

19. Dynamic Programming I

Fibonacci, Längste aufsteigende Teilfolge, längste gemeinsame Teilfolge, Editierdistanz, Matrixkettenmultiplikation, Matrixmultiplikation nach Strassen [Ottman/Widmayer, Kap. 1.2.3, 7.1, 7.4, Cormen et al, Kap. 15]

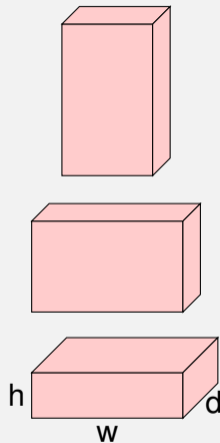
Quiz: Stacking Boxes

- Given: n boxes with sizes $w_i \times d_i \times h_i$
- Wanted: maximal height of a permitted stack
- Permitted stack: the base area of stacked boxes must become strictly smaller in both directions (width and depth)



Boxen Stapeln

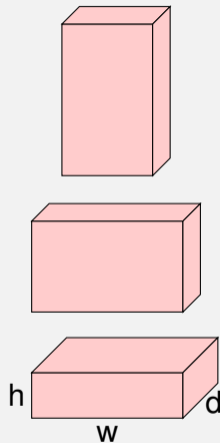
We assume that there are enough boxes of a kind such that each box is available in all orientations (right hand side of the figure below).



Box	1	2	3	4	5	6
$[w \times d \times h]$	$[1 \times 2 \times 3]$	$[1 \times 3 \times 2]$	$[2 \times 3 \times 1]$	$[3 \times 4 \times 5]$	$[3 \times 5 \times 4]$	$[4 \times 5 \times 3]$

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Solution: later

Box	1	2	3	4	5	6
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Simpler: Fibonacci Numbers



(again)

$$F_n := \begin{cases} n & \text{if } n < 2 \\ F_{n-1} + F_{n-2} & \text{if } n \geq 2. \end{cases}$$

Analysis: why ist the recursive algorithm so slow?

Algorithm FibonacciRecursive(n)

Input : $n \geq 0$

Output : n -th Fibonacci number

if $n < 2$ **then**

$f \leftarrow n$

else

$f \leftarrow \text{FibonacciRecursive}(n - 1) + \text{FibonacciRecursive}(n - 2)$

return f

Analysis

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$$T(n) = T(n - 2) + T(n - 1) + c \geq 2T(n - 2) + c \geq 2^{n/2}c' = (\sqrt{2})^n c'$$

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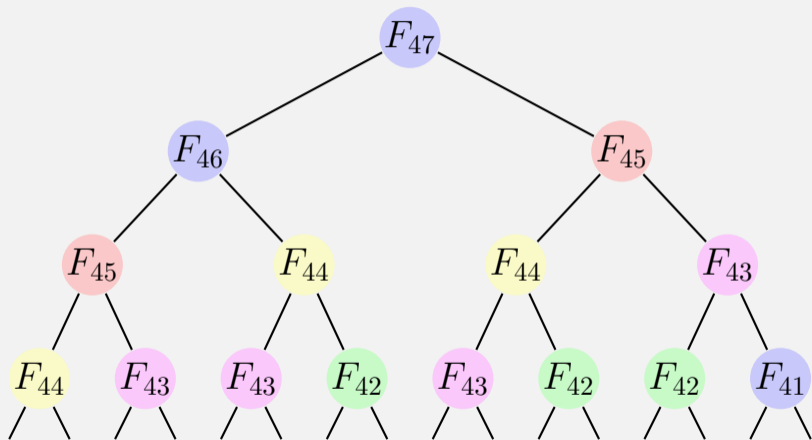
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Algorithm is *exponential* in n .

Reason (visual)



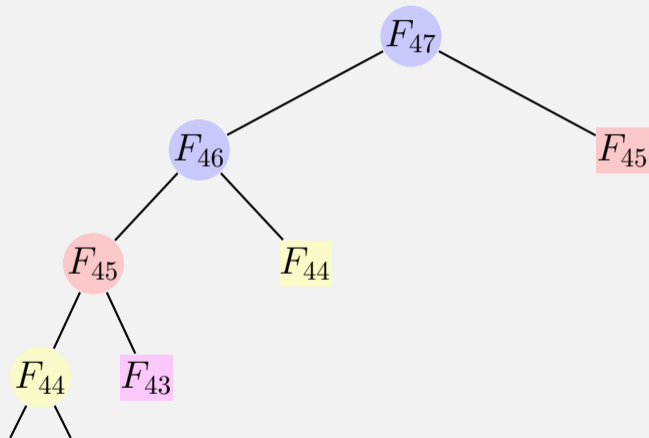
Nodes with same values are evaluated (too) often.

Memoization

Memoization (sic) saving intermediate results.

- Before a subproblem is solved, the existence of the corresponding intermediate result is checked.
- If an intermediate result exists then it is used.
- Otherwise the algorithm is executed and the result is saved accordingly.

Memoization with Fibonacci



Rechteckige Knoten wurden bereits ausgewertet.

Algorithm FibonacciMemoization(n)

Input : $n \geq 0$

Output : n -th Fibonacci number

if $n \leq 2$ **then**

| $f \leftarrow 1$

else if $\exists \text{memo}[n]$ **then**

| $f \leftarrow \text{memo}[n]$

else

| $f \leftarrow \text{FibonacciMemoization}(n - 1) + \text{FibonacciMemoization}(n - 2)$

| $\text{memo}[n] \leftarrow f$

return f

Analysis

Computational complexity:

$$T(n) = T(n - 1) + c = \dots = \mathcal{O}(n).$$

Algorithm requires $\Theta(n)$ memory.²⁸

²⁸But the naive recursive algorithm also requires $\Theta(n)$ memory implicitly.

Looking closer ...

... the algorithm computes the values of F_1, F_2, F_3, \dots in the *top-down* approach of the recursion.

Can write the algorithm *bottom-up*. Then it is called *dynamic programming*.

Algorithm FibonacciDynamicProgram(n)

Input : $n \geq 0$

Output : n -th Fibonacci number

$F[1] \leftarrow 1$

$F[2] \leftarrow 1$

for $i \leftarrow 3, \dots, n$ **do**

$F[i] \leftarrow F[i - 1] + F[i - 2]$

return $F[n]$

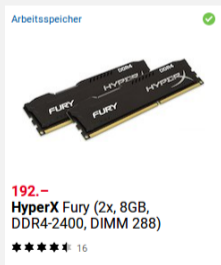
Dynamic Programming: Idea

- Divide a complex problem into a reasonable number of sub-problems
- The solution of the sub-problems will be used to solve the more complex problem
- Identical problems will be computed only once

Dynamic Programming Consequence

Identical problems will be computed only once

⇒ Results are saved



We trade speed against
memory consumption

Dynamic Programming = Divide-And-Conquer ?

- In both cases the original problem can be solved (more easily) by utilizing the solutions of sub-problems. The problem provides *optimal substructure*.
- Divide-And-Conquer algorithms (such as Mergesort): sub-problems are independent; their solutions are required only once in the algorithm.
- DP: sub-problems are dependent. The problem is said to have *overlapping sub-problems* that are required multiple-times in the algorithm. In order to avoid redundant computations, results have to be tabulated.

Dynamic Programming: Procedure

- 1 Use a *DP-table* with information to the subproblems.
Dimension of the entries? Semantics of the entries?

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Runtime (typical) = number entries of the table times required operations per entry.

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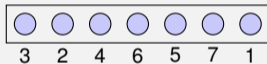
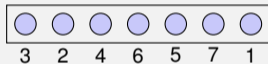
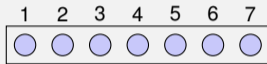
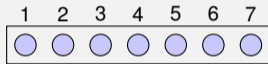
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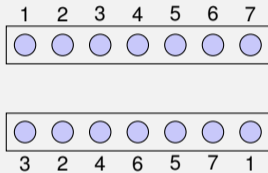
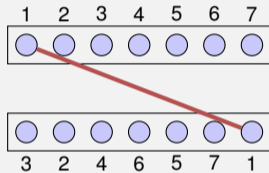
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 F_n ist die n -te Fibonacci-Zahl.

Longest Ascending Sequence (LAS)



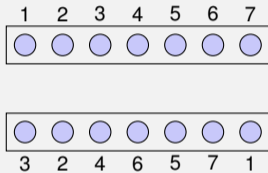
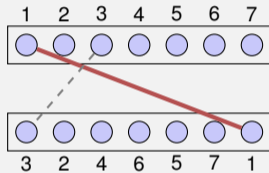
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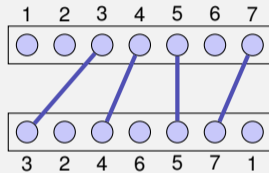
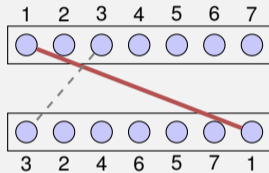
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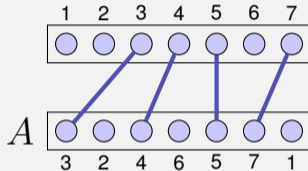
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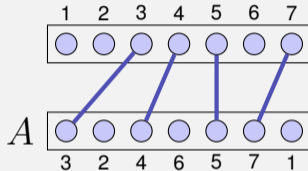
Formally

- Consider Sequence $A = (a_1, \dots, a_n)$.
- Search for a longest increasing subsequence of A .
- Examples of increasing subsequences: $(3, 4, 5)$, $(2, 4, 5, 7)$, $(3, 4, 5, 7)$, $(3, 7)$.



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Generalization: allow any numbers, even with duplicates. But only strictly increasing subsequences are permitted. Example: $(2, 3, 3, 3, 5, 1)$ with increasing subsequence $(2, 3, 5)$.

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It does not work this way, we cannot infer L_{k+1} from L_k .

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That does not work either: cannot infer L_{k+1} from only *an arbitrary solution* L_j . We need to consider all LAS. Too many.

Third approach

Assumption: the LAS L_j , *that ends with smallest element* is known for each of the lengths $1 \leq j \leq k$.

Example: $A = (1, 1000, 1001, 2, 3, 4, \dots, 999)$

A

LAT

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Index	1	2	3	4	5	6
Wert	3	2	5	1	6	4
Predecessor	$-\infty$	$-\infty$	2	$-\infty$	5	1

Index	0	1	2	3	4	...
$(L_j)_j$	$-\infty$	1	4	6	∞	

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Table dimension? Semantics?

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Computation of an entry

- 2 Entries in T sorted in ascending order. For each new entry a_{k+1} binary search for l , such that $T[l] < a_k < T[l + 1]$. Set $T[l + 1] \leftarrow a_{k+1}$. Set $V[k] = T[l]$.

Dynamic Programming algorithm LAS

3

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Traverse the list and compute $T[k]$ and $V[k]$ with ascending k

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4

Search the largest l with $T[l] < \infty$. l is the last index of the LAS. Starting at l search for the index $i < l$ such that $V[l] = A[i]$, i is the predecessor of l . Repeat with $l \leftarrow i$ until $T[l] = -\infty$

Analysis

■ Computation of the table:

- Initialization: $\Theta(n)$ Operations
- Computation of the k th entry: binary search on positions $\{1, \dots, k\}$ plus constant number of assignments.

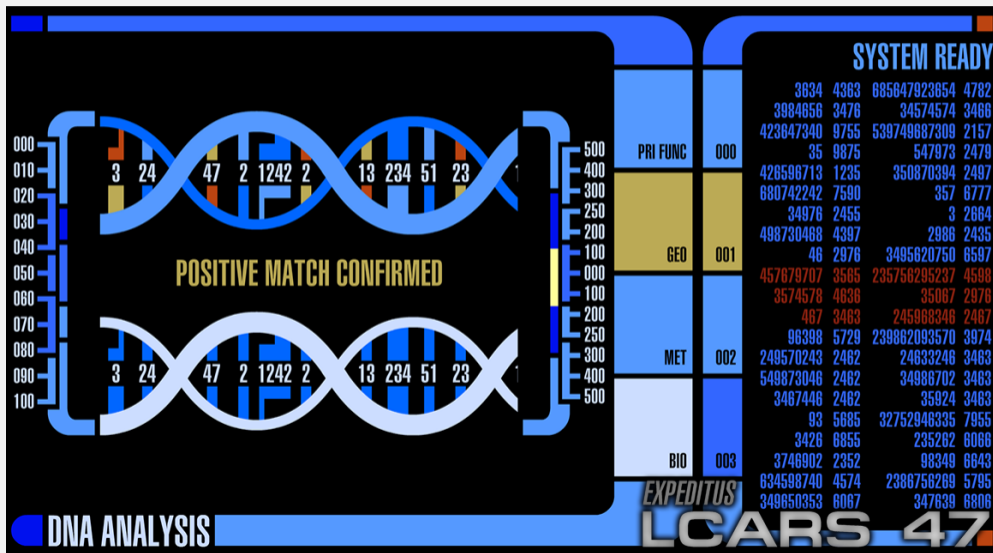
$$\sum_{k=1}^n (\log k + \mathcal{O}(1)) = \mathcal{O}(n) + \sum_{k=1}^n \log(k) = \Theta(n \log n).$$

- **Reconstruction:** traverse A from right to left: $\mathcal{O}(n)$.

Overall runtime:

$$\Theta(n \log n).$$

DNA - Comparison (Star Trek)



DNA - Comparison

- DNA consists of sequences of four different nucleotides **A**denine **G**uanine **T**hymine **C**ytosine
- DNA sequences (genes) thus can be described with strings of A, G, T and C.
- Possible comparison of two genes: determine the **longest common subsequence**

Longest common subsequence

Subsequences of a string:

Subsequences(KUH): $()$, (K) , (U) , (H) , (KU) , (KH) , (UH) ,
 (KUH)

Problem:

- **Input:** two strings $A = (a_1, \dots, a_m)$, $B = (b_1, \dots, b_n)$ with lengths $m > 0$ and $n > 0$.
- **Wanted:** Longest common subsequences (LCS) of A and B .

Longest Common Subsequence

Examples:

$LGT(IGEL, KATZE) = E$, $LGT(TIGER, ZIEGE) = IGE$

Ideas to solve?

T	I		G	E	R
Z	I	E	G	E	

Recursive Procedure

Assumption: solutions $L(i, j)$ known for $A[1, \dots, i]$ and $B[1, \dots, j]$ for all $1 \leq i \leq m$ and $1 \leq j \leq n$, but not for $i = m$ and $j = n$.

T I E G E R
Z I E G E

Consider characters a_m, b_n . Three possibilities:

- 1 A is enlarged by one whitespace. $L(m, n) = L(m, n - 1)$
- 2 B is enlarged by one whitespace. $L(m, n) = L(m - 1, n)$
- 3 $L(m, n) = L(m - 1, n - 1) + \delta_{mn}$ with $\delta_{mn} = 1$ if $a_m = b_n$ and $\delta_{mn} = 0$ otherwise

Recursion

$$L(m, n) \leftarrow \max \{L(m - 1, n - 1) + \delta_{mn}, L(m, n - 1), L(m - 1, n)\}$$

for $m, n > 0$ and base cases $L(\cdot, 0) = 0, L(0, \cdot) = 0$.

	\emptyset	Z	I	E	G	E
\emptyset	0	0	0	0	0	0
T	0	0	0	0	0	0
I	0	0	1	1	1	1
G	0	0	1	1	2	2
E	0	0	1	2	2	3
R	0	0	1	2	2	3

Dynamic Programming algorithm LCS

Dimension of the table? Semantics?

1

Dynamic Programming algorithm LCS

Dimension of the table? Semantics?

- 1 Table $L[0, \dots, m][0, \dots, n]$. $L[i, j]$: length of a LCS of the strings (a_1, \dots, a_i) and (b_1, \dots, b_j)

Dynamic Programming algorithm LCS

Dimension of the table? Semantics?

- 1 Table $L[0, \dots, m][0, \dots, n]$. $L[i, j]$: length of a LCS of the strings (a_1, \dots, a_i) and (b_1, \dots, b_j)

Computation of an entry

- 2

Dynamic Programming algorithm LCS

Dimension of the table? Semantics?

- 1 Table $L[0, \dots, m][0, \dots, n]$. $L[i, j]$: length of a LCS of the strings (a_1, \dots, a_i) and (b_1, \dots, b_j)

Computation of an entry

- 2 $L[0, i] \leftarrow 0 \forall 0 \leq i \leq m, L[j, 0] \leftarrow 0 \forall 0 \leq j \leq n$. Computation of $L[i, j]$ otherwise via $L[i, j] = \max(L[i-1, j-1] + \delta_{ij}, L[i, j-1], L[i-1, j])$.

Dynamic Programming algorithm LCS

3

Computation order

Dynamic Programming algorithm LCS

Computation order

- 3 Rows increasing and within columns increasing (or the other way round).

Dynamic Programming algorithm LCS

3 Computation order

Rows increasing and within columns increasing (or the other way round).

4 Reconstruct solution?

4

Dynamic Programming algorithm LCS

Computation order

- 3 Rows increasing and within columns increasing (or the other way round).

Reconstruct solution?

- 4 Start with $j = m, i = n$. If $a_i = b_j$ then output a_i and continue with $(j, i) \leftarrow (j - 1, i - 1)$; otherwise, if $L[i, j] = L[i, j - 1]$ continue with $j \leftarrow j - 1$ otherwise, if $L[i, j] = L[i - 1, j]$ continue with $i \leftarrow i - 1$.
Terminate for $i = 0$ or $j = 0$.

Analysis LCS

- Number table entries: $(m + 1) \cdot (n + 1)$.
- Constant number of assignments and comparisons each. Number steps: $\mathcal{O}(mn)$
- Determination of solution: decrease i or j . Maximally $\mathcal{O}(n + m)$ steps.

Runtime overall:

$$\mathcal{O}(mn).$$

Editing Distance

Editing distance of two sequences $A = (a_1, \dots, a_m)$,
 $B = (b_1, \dots, b_m)$.

Editing operations:

- **Insertion** of a character
- **Deletion** of a character
- **Replacement** of a character

Question: how many editing operations at least required in order to transform string A into string B .

TIGER ZIGER ZIEGER ZIEGE

Procedure?

²⁹or append character to B_j

³⁰or delete last character of B_j

Procedure?

- Two dimensional table $E[0, \dots, m][0, \dots, n]$ with editing distances $E[i, j]$ of strings $A_i = (a_1, \dots, a_i)$ and $B_j = (b_1, \dots, b_j)$.

²⁹or append character to B_j

³⁰or delete last character of B_j

Procedure?

- Two dimensional table $E[0, \dots, m][0, \dots, n]$ with editing distances $E[i, j]$ of strings $A_i = (a_1, \dots, a_i)$ and $B_j = (b_1, \dots, b_j)$.
- Consider the last characters of A_i and B_j . Three possible cases:
 - 1 Delete last character of A_i :²⁹ $E[i - 1, j] + 1$.
 - 2 Append character to A_i :³⁰ $E[i, j - 1] + 1$.
 - 3 Replace A_i by B_j : $E[i - 1, j - 1] + 1 - \delta_{ij}$.

$$E[i, j] \leftarrow \min \{ E[i - 1, j] + 1, E[i, j - 1] + 1, E[i - 1, j - 1] + 1 - \delta_{ij} \}$$

²⁹or append character to B_j

³⁰or delete last character of B_j

DP Table

$$E[i, j] \leftarrow \min \{ E[i-1, j] + 1, E[i, j-1] + 1, E[i-1, j-1] + 1 - \delta_{ij} \}$$

	\emptyset	Z	I	E	G	E
\emptyset	0	1	2	3	4	5
T	1	1	2	3	4	5
I	2	2	1	2	3	4
G	3	3	2	2	2	3
E	4	4	3	2	3	2
R	5	5	4	3	3	3

Algorithm: exercise

Matrix-Chain-Multiplication

Task: Computation of the product $A_1 \cdot A_2 \cdot \dots \cdot A_n$ of matrices A_1, \dots, A_n .

Matrix multiplication is associative, i.e. the order of evaluation can be chosen arbitrarily

Goal: efficient computation of the product.

Assumption: multiplication of an $(r \times s)$ -matrix with an $(s \times u)$ -matrix provides costs $r \cdot s \cdot u$.

Does it matter?

A diagram illustrating the multiplication of three matrices. The first matrix, labeled A_1 , is a vertical red rectangle with height k and width 1 . The second matrix, labeled A_2 , is a horizontal red rectangle with height 1 and width k . The third matrix, labeled A_3 , is a vertical red rectangle with height k and width 1 . The matrices are arranged in a sequence: $A_1 \cdot A_2 \cdot A_3 =$

A diagram illustrating the multiplication of three matrices. The first matrix, labeled A_1 , is a vertical blue rectangle with height k and width 1 . The second matrix, labeled A_2 , is a horizontal blue rectangle with height 1 and width k . The third matrix, labeled A_3 , is a vertical blue rectangle with height k and width 1 . The matrices are arranged in a sequence: $A_1 \cdot A_2 \cdot A_3 =$

Does it matter?

A_1 (dimensions $k \times 1$) \cdot A_2 (dimensions $1 \times k$) \cdot A_3 (dimensions $k \times 1$) $=$ $(A_1 \cdot A_2)$ (dimensions $k \times k$) \cdot A_3 (dimensions $k \times 1$)

A_1 (dimensions $k \times 1$) \cdot A_2 (dimensions $1 \times k$) \cdot A_3 (dimensions $k \times 1$) $=$ A_1 (dimensions $k \times 1$) \cdot $(A_2 \cdot A_3)$ (dimensions 1×1)

Does it matter?

A_1 (dimensions $k \times 1$) \cdot A_2 (dimensions $1 \times k$) \cdot A_3 (dimensions $k \times 1$) $=$ $(A_1 \cdot A_2)$ (dimensions $k \times k$) \cdot A_3 (dimensions $k \times 1$) $=$ $(A_1 \cdot A_2 \cdot A_3)$ (dimensions $k \times 1$)

A_1 (dimensions $k \times 1$) \cdot A_2 (dimensions $1 \times k$) \cdot A_3 (dimensions $k \times 1$) $=$

Does it matter?

A_1 (dimensions $k \times 1$) \cdot A_2 (dimensions $1 \times k$) \cdot A_3 (dimensions $k \times 1$) $=$ $(A_1 \cdot A_2)$ (dimensions $k \times k$) \cdot A_3 (dimensions $k \times 1$) $=$ $(A_1 \cdot A_2 \cdot A_3)$ (dimensions $k \times 1$)

A_1 (dimensions $k \times 1$) \cdot A_2 (dimensions $1 \times k$) \cdot A_3 (dimensions $k \times 1$) $=$

Does it matter?

A_1 \cdot A_2 \cdot $A_3 = (A_1 \cdot A_2) \cdot A_3 = A_1 \cdot A_2 \cdot A_3$

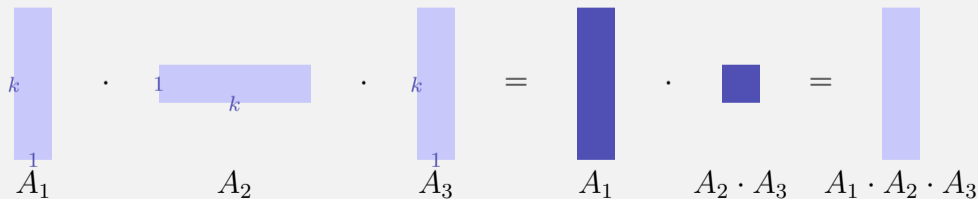
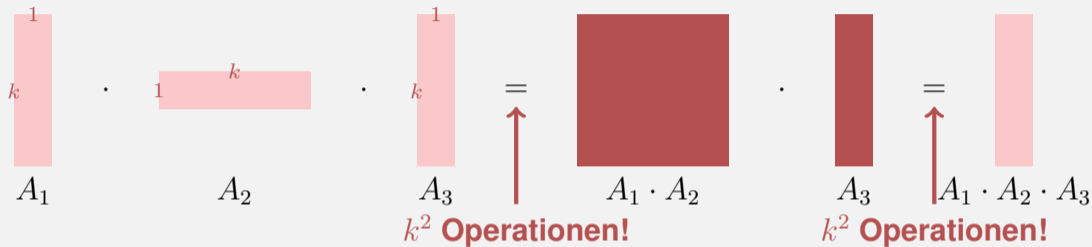
A_1 \cdot A_2 \cdot $A_3 = A_1 \cdot (A_2 \cdot A_3)$

Does it matter?

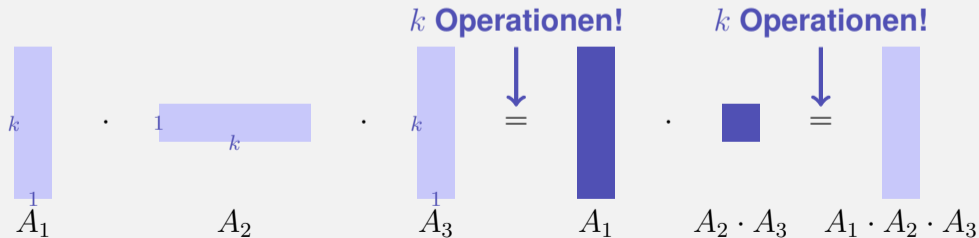
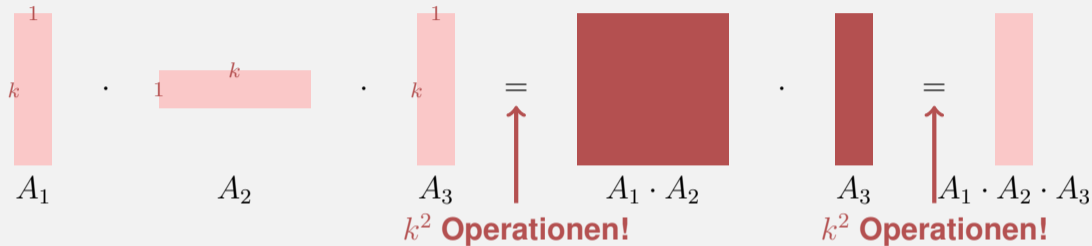
$A_1 \cdot A_2 \cdot A_3 = (A_1 \cdot A_2) \cdot A_3 = A_1 \cdot A_2 \cdot A_3$

$A_1 \cdot A_2 \cdot A_3 = A_1 \cdot (A_2 \cdot A_3) = A_1 \cdot A_2 \cdot A_3$

Does it matter?



Does it matter?



Recursion

- Assume that the best possible computation of $(A_1 \cdot A_2 \cdots A_i)$ and $(A_{i+1} \cdot A_{i+2} \cdots A_n)$ is known for each i .
- Compute best i , done.

$n \times n$ -table M . entry $M[p, q]$ provides costs of the best possible bracketing $(A_p \cdot A_{p+1} \cdots A_q)$.

$$M[p, q] \leftarrow \min_{p \leq i < q} (M[p, i] + M[i + 1, q] + \text{costs of the last multiplication})$$

Computation of the DP-table

- Base cases $M[p, p] \leftarrow 0$ for all $1 \leq p \leq n$.
- Computation of $M[p, q]$ depends on $M[i, j]$ with $p \leq i \leq j \leq q$, $(i, j) \neq (p, q)$.
In particular $M[p, q]$ depends at most from entries $M[i, j]$ with $i - j < q - p$.
Consequence: fill the table from the diagonal.

Analysis

DP-table has n^2 entries. Computation of an entry requires considering up to $n - 1$ other entries.

Overall runtime $\mathcal{O}(n^3)$.

Readout the order from M : exercise!

Digression: matrix multiplication

Consider the multiplication of two $n \times n$ matrices.

Let

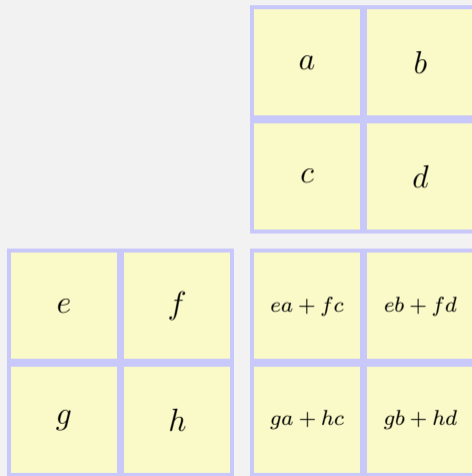
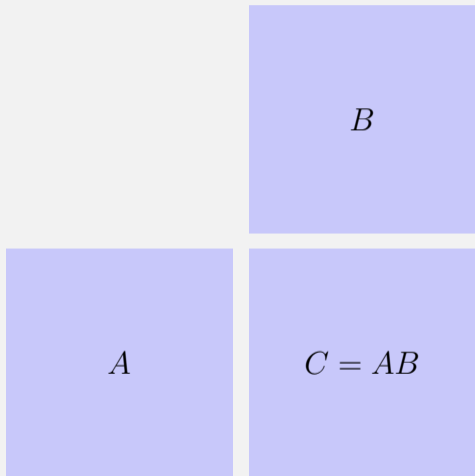
$$A = (a_{ij})_{1 \leq i, j \leq n}, B = (b_{ij})_{1 \leq i, j \leq n}, C = (c_{ij})_{1 \leq i, j \leq n}, \\ C = A \cdot B$$

then

$$c_{ij} = \sum_{k=1}^n a_{ik} b_{kj}.$$

Naive algorithm requires $\Theta(n^3)$ elementary multiplications.

Divide and Conquer



Divide and Conquer

- Assumption $n = 2^k$.
- Number of elementary multiplications:
 $M(n) = 8M(n/2)$, $M(1) = 1$.
- yields $M(n) = 8^{\log_2 n} = n^{\log_2 8} = n^3$. No advantage 😞

		a	b
		c	d
e	f	$ea + fc$	$eb + fd$
g	h	$ga + hc$	$gb + hd$

Strassen's Matrix Multiplication

- **Nontrivial observation by Strassen (1969):**

It suffices to compute the seven products

$$A = (e + h) \cdot (a + d), B = (g + h) \cdot a,$$

$$C = e \cdot (b - d), D = h \cdot (c - a), E = (e + f) \cdot d,$$

$$F = (g - e) \cdot (a + b), G = (f - h) \cdot (c + d). \text{ Denn:}$$

$$ea + fc = A + D - E + G, eb + fd = C + E,$$

$$ga + hc = B + D, gb + hd = A - B + C + F.$$

- This yields $M'(n) = 7M(n/2)$, $M'(1) = 1$.

Thus $M'(n) = 7^{\log_2 n} = n^{\log_2 7} \approx n^{2.807}$.

- Fastest currently known algorithm:

$$\mathcal{O}(n^{2.37})$$

		a	b
		c	d
e	f	ea + fc	eb + fd
g	h	ga + hc	gb + hd