

Datenstrukturen und Algorithmen

Exercise 5

FS 2019

Program of today

- 1 Feedback of last exercise
- 2 Repetition theory
- 3 Programming Task

Amortized analysis: push_back

Strategy: double if array is full.

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Let $i \in \mathbb{N}$ be the number of elements appended and let $n_i \in \mathbb{N}$ be the array size allocated after appending i .

It holds that

$$n_i = \begin{cases} 1 & \text{if } i = 1 \text{ [Start]} \\ 2 \cdot n_{i-1} & \text{if } i - 1 \in \{2^k : k \in \mathbb{N}\} \text{ [Array full]} \\ n_{i-1} & \text{otherwise} \end{cases}$$

i	n_i
1	1
2	2
3	4
4	4
5	8
6	8
..	..

$$n_i = 2^{\lceil \log_2 i \rceil}$$

Amortized analysis: push_back

Strategy: double if array is full.

¹According to the task description: $2n$ initialisations, n copies, 1 new element

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Real costs

$$t_i = \begin{cases} 1 & \text{if } i = 1 \text{ [Start]} \\ 3n_{i-1} + 1 & \text{if } i - 1 \in \{2^k : k \in \mathbb{N}\} \text{ [Array full]}^1 \\ 1 & \text{otherwise} \end{cases}$$

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Find potential function such that the amortized costs are constant:

$$a_i = t_i + \Phi_i - \Phi_{i-1}$$

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Find potential function such that the amortized costs are constant:

$$a_i = t_i + \Phi_i - \Phi_{i-1}$$

$$\begin{aligned}\Phi_i &= 6 \cdot \text{number of elements in the upper half of the array} \\ &= 6 \cdot \left(i - \frac{n_i}{2}\right) = 6i - 3n_i\end{aligned}$$

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$$\Phi_i - \Phi_{i-1} = \begin{cases} 6 + 3n_{i-1} - 3 \widehat{n_i^{2 \cdot n_{i-1}}} & \text{if } i - 1 \in \{2^k : k \in \mathbb{N}\} \text{ [Array full]} \\ 6 & \text{otherwise} \end{cases}$$

Amortized analysis: push_back

Strategy: double if array is full.

Find potential function such that the amortized costs are constant:

$$\begin{aligned} a_i &= t_i + \Phi_i - \Phi_{i-1} \\ &= \begin{cases} 3n_{i-1} + 1 + 6 - 3n_{i-1} & \text{if } i - 1 \in \{2^k : k \in \mathbb{N}\} \text{ [Array full]} \\ 1 + 6 & \text{otherwise} \end{cases} \\ &\leq 7 \quad \text{for all } i \end{aligned}$$

Amortized analysis: pop_back

Strategy: halve if array is three quarters empty.

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$$t_i = \begin{cases} 1 & \text{if array is more than quarter full} \\ \frac{n_{i-1}}{2} + \frac{n_{i-1}}{4} = \frac{3}{4}n_{i-1} & \text{otherwise, then } n_i = \frac{n_{i-1}}{2} \end{cases}$$

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Let k_i be the number of elements in the array in step i

$$\begin{aligned} \Phi_i &= 3 \cdot \text{number of empty elements in the lower half of array } (1, \dots, \frac{n}{2}) \\ &= 3 \cdot \left(\frac{n_i}{2} - k_i \right) \end{aligned}$$

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$$\Rightarrow 4 \geq a_i \text{ (in both cases)}$$

Amortized analysis: pop and push

$$\Phi_i = 6 \cdot \text{number elements in the upper half} \\ + 3 \cdot \text{number empty slots in the lower half}$$

2. Repetition theory

Dictionary in C++

Associative Container `std::unordered_map<>`

```
// Create an unordered_map of strings that map to strings
std::unordered_map<std::string, std::string> u = {
    {"RED", "#FF0000"}, {"GREEN", "#00FF00"}
};

u["BLUE"] = "#0000FF"; // Add

std::cout << "The HEX of color RED is: " << u["RED"] << "\n";

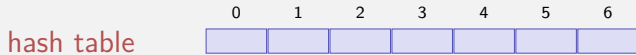
for( const auto& n : u ) // iterate over key-value pairs
    std::cout << n.first << ":" << n.second << "\n";
```

Resolving Collisions: Chaining

Example $m = 7$, $\mathcal{K} = \{0, \dots, 500\}$, $h(k) = k \bmod m$.

Keys 12

Direct Chaining of the Colliding entries



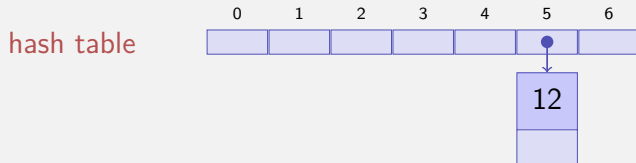
Colliding entries

Resolving Collisions: Chaining

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Keys 12 , 55

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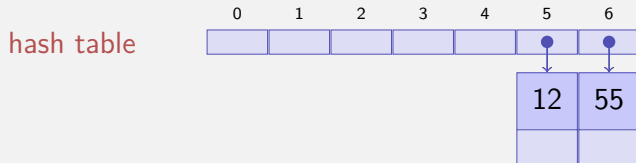
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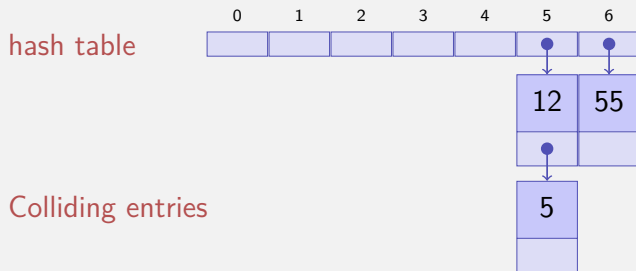
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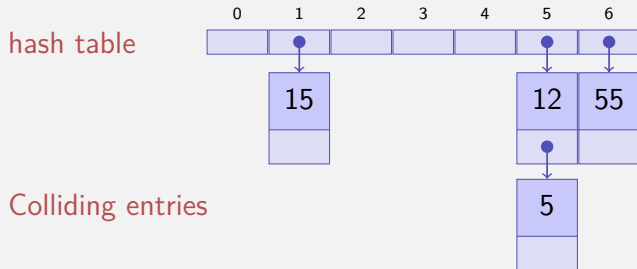


Resolving Collisions: Chaining

Example $m = 7$, $\mathcal{K} = \{0, \dots, 500\}$, $h(k) = k \bmod m$.

Keys 12, 55, 5, 15, 2

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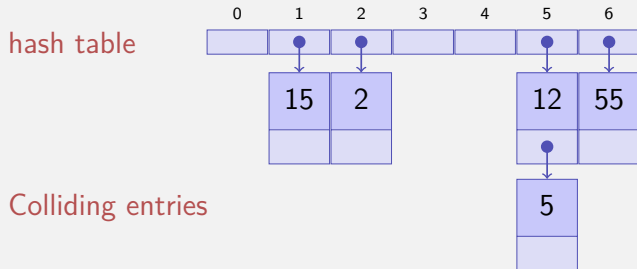


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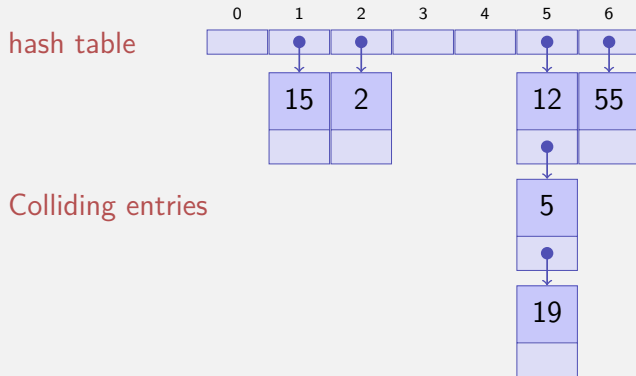


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Keys 12, 55, 5, 15, 2, 19, 43

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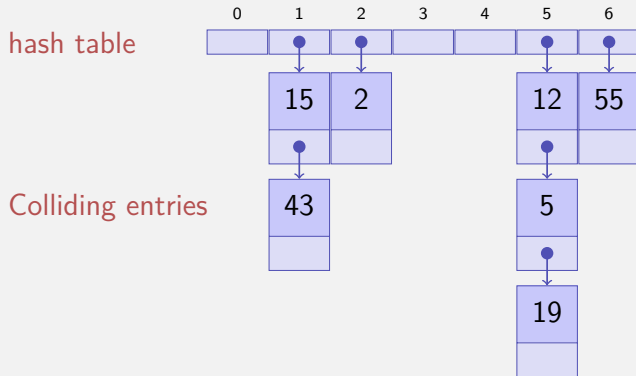


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Direct Chaining of the Colliding entries



Examples of popular Hash Functions

Division method

$$h(k) = k \bmod m$$

- m prime, not too close² to powers of 2 or 10

²Example: let $m = 2^k - 1$, then $h(k) = h(k \cdot 2^k)$

Examples of popular Hash Functions

Multiplication method

$$h(k) = \lfloor (a \cdot k \bmod 2^w) / 2^{w-r} \rfloor \bmod m$$

- $m = 2^r$, w = size of the machine word in bits.
- Multiplication adds k along all bits of a , integer division with 2^{w-r} and $\bmod m$ extract the upper r bits.
- Written as code `a * k >> (w-r)`
- A good value of a : $\lfloor \frac{\sqrt{5}-1}{2} \cdot 2^w \rfloor$: Integer that represents the first w bits of the fractional part of the irrational number.

Illustration

$$\begin{array}{r} \leftarrow w \text{ bits} \rightarrow \\ \boxed{k} \\ \times \boxed{11 \quad 1} \\ \hline \end{array} \begin{array}{l} k \\ a \end{array}$$

$$\begin{array}{r} \boxed{k} \\ + \boxed{k} \\ + \boxed{k} \\ = \boxed{} \boxed{\leftarrow r \text{ bits} \rightarrow} \\ \hline \gg (w - r) \boxed{0} \boxed{\leftarrow r \text{ bits} \rightarrow} \end{array}$$

Open Addressing

Store the colliding entries directly in the hash table using a *probing function* $s : \mathcal{K} \times \{0, 1, \dots, m - 1\} \rightarrow \{0, 1, \dots, m - 1\}$

Key table position along a *probing sequence*

$$S(k) := (s(k, 0), s(k, 1), \dots, s(k, m - 1)) \quad \text{mod } m$$

Probing sequence must for each $k \in \mathcal{K}$ be a permutation of $\{0, 1, \dots, m - 1\}$

Algorithms for open addressing

- **search**(k) Traverse table entries according to $S(k)$. If k is found, return true. If the probing sequence is finished or an empty position is reached, return false.
- **insert**(k) Search for k in the table according to $S(k)$. If k is not present, insert k at the first free position in the probing sequence.³
- **delete**(k) Search k in the table according to $S(k)$. If k is found, mark the position of k with a **deleted** flag

³A position is also free when it is non-empty and contains a **deleted** flag.

Linear Probing

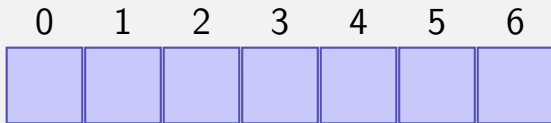
$$s(k, j) = h(k) + j \Rightarrow S(k) = (h(k), h(k) + 1, \dots, h(k) + m - 1) \pmod{m}$$

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Example $m = 7$, $\mathcal{K} = \{0, \dots, 500\}$, $h(k) = k \pmod{m}$.

Key 12



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Key 12 , 55

0	1	2	3	4	5	6
					12	

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Example $m = 7$, $\mathcal{K} = \{0, \dots, 500\}$, $h(k) = k \pmod{m}$.

Key 12, 55, 5, 15, 2

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5	15				12	55

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Quadratic Probing

$$s(k, j) = h(k) + \lceil j/2 \rceil^2 (-1)^{j+1}$$

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Double Hashing

Two hash functions $h(k)$ and $h'(k)$. $s(k, j) = h(k) + j \cdot h'(k)$.

$S(k) = (h(k), h(k) + h'(k), h(k) + 2h'(k), \dots, h(k) + (m - 1)h'(k)) \pmod m$

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3. Programming Task

Finding a Sub-Array

- Given: two integer arrays $A = (a_0, \dots, a_{n-1})$ and $B = (b_0, \dots, b_{k-1})$
- Task: Find position of B in A .

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- Naive: Loop through A , check whether the following k entries match B .
 - $O(nk)$ comparison operations
- Solution using hashing: Calculate hash $h(B)$ and compare it to $h((a_i, a_{i+1}, \dots, a_{i+k-1}))$.
- Avoid re-computing $h((a_i, a_{i+1}, \dots, a_{i+k-1}))$ for each i
 $\implies O(n)$ expected

Sliding Window Hash

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- Better:

$$H_{c,m}((a_i, \dots, a_{i+k-1})) = \left(\sum_{j=0}^{k-1} a_{i+j} \cdot c^{k-j-1} \right) \bmod m$$

- $c = 1021$ prime number
- $m = 2^{15}$ `int`, no overflows at calculations

Sliding Window Hash

```
template<typename It1, typename It2>
It1 findOccurrence(const It1 from, const It1 to,
                  const It2 begin, const It2 end)
{
    const unsigned k = end - begin;
    const unsigned M = 32768;
    const unsigned C = 1021;

    // your code here
    // ...
}
```

Sliding Window Hash

```
// elements can be compared using std::equal:  
if(std::equal(window_left, window_right, begin, end))  
    return current;  
  
// if no occurrence is found return end of array  
return to;  
}
```

Sliding Window Hash

Make sure that

- the algorithm computes c^k only once,
- all computations are modulo m for all values in order not to get an overflow (recall the rules of modular arithmetic), and
- the values are always positive (e.g., by adding multiples of m).

Questions?