
Which entries do not depend on others?
- 3 Determine *computation order*.  
In which order can the entries be computed such that dependencies are fulfilled?
- 4 Read-out the *solution*  
How can the solution be read out from the table?

Runtime (typical) = number entries of the table times required operations per entry.

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## Dynamic Programming: Description with the example

- 1 Dimension of the table? Semantics of the entries?  
 $n \times 1$  table.  $n$ th entry contains  $n$ th Fibonacci number.
- 2 Which entries do not depend on other entries?  
Values  $F_1$  and  $F_2$  can be computed easily and independently.
- 3 What is the execution order such that required entries are always available?  
 $F_i$  with increasing  $i$ .
- 4 Wie kann sich Lösung aus der Tabelle konstruieren lassen?  
 $F_n$  ist die  $n$ -te Fibonacci-Zahl.

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## Dynamic Programming = Divide-And-Conquer ?

- In both cases the original problem can be solved (more easily) by utilizing the solutions of sub-problems. The problem provides *optimal substructure*.
- Divide-And-Conquer algorithms (such as Mergesort): sub-problems are independent; their solutions are required only once in the algorithm.
- DP: sub-problems are dependent. The problem is said to have *overlapping sub-problems* that are required multiple-times in the algorithm.
- In order to avoid redundant computations, results are tabulated. For *sub-problems there must not be any circular dependencies*.

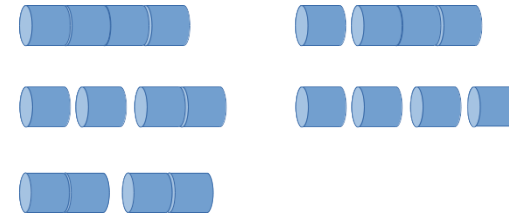
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## Rod Cutting

- Rods (metal sticks) are cut and sold.
- Rods of length  $n \in \mathbb{N}$  are available. A cut does not provide any costs.
- For each length  $l \in \mathbb{N}$ ,  $l \leq n$  known is the value  $v_l \in \mathbb{R}^+$
- Goal: cut the rods such (into  $k \in \mathbb{N}$  pieces) that

$$\sum_{i=1}^k v_{l_i} \text{ is maximized subject to } \sum_{i=1}^k l_i = n.$$

## Rod Cutting: Example



Possibilities to cut a rod of length 4 (without permutations)

Length	0	1	2	3	4	⇒ Best cut: 3 + 1 with value 10.
Price	0	2	3	8	9	

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## Wie findet man den DP Algorithms

- 0 Exact formulation of the wanted solution
- 1 Define sub-problems (and compute the cardinality)
- 2 Guess / Enumerate (and determine the running time for guessing)
- 3 Recursion: relate sub-problems
- 4 Memoize / Tabularize. Determine the dependencies of the sub-problems
- 5 Solve the problem  
Running time = #sub-problems × time/sub-problem

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## Structure of the problem

- 0 **Wanted:**  $r_n$  = maximal value of rod (cut or as a whole) with length  $n$ .
- 1 **sub-problems:** maximal value  $r_k$  for each  $0 \leq k < n$
- 2 **Guess** the length of the first piece
- 3 **Recursion**

$$r_k = \max \{v_i + r_{k-i} : 0 < i \leq k\}, \quad k > 0$$

$$r_0 = 0$$

- 4 **Dependency:**  $r_k$  depends (only) on values  $v_i$ ,  $1 \leq i \leq k$  and the optimal cuts  $r_i$ ,  $i < k$
- 5 **Solution** in  $r_n$

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## Algorithm RodCut( $v, n$ )

**Input:**  $n \geq 0$ , Prices  $v$

**Output:** best value

```

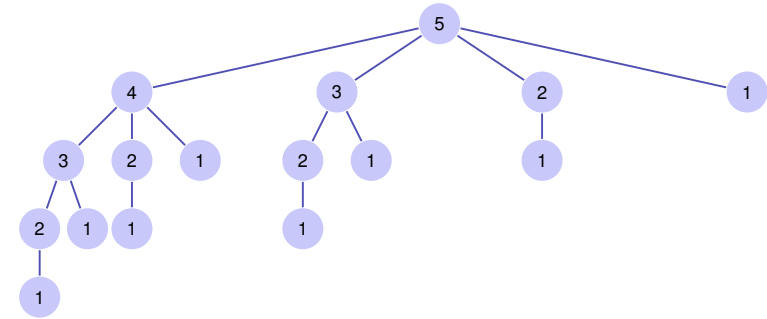
 $q \leftarrow 0$ 
if  $n > 0$  then
  for  $i \leftarrow 1, \dots, n$  do
     $q \leftarrow \max\{q, v_i + \text{RodCut}(v, n - i)\};$ 
return  $q$ 
    
```

Running time  $T(n) = \sum_{i=0}^{n-1} T(i) + c \Rightarrow^{39} T(n) \in \Theta(2^n)$

$$^{39}T(n) = T(n-1) + \sum_{i=0}^{n-2} T(i) + c = T(n-1) + (T(n-1) - c) + c = 2T(n-1) \quad (n > 0)$$

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## Recursion Tree



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## Algorithm RodCutMemoized( $m, v, n$ )

**Input:**  $n \geq 0$ , Prices  $v$ , Memoization Table  $m$

**Output:** best value

```

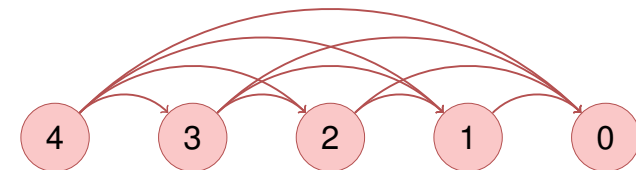
 $q \leftarrow 0$ 
if  $n > 0$  then
  if  $\exists m[n]$  then
     $q \leftarrow m[n]$ 
  else
    for  $i \leftarrow 1, \dots, n$  do
       $q \leftarrow \max\{q, v_i + \text{RodCutMemoized}(m, v, n - i)\};$ 
     $m[n] \leftarrow q$ 
return  $q$ 
    
```

Running time  $\sum_{i=1}^n i = \Theta(n^2)$

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## Subproblem-Graph

Describes the mutual dependencies of the subproblems



and must not contain cycles

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## Construction of the Optimal Cut

- During the (recursive) computation of the optimal solution for each  $k \leq n$  the recursive algorithm determines the optimal length of the first rod
- Store the length of the first rod in a separate table of length  $n$

## Bottom-up Description with the example

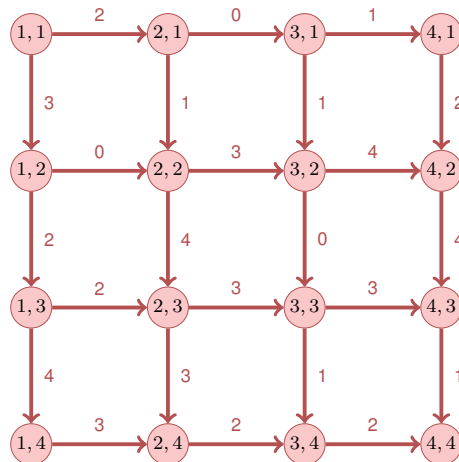
- 1 Dimension of the table? Semantics of the entries?  
 $n \times 1$  table.  $n$ th entry contains the best value of a rod of length  $n$ .
- 2 Which entries do not depend on other entries?  
Value  $r_0$  is 0
- 3 What is the execution order such that required entries are always available?  
 $r_i, i = 1, \dots, n$ .
- 4 Wie kann sich Lösung aus der Tabelle konstruieren lassen?  
 $r_n$  is the best value for the rod of length  $n$ .

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## Rabbit!

A rabbit sits on cite  $(1, 1)$  of an  $n \times n$  grid. It can only move to east or south. On each pathway there is a number of carrots. How many carrots does the rabbit collect maximally?



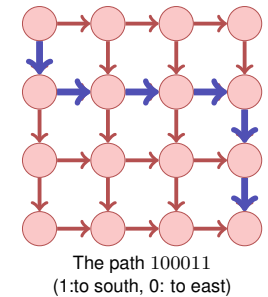
## Rabbit!

Number of possible paths?

- Choice of  $n - 1$  ways to south out of  $2n - 2$  ways overall.

$$\binom{2n - 2}{n - 1} \in \Omega(2^n)$$

⇒ No chance for a naive algorithm



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## Recursion

Wanted:  $T_{0,0} = \text{maximal number carrots from } (0,0) \text{ to } (n,n)$ .

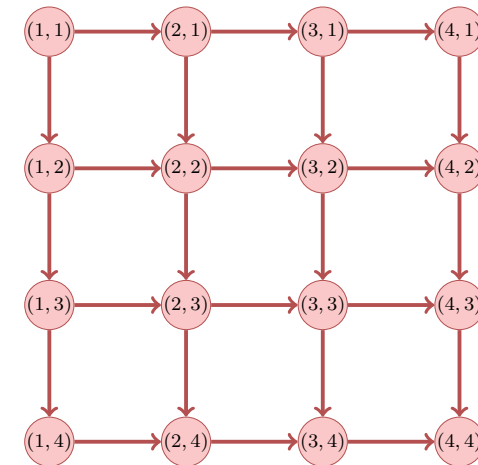
Let  $w_{(i,j)-(i',j')}$  number of carrots on egde from  $(i,j)$  to  $(i',j')$ .

Recursion (maximal number of carrots from  $(i,j)$  to  $(n,n)$ )

$$T_{ij} = \begin{cases} \max\{w_{(i,j)-(i,j+1)} + T_{i,j+1}, w_{(i,j)-(i+1,j)} + T_{i+1,j}\}, & i < n, j < n \\ w_{(i,j)-(i,j+1)} + T_{i,j+1}, & i = n, j < n \\ w_{(i,j)-(i+1,j)} + T_{i+1,j}, & i < n, j = n \\ 0 & i = j = n \end{cases}$$

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## Graph of Subproblem Dependencies



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## Bottom-up Description with the example

Dimension of the table? Semantics of the entries?

- 1 Table  $T$  with size  $n \times n$ . Entry at  $i, j$  provides the maximal number of carrots from  $(i, j)$  to  $(n, n)$ .

Which entries do not depend on other entries?

- 2 Value  $T_{n,n}$  is 0

What is the execution order such that required entries are always available?

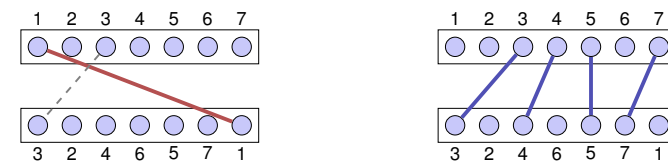
- 3  $T_{i,j}$  with  $i = n \searrow 1$  and for each  $i: j = n \searrow 1$ , (or vice-versa:  $j = n \searrow 1$  and for each  $j: i = n \searrow 1$ ).

Wie kann sich Lösung aus der Tabelle konstruieren lassen?

- 4  $T_{1,1}$  provides the maximal number of carrots.

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## Longest Ascending Sequence (LAS)



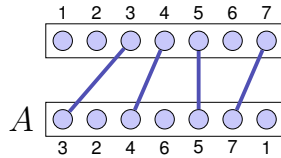
Connect as many as possible fitting ports without lines crossing.

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## Formally

- Consider Sequence  $A_n = (a_1, \dots, a_n)$ .
- Search for a longest increasing subsequence of  $A_n$ .
- Examples of increasing subsequences:  $(3, 4, 5)$ ,  $(2, 4, 5, 7)$ ,  $(3, 4, 5, 7)$ ,  $(3, 7)$ .



**Generalization:** allow any numbers, even with duplicates (still only strictly increasing subsequences permitted). Example:  $(2, 3, 3, 3, 5, 1)$  with increasing subsequence  $(2, 3, 5)$ .

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## First idea

Let  $L_i =$  longest ascending subsequence of  $A_i$  ( $1 \leq i \leq n$ )

Assumption: LAS  $L_k$  of  $A_k$  known for Now want to compute  $L_{k+1}$  for  $A_{k+1}$ .

If  $a_{k+1}$  fits to  $L_k$ , then  $L_{k+1} = L_k \oplus a_{k+1}$ ?

Counterexample  $A_5 = (1, 2, 5, 3, 4)$ . Let  $A_3 = (1, 2, 5)$  with  $L_3 = A$ . Determine  $L_4$  from  $L_3$ ?

It does not work this way, we cannot infer  $L_{k+1}$  from  $L_k$ .

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## Second idea.

Let  $L_i =$  longest ascending subsequence of  $A_i$  ( $1 \leq i \leq n$ )

Assumption: a LAS  $L_j$  is known for each  $j \leq k$ . Now compute LAS  $L_{k+1}$  for  $k + 1$ .

Look at all fitting  $L_{k+1} = L_j \oplus a_{k+1}$  ( $j \leq k$ ) and choose a longest sequence.

Counterexample:  $A_5 = (1, 2, 5, 3, 4)$ . Let  $A_4 = (1, 2, 5, 3)$  with  $L_1 = (1)$ ,  $L_2 = (1, 2)$ ,  $L_3 = (1, 2, 5)$ ,  $L_4 = (1, 2, 5)$ . Determine  $L_5$  from  $L_1, \dots, L_4$ ?

That does not work either: cannot infer  $L_{k+1}$  from only *an arbitrary solution*  $L_j$ . We need to consider all LAS. Too many.

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## Third approach

Let  $M_{n,i} =$  longest ascending subsequence of  $A_i$  ( $1 \leq i \leq n$ )

Assumption: the LAS  $M_j$  for  $A_k$ , *that end with smallest element* are known for each of the lengths  $1 \leq j \leq k$ .

Consider all fitting  $M_{k,j} \oplus a_{k+1}$  ( $j \leq k$ ) and update the table of the LAS, that end with smallest possible element.

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## Third approach Example

Example:  $A = (1, 1000, 1001, 4, 5, 2, 6, 7)$

A	LAT $M_{k,\cdot}$
1	(1)
+ 1000	(1), (1, 1000)
+ 1001	(1), (1, 1000), (1, 1000, 1001)
+ 4	(1), (1, 4), (1, 1000, 1001)
+ 5	(1), (1, 4), (1, 4, 5)
+ 2	(1), (1, 2), (1, 4, 5)
+ 6	(1), (1, 2), (1, 4, 5), (1, 4, 5, 6)
+ 7	(1), (1, 2), (1, 4, 5), (1, 4, 5, 6), (1, 4, 5, 6, 7)

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## DP Table

- Idea: save the last element of the increasing sequence  $M_{k,j}$  at slot  $j$ .
- Example: 3 2 5 1 6 4
- Problem: Table does not contain the subsequence, only the last value.
- Solution: second table with the predecessors.

Index	1	2	3	4	5	6
Wert	3	2	5	1	6	4
Predecessor	$-\infty$	$-\infty$	2	$-\infty$	5	1

Index	0	1	2	3	4	...
$(L_j)_j$	$-\infty$	1	4	6	$\infty$	

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## Dynamic Programming Algorithm LAS

### Table dimension? Semantics?

Two tables  $T[0, \dots, n]$  and  $V[1, \dots, n]$ .

- 1  $T[j]$ : last Element of the increasing subsequence  $M_{n,j}$   
 $V[j]$ : Value of the predecessor of  $a_j$ .  
 Start with  $T[0] \leftarrow -\infty, T[i] \leftarrow \infty \forall i > 1$

### Computation of an entry

- 2 Entries in  $T$  sorted in ascending order. For each new entry  $a_{k+1}$  binary search for  $l$ , such that  $T[l] < a_k < T[l+1]$ . Set  $T[l+1] \leftarrow a_{k+1}$ . Set  $V[k] = T[l]$ .

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## Dynamic Programming algorithm LAS

### 3 Computation order

Traverse the list and compute  $T[k]$  and  $V[k]$  with ascending  $k$

### 4 How can the solution be determined from the table?

- 4 Search the largest  $l$  with  $T[l] < \infty$ .  $l$  is the last index of the LAS. Starting at  $l$  search for the index  $i < l$  such that  $V[l] = a_i$ ,  $i$  is the predecessor of  $l$ . Repeat with  $l \leftarrow i$  until  $T[l] = -\infty$

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## Analysis

### ■ Computation of the table:

- Initialization:  $\Theta(n)$  Operations
- Computation of the  $k$ th entry: binary search on positions  $\{1, \dots, k\}$  plus constant number of assignments.

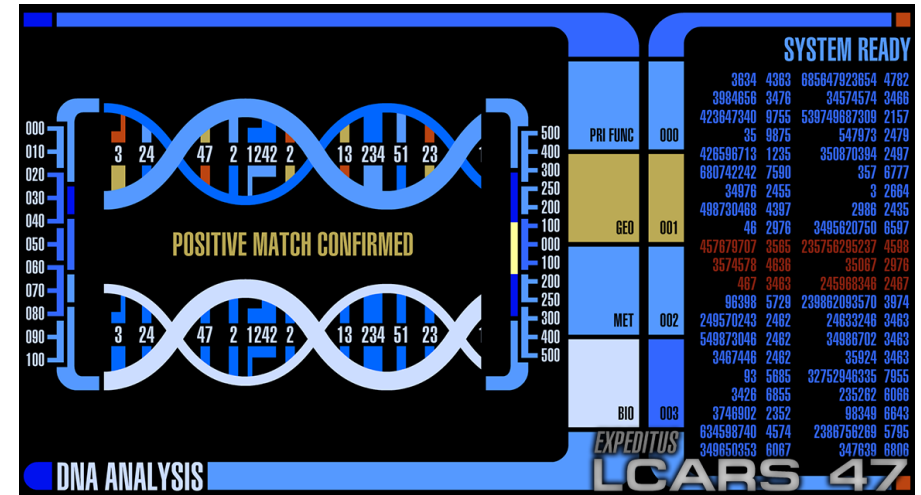
$$\sum_{k=1}^n (\log k + \mathcal{O}(1)) = \mathcal{O}(n) + \sum_{k=1}^n \log(k) = \Theta(n \log n).$$

- **Reconstruction:** traverse  $A$  from right to left:  $\mathcal{O}(n)$ .

Overall runtime:

$$\Theta(n \log n).$$

## DNA - Comparison (Star Trek)



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## DNA - Comparison

- DNA consists of sequences of four different nucleotides **A**denine **G**uanine **T**hymine **C**ytosine
- DNA sequences (genes) thus can be described with strings of A, G, T and C.
- Possible comparison of two genes: determine the **longest common subsequence**

The longest common subsequence problem is a special case of the minimal edit distance problem. The following slides are therefore not presented in the lectures.

## [Longest common subsequence]

Subsequences of a string:

*Subsequences(KUH):* (), (*K*), (*U*), (*H*), (*KU*), (*KH*), (*UH*), (*KUH*)

Problem:

- **Input:** two strings  $A = (a_1, \dots, a_m)$ ,  $B = (b_1, \dots, b_n)$  with lengths  $m > 0$  and  $n > 0$ .
- **Wanted:** Longest common subsequence (LCS) of  $A$  and  $B$ .

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## [Longest Common Subsequence]

Examples:

$LGT(IGEL, KATZE) = E$ ,  $LGT(TIGER, ZIEGE) = IGE$

Ideas to solve?

T I G E R  
Z I E G E

## [Recursive Procedure]

**Assumption:** solutions  $L(i, j)$  known for  $A[1, \dots, i]$  and  $B[1, \dots, j]$  for all  $1 \leq i \leq m$  and  $1 \leq j \leq n$ , but not for  $i = m$  and  $j = n$ .

T I G E R  
Z I E G E

Consider characters  $a_m, b_n$ . Three possibilities:

- 1  $A$  is enlarged by one whitespace.  $L(m, n) = L(m, n - 1)$
- 2  $B$  is enlarged by one whitespace.  $L(m, n) = L(m - 1, n)$
- 3  $L(m, n) = L(m - 1, n - 1) + \delta_{mn}$  with  $\delta_{mn} = 1$  if  $a_m = b_n$  and  $\delta_{mn} = 0$  otherwise

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## [Recursion]

$$L(m, n) \leftarrow \max \{L(m - 1, n - 1) + \delta_{mn}, L(m, n - 1), L(m - 1, n)\}$$

for  $m, n > 0$  and base cases  $L(\cdot, 0) = 0$ ,  $L(0, \cdot) = 0$ .

	$\emptyset$	Z	I	E	G	E
$\emptyset$	0	0	0	0	0	0
T	0	0	0	0	0	0
I	0	0	1	1	1	1
G	0	0	1	1	2	2
E	0	0	1	2	2	3
R	0	0	1	2	2	3

## [Dynamic Programming algorithm LCS]

Dimension of the table? Semantics?

- 1 Table  $L[0, \dots, m][0, \dots, n]$ .  $L[i, j]$ : length of a LCS of the strings  $(a_1, \dots, a_i)$  and  $(b_1, \dots, b_j)$

Computation of an entry

- 2  $L[0, i] \leftarrow 0 \forall 0 \leq i \leq m$ ,  $L[j, 0] \leftarrow 0 \forall 0 \leq j \leq n$ . Computation of  $L[i, j]$  otherwise via  $L[i, j] = \max(L[i - 1, j - 1] + \delta_{ij}, L[i, j - 1], L[i - 1, j])$ .

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## [Dynamic Programming algorithm LCS]

### 3 Computation order

Rows increasing and within columns increasing (or the other way round).

### 4 Reconstruct solution?

Start with  $j = m, i = n$ . If  $a_i = b_j$  then output  $a_i$  and continue with  $(j, i) \leftarrow (j - 1, i - 1)$ ; otherwise, if  $L[i, j] = L[i, j - 1]$  continue with  $j \leftarrow j - 1$  otherwise, if  $L[i, j] = L[i - 1, j]$  continue with  $i \leftarrow i - 1$ .  
 Terminate for  $i = 0$  or  $j = 0$ .

## [Analysis LCS]

- Number table entries:  $(m + 1) \cdot (n + 1)$ .
- Constant number of assignments and comparisons each. Number steps:  $\mathcal{O}(mn)$
- Determination of solution: decrease  $i$  or  $j$ . Maximally  $\mathcal{O}(n + m)$  steps.

Runtime overall:

$$\mathcal{O}(mn).$$

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## Minimal Editing Distance

Editing distance of two sequences  $A_n = (a_1, \dots, a_m)$ ,  
 $B_m = (b_1, \dots, b_m)$ .

### Editing operations:

- **Insertion** of a character
- **Deletion** of a character
- **Replacement** of a character

Question: how many editing operations at least required in order to transform string  $A$  into string  $B$ .

TIGER ZIGER ZIEGER ZIEGE

## Minimal Editing Distance

Wanted: cheapest character-wise transformation  $A_n \rightarrow B_m$  with costs

operation	Levenshtein	LCS <sup>40</sup>	general
Insert $c$	1	1	ins( $c$ )
Delete $c$	1	1	del( $c$ )
Replace $c \rightarrow c'$	$\mathbb{1}(c \neq c')$	$\infty \cdot \mathbb{1}(c \neq c')$	repl( $c, c'$ )

### Beispiel

T I G E R      T I \_ G E R      T→Z +E -R  
 Z I E G E      Z I E G E \_      Z→T -E +R

<sup>40</sup>Longest common subsequence – A special case of an editing problem

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## DP

0  $E(n, m)$  = minimum number edit operations (ED cost)

$a_{1\dots n} \rightarrow b_{1\dots m}$

1 Subproblems  $E(i, j)$  = ED von  $a_{1\dots i}$   $b_{1\dots j}$ .

#SP =  $n \cdot m$

2 Guess

Costs  $\Theta(1)$

- $a_{1\dots i} \rightarrow a_{1\dots i-1}$  (delete)
- $a_{1\dots i} \rightarrow a_{1\dots i}b_j$  (insert)
- $a_{1\dots i} \rightarrow a_{1\dots i_1}b_j$  (replace)

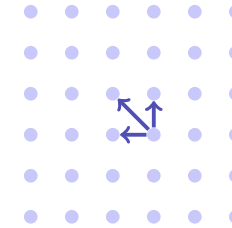
3 Rekursion

$$E(i, j) = \min \begin{cases} \text{del}(a_i) + E(i-1, j), \\ \text{ins}(b_j) + E(i, j-1), \\ \text{repl}(a_i, b_j) + E(i-1, j-1) \end{cases}$$

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## DP

4 Dependencies



$\Rightarrow$  Computation from left top to bottom right. Row- or column-wise.

5 Solution in  $E(n, m)$

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## Example (Levenshtein Distance)

$$E[i, j] \leftarrow \min \{ E[i-1, j] + 1, E[i, j-1] + 1, E[i-1, j-1] + 1 \mathbb{1}(a_i \neq b_j) \}$$

	$\emptyset$	Z	I	E	G	E
$\emptyset$	0	1	2	3	4	5
T	1	1	2	3	4	5
I	2	2	1	2	3	4
G	3	3	2	2	2	3
E	4	4	3	2	3	2
R	5	5	4	3	3	3

Editing steps: from bottom right to top left, following the recursion.

Bottom-Up description of the algorithm: exercise

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## Bottom-Up DP algorithm ED]

Dimension of the table? Semantics?

1 Table  $E[0, \dots, m][0, \dots, n]$ .  $E[i, j]$ : minimal edit distance of the strings  $(a_1, \dots, a_i)$  and  $(b_1, \dots, b_j)$

Computation of an entry

2  $E[0, i] \leftarrow i \forall 0 \leq i \leq m$ ,  $E[j, 0] \leftarrow j \forall 0 \leq j \leq n$ . Computation of  $E[i, j]$  otherwise via  $E[i, j] = \min\{\text{del}(a_i) + E(i-1, j), \text{ins}(b_j) + E(i, j-1), \text{repl}(a_i, b_j) + E(i-1, j-1)\}$

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## Bottom-Up DP algorithm ED

### 3 Computation order

Rows increasing and within columns increasing (or the other way round).

### 4 Reconstruct solution?

Start with  $j = m, i = n$ . If  $E[i, j] = \text{repl}(a_i, b_j) + E(i - 1, j - 1)$  then output  $a_i \rightarrow b_j$  and continue with  $(j, i) \leftarrow (j - 1, i - 1)$ ; otherwise, if  $E[i, j] = \text{del}(a_i) + E(i - 1, j)$  output  $\text{del}(a_i)$  and continue with  $j \leftarrow j - 1$  otherwise, if  $E[i, j] = \text{ins}(b_j) + E(i, j - 1)$ , continue with  $i \leftarrow i - 1$ .  
 Terminate for  $i = 0$  and  $j = 0$ .

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## Matrix-Chain-Multiplication

Task: Computation of the product  $A_1 \cdot A_2 \cdot \dots \cdot A_n$  of matrices  $A_1, \dots, A_n$ .

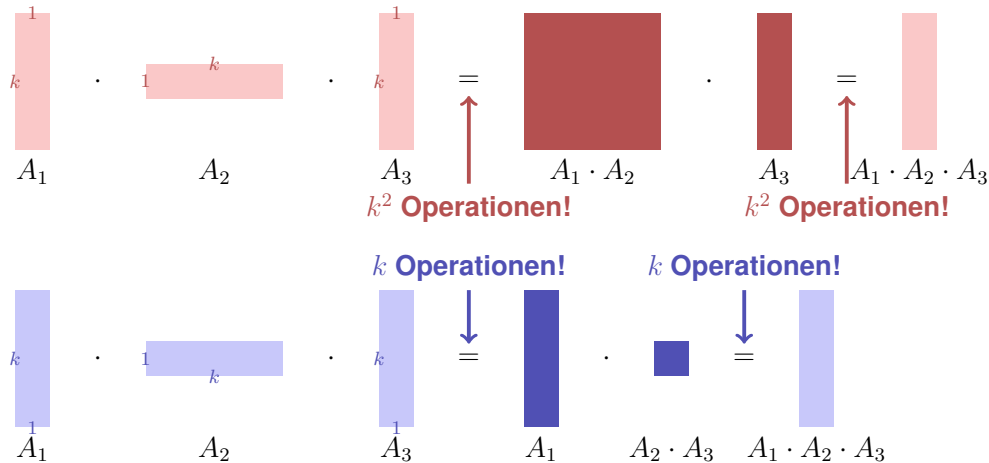
Matrix multiplication is associative, i.e. the order of evaluation can be chosen arbitrarily

Goal: efficient computation of the product.

Assumption: multiplication of an  $(r \times s)$ -matrix with an  $(s \times u)$ -matrix provides costs  $r \cdot s \cdot u$ .

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## Does it matter?



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## Recursion

- Assume that the best possible computation of  $(A_1 \cdot A_2 \cdot \dots \cdot A_i)$  and  $(A_{i+1} \cdot A_{i+2} \cdot \dots \cdot A_n)$  is known for each  $i$ .

- Compute best  $i$ , done.

$n \times n$ -table  $M$ . entry  $M[p, q]$  provides costs of the best possible bracketing  $(A_p \cdot A_{p+1} \cdot \dots \cdot A_q)$ .

$$M[p, q] \leftarrow \min_{p \leq i < q} (M[p, i] + M[i + 1, q] + \text{costs of the last multiplication})$$

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## Computation of the DP-table

- Base cases  $M[p, p] \leftarrow 0$  for all  $1 \leq p \leq n$ .
- Computation of  $M[p, q]$  depends on  $M[i, j]$  with  $p \leq i \leq j \leq q$ ,  $(i, j) \neq (p, q)$ .  
In particular  $M[p, q]$  depends at most from entries  $M[i, j]$  with  $i - j < q - p$ .  
Consequence: fill the table from the diagonal.

## Analysis

DP-table has  $n^2$  entries. Computation of an entry requires considering up to  $n - 1$  other entries.

Overall runtime  $\mathcal{O}(n^3)$ .

Readout the order from  $M$ : exercise!

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## Digression: matrix multiplication

Consider the multiplication of two  $n \times n$  matrices.

Let

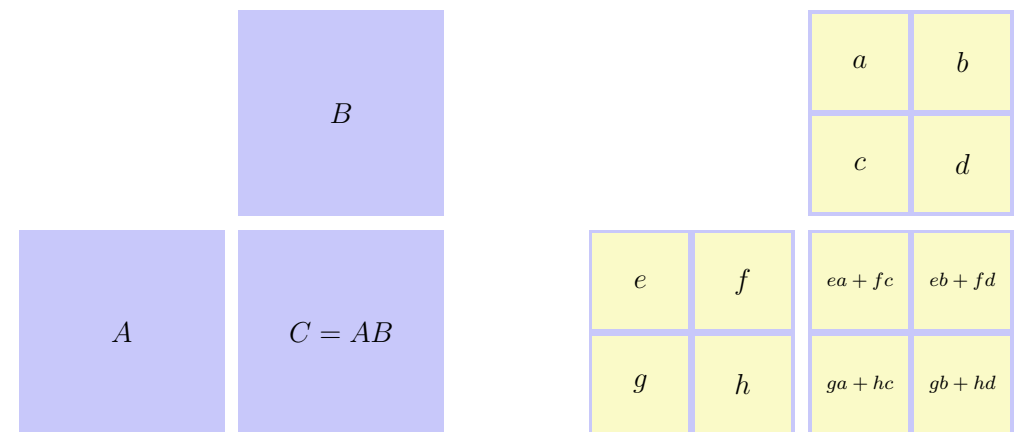
$$A = (a_{ij})_{1 \leq i, j \leq n}, B = (b_{ij})_{1 \leq i, j \leq n}, C = (c_{ij})_{1 \leq i, j \leq n}, \\ C = A \cdot B$$

then

$$c_{ij} = \sum_{k=1}^n a_{ik} b_{kj}.$$

Naive algorithm requires  $\Theta(n^3)$  elementary multiplications.

## Divide and Conquer



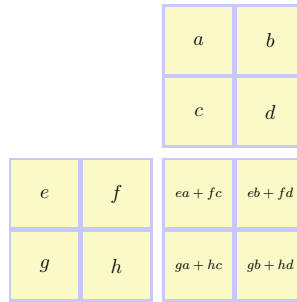
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## Divide and Conquer

- Assumption  $n = 2^k$ .
- Number of elementary multiplications:  
 $M(n) = 8M(n/2)$ ,  $M(1) = 1$ .
- yields  $M(n) = 8^{\log_2 n} = n^{\log_2 8} = n^3$ . No advantage 😞



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## Strassen's Matrix Multiplication

- **Nontrivial observation by Strassen (1969):**

It suffices to compute the seven products

$$A = (e + h) \cdot (a + d), B = (g + h) \cdot a,$$

$$C = e \cdot (b - d), D = h \cdot (c - a), E = (e + f) \cdot d,$$

$$F = (g - e) \cdot (a + b), G = (f - h) \cdot (c + d). \text{ Denn:}$$

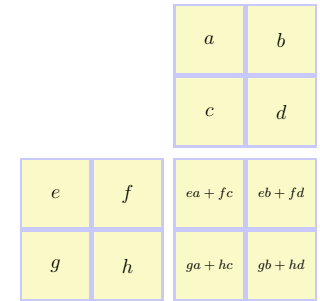
$$ea + fc = A + D - E + G, eb + fd = C + E,$$

$$ga + hc = B + D, gb + hd = A - B + C + F.$$

- This yields  $M'(n) = 7M(n/2)$ ,  $M'(1) = 1$ .

Thus  $M'(n) = 7^{\log_2 n} = n^{\log_2 7} \approx n^{2.807}$ .

- Fastest currently known algorithm:  
 $\mathcal{O}(n^{2.37})$



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